

SFY 2016-17 State Budget Comparison of Executive, Senate, Assembly & Final Budget Health and Mental Hygiene

Executive Budget	Senate-One House	Assembly One-House	Final Budget
Multiple-Sectors			
Extend Global Spending Cap for 1 year through SFY 2017-18, indexed at a rate of 3.4%.	Rejects Requires approval by Legislative Fiscal Committees prior to reduction in Medicaid payments if the cap is pierced.	Rejects	Accepts extension of global cap through SFY 2017-18; Adds reporting requirements on the State Department of Health (DOH) related to any material impacts on the global cap annual projection from the preceding month and anticipated for the month in which the report is required and the month following.
NYC Medicaid Share Expenses increase to \$180M in SFY 16-17, \$476M in 2018, and \$129M thereafter.	Accepts	Rejects	Rejects

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N/A	N/A	N/A	Includes a proposal authorizing DOH to establish a statewide Medicaid Integrity and Efficiency Initiative for the purpose of achieving new audit recoveries, efficiencies in Medicaid administration and other cost avoidance measures through collaboration with social service districts. DOH may establish targets for such recoveries for local districts to participate in the initiative. On and after April 1st of each year, social service districts that elect to participate shall submit a plan for achieving targets for DOH review.
Minimum Wage Proposes to increase from \$9 to \$15 by 2018 in NYC and from \$9 to \$15 by 2021 in the rest of the State.	Rejects	Accepts and Modifies Executive proposal by adding \$200 million in supplemental payments to offset labor costs for providers of health care, mental health, developmental disabilities, substance abuse, children and families, and others.	Modifies the proposal to raise the minimum wage in NYC to \$15 by 2018, but it would take four years to 2019 to reach that level for employers with 10 or fewer workers. Also the rate will go to \$15 in the downstate suburbs (Long Island and Westchester) over six years by 2021. Also the current \$9 per hour rate for upstate employees

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			would rise 70 cents a year until it reaches \$12.50 by 2020.
			On each following December 31st, a wage published by the Commissioner of Labor on or before October 1st, based on the then current minimum wage increased by a percentage determined by the Director of the Division of the Budget (DOB) in consultation with the Commissioner of Labor, up to \$15 in the rest of state (upstate).
			On or after 1/1/19, and each January 1st thereafter until the minimum wage is \$15 in all areas of the state, DOB shall conduct an analysis of the state of the economy in each region and the effect of the minimum wage
			increases, to determine whether there should be a temporary suspension or delay in the scheduled increases. As part of the analysis, DOB shall determine whether the scheduled increases in minimum wage should continue up to and including \$15. DOB will issue a report and

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			recommendations based on this analysis.
			The final budget exempts Medicaid wage increases from the Medicaid global cap limitation and includes Medicaid funding to provide for some rate enhancements.
Paid Family Leave Proposes 12 weeks per year of job-protected paid leave with a phased in benefit schedule through January 1, 2021.	Modifies Executive proposal to add "family care" to the definition of "disability" and provides an employer/ employee opt-in provision.	Accepts	Modifies the proposal to include a requirement that employers provide 12-weeks of employee funded paid family leave. Beginning in January 2018, employees would earn up to 50% of their average weekly wage, not to exceed 50% of the statewide average weekly wage, while on leave to care for an ill relative, newborn child or when a family member is serving active military duty. Once the program is fully phased in by 2021, employees would be eligible to earn 67% of their average weekly wage. The program will be funded through employee paycheck deductions, beginning at 70 cents per week and increased to \$1.47 once fully phased in by 2021. To qualify,

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			employees must have been employed for at least 6 months.
Capital Restructuring	Accepts	Accepts	Accepts
Financing Program			
(CRFP)			
Re-appropriates \$1.2 billion			
for CRFP.			
Health Care	Modifies Executive	Modifies Executive	Modifies proposal providing
Transformation Program	Proposal to reinstate	Proposal to reinstate \$300	\$200 million in capital funding
Redirects last year's \$300	\$300M for Oneida County.	for Oneida County.	for healthcare transformation
million appropriation for		D +0001/6	statewide; Specifies that a
Oneida County to provide:	Provides \$200M for	Provides \$200M for	minimum of \$30 million shall be
• \$195 million to be	statewide health care	statewide health care	provided to community-based
used statewide for	transformation requiring	transformation.	health care provides including
replacement of	25% of the funds for	Describe doctor (consequent)	D&TCs, mental health or alcohol
inefficient and	community-based	Provides \$25M for essential	and substance abuse treatment
outdated facilities as	providers.	community health care providers for D&TCs,	clinics, primary care providers or
part of a merger,	Funds \$5M for mobile	Article 31 and Article 32	home care providers.
acquisition, consolidation or	mammography from other	clinics, primary care	Capital grants will be awarded
	sources.	providers, and home care	without a competitive bid or RFP
other restructuring that is part of a	Sources.	providers, and nome care providers.	process to eligible providers for
transformation plan		providers.	projects which:
to create a		Funds \$5M for mobile	• contribute to the
financially		mammography from other	integration of health care
sustainable system;		sources.	services and long term
Eligible facilities			sustainability of the
include hospitals,			applicant or preservation
residential health			of essential health services
care facilities,			in the community or
D&TCs, mental			-

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health clinics, primary care providers and home care providers; • \$100 million for economic development at Nano Utica; and • \$5 million for the purchase of mammography equipped vehicles.			communities served by the applicant; are aligned with delivery system reform incentive payment ("DSRIP") program goals and objectives; are in line with the geographic distribution of funds; meet an identified community need; are given to an applicant who has access to alternative financing; furthers the development of primary care and other outpatient services; benefits Medicaid enrollees and uninsured individuals; are given to an applicant engaged in the community affected by the proposed capital project and the manner in which community engagement has shaped such capital project; and

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VAP Funding	Accepts	Accepts	address potential risk to patient safety and welfare. Accepts
Includes \$212M in Vital Access Provider (VAP) Funding.			
Cost of Living Adjustment Includes funding to continue 0.2% Cost of Living Adjustment/ Medicaid Trend for Human Services/ 0-agency providers.	Accepts	Accepts	Accepts
SHIN-NY Provides \$30 million in continued SHIN-NY funding.	Accepts	Accepts	Accepts
All Payer Database Provides \$10 million in continued funding for the All Payer Database (APD).	Modifies Executive Proposal by appropriation \$5 million.	Accepts	Accepts at Executive level
N/A	Includes a proposal to authorize a private equity pilot program for increased capital investment in health care delivery system restructuring allowing PHHPC to approve the establishment of up to 10 business corporations as	N/A	Rejects

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	the operator of a hospital or home care agency in affiliation with at least one academic medical institution.		
N/A	Adds a Medicaid Redesign Accountability Process Establishes a process to review and report on each phase of the Medicaid Redesign Initiative including but not limited to a review at least every 5 years and an annual report to the Legislature.	N/A	Rejects
N/A	Includes a proposal to authorize future settlement funds to be used to advance payments in the total amount of \$54.4M to D&TCs and Article 31 clinics for the federal 2015 federal share for uncompensated care costs. Upon receipt of federal funding, the clinics would be required to return any advanced reimbursement to the state.	Includes a proposal to authorize future settlement funds to be used to advance payments in the total amount of \$54.4M to D&TCs and Article 31 clinics for the federal 2015 federal share for uncompensated care costs. Upon receipt of federal funding, the clinics would be required to return any advanced reimbursement to the state.	Rejects

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N/A	Includes a proposal to require an external audit to review the accuracy of sources, data, modifiers, values, metrics, and methodologies utilized by FAIR Health to determine fee schedules.	N/A	Rejects; Includes proposal to extend the date for the final report of the Out of Network Workgroup from January 1, 2016 to October 1. 2016.
N/A	Includes language in Senate Resolution related to Health Republic to require "the Executive to identify funding or settlement funds in addition to remaining assets to reimburse hospitals, physicians and producers pro-rata for losses associated with the demise of Health Republic upon completion of the final liquidation process.	N/A	Includes a Health Republic Insurance of New York fund which shall consist of transfers as authorized by the DOB, between April 1, 2016-March 31, 2019, from amounts collected as a result of a judgement, stipulation, decree, agreement to settle, assurance of discontinuance, or other legal instrument resolving any claim or cause of action, and all other monies appropriated, credited or transferred thereto from any other fund or source pursuant to law. Monies shall be paid out of this fund at the discretion of the Superintendent of the Department of Financial Services and only after distribution of all assets in connection with a

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			liquidation proceeding to Health Republic Insurance of New York.
Non-Profit Infrastructure Capital Investment program Re-appropriates \$50 million in funding for this program	N/A	Includes a proposal to establish the Nonprofit Infrastructure Capital Investment program in statute and creates a board that serves to approve applications received from non-profit human services organizations for grants under the program and includes a re-appropriation of \$50M in funding and an additional \$50M in new funding for the program.	Includes \$50 million in new funding for this program in addition to \$50 million reappropriation.
Hospitals/Healthcare Facilities		<u> </u>	
Intergovernmental Transfers (IGT) and DSH (Disproportionate Share Hospital) Payments Permanently extends NYS Department of Health (DOH) authority to make IGT/DSH payments to public hospitals outside New York City, Nassau, Westchester and Erie Counties.	Modifies the Executive Proposal to extend through March 31, 2017.	Modifies the Executive Proposal to extend through March 31, 2019.	Modifies to extend through March 31, 2019.

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Ambulatory Care	N/A	Includes a proposal related to reimbursement for Medicaid rates for Critical Access Designated Rural Hospitals for D&TC services, emergency services, general hospital outpatient services, ambulatory surgical services and referred ambulatory services shall be equal to 101% of the reasonable costs of a facility in providing such services beginning on or after April 1, 2017.	Rejects
"Limited Services" Clinics Authorizes the establishment and regulation of "Limited Services Clinics" within retail establishments such as pharmacies, stores and shopping malls. Allows publicly traded companies to operate retail clinics.	Modifies Executive proposal to allow general hospitals to operate a limited services clinic.	Modifies Executive Proposal to: • prohibit publically traded companies from operating retail clinics • eliminate requirement for approval by the Public Health and Health Planning Council (PHHPC)	Rejects

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		 add new provisions to authorize DOH to promulgate governing regulations instead of PHHPC eliminate requirement for operation in medically underserved areas of the State require DOH to maintain and update a roster of primary care providers on its website which shall include preferred providers designated as a patient centered medical home and FQHCs and other providers who serve Medicaid, lowincome, and uninsured patients, and people with disabilities. 	

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		 require clinics to report data to DOH on services and patients require routine reviews by DOH of compliance issues require DOH to provide an annual report which includes locations of clinics with an indication of which clinics are in medically underserved areas, an analysis as to whether access to health care is improved in underserved areas, and recommendations for improving such access. 	
School-Based Health Centers	Accepts	Accepts	Accepts funding level
Funded at last year's level	Modifies by including new	Modifies by including new	Includes a three way agreement
of \$21M.	language to:	language to:	to delay carve-in for one year to July 2017.

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Health Homes Funding Includes \$105 million in funding for health home services and expenses including establishment and infrastructure costs.	Delay the Medicaid Managed Carve-In for one year from July 2016 to July 2017 Make permanent the Carve-Out for Behavioral Health Services Modifies the Executive Proposal moving to one-year budgeting and therefore provides \$52.5M Also reprograms \$10.2M in funding for children's health home rate enhancements for health home transitional readiness expenses, including information technology costs.	Delay the Medicaid Managed Carve-In for one year from July 2016 to July 2017 Make permanent the Carve-Out for Behavioral Health Services Modifies the Executive Proposal moving to one-year budgeting and therefore provides \$52.5M	Accepts at Executive level.
Health Homes Criminal Justice Pilot Program	Accepts	Accepts	Accepts
Proposes to change funding			
for this program to lump			
sum payments or adjusted			
rates of payment to			
providers (rather than			

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grants) as determined by DOH for spending the \$5 million; Specifies that rate adjustments could be made to health homes participating in a criminal justice pilot program with the purpose of enrolling incarcerated individuals with serious mental illness, two or more chronic conditions, including substance abuse disorders, or HIV/AIDS, into the health home.			
N/A	N/A	N/A	Includes a proposal to allow inmates with chronic conditions to start getting Medicaid coverage thirty days prior to their scheduled releases to enable them to obtain medical services and prescriptions they may need upon release.
Primary Care Medical Homes Permanently extends the Primary Care Medical Home (PCMH) program.	Modifies the Executive Proposal to extend to April 1, 2019.	Modifies the Executive Proposal to extend to April 1, 2019.	Modifies to extend to April 1, 2019.
Long Term Care/ Home Care			

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Transportation Reimbursement Managed Long Term Care (MLTC) Removes reimbursement for transportation services from MLTC capitated rates (excluding PACE plans) and the management of trips will be performed by a contractor procured by the State.	Rejects	Rejects	Rejects
MLTC Eligibility Restricts eligibility for MLTC to enrollees who require nursing home level care. Existing MLTC members will be allowed to remain in the program.	Rejects	Modifies to permit DOH to limit eligibility to enrollees that either require nursing facility care or are eligible for community based Long Term Care services where such services are only available to the enrollee through a MLTC plan.	Rejects
Medicaid Spousal Support Makes changes so a spouse of a Medicaid enrollee would no longer be able to refuse to provide financial support for the enrollee's care and services; Proposes to increase the required threshold of spousal financial contributions consistent with federal law.	Rejects	Rejects	Rejects

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N/A	N/A	Includes a proposal related	Accepts
		to managed long term	
		care (MLTC) plan rates. It	
		specifies that "sound and	
		adequate" rates shall	
		account for compliance	
		with State and Federal	
		wage, minimum wage, and	
		overtime compensation, as	
		well as Workers'	
		Compensation and other	
		labor mandates, to support	
		the recruitment and	
		retention of a qualified	
		workforce. DOH has the	
		authority to determine	
		other costs that must be	
		included in the MLTC rates,	
		including but not limited to	
		participation in the	
		Delivery System Reform	
		Incentive Payment (DSRIP)	
		program, value-based	
		payments (VBP), and the	
		Fully Integrated Duals	
		Advantage (FIDA) program.	
N/A	N/A	Includes a proposal to	Accepts; Part of new budget bill
		change the Worker Wage	specific to initiative
		Parity law, which will raise	
		the standard that home	
		care providers in these	

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N/A	Adds new provisions on elder abuse to: • Authorize a public education campaign to emphasize zerotolerance for elder abuse • Require DOH to create an Elder Abuse and Maltreatment Screening tool • Require the establishment of an interagency clearing house for reporting cases of abuse	regions will be required to meet as minimum wage increases. If minimum wage increases to \$15, under the Assembly language, home care providers will be required to pay \$18.22 and 19.09 per hour in wages and benefits in Long Island/Westchester and New York City respectively. N/A	Rejects

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Housing/ Adult Homes	 Establish multidisciplinary investigative teams for the purpose of investigating reports of suspected abuse; and Authorize banks to refuse payment of moneys when there is reason to believe that a vulnerable adult is being financially exploited. 		
Housing, Mulit Homes			
Supported Housing for those in Adult Homes Provides \$38 million for supported housing and services for individuals with mental illnesses who currently live in adult homes.	Accepts	Accepts	Accepts
Temporary Operators of	Modifies by extending for	Modifies by extending	Modifies the proposal by
Adult Homes Permanently extends authorization of temporary	three years through 2019. Adds a provision to require	statutory authority for three years through 2019.	extending for three years through 2019.
operators of adult homes in	the Commissioner to notify the Legislature prior to		Adds a provision to require the Commissioner to notify the

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cases of health and safety of	appointing a temporary		Legislature prior to appointing a
residents.	operator of an adult care		temporary operator of an adult
	facility, general hospital, or D&TC.		care facility/ adult home.
Physicians/Workforce	D&TC.		
i nysicians/ wor morec			
Excess Malpractice	Rejects	Rejects	Rejects; Continues authorization
Program			for program through June 30,
Reduces program funding			2017 at full funding level of
by \$25 million to			\$127.4 million.
\$102,400,000; Proposes a new distribution formula			
for the program which will			
exclude "low risk"			
physicians. The Department			
of Financial Services (DFS)			
is authorized to rank the			
specialty and geographic			
location of physicians by			
risk level to determine the			
allocation of funds from the			
excess liability pool.	Modifies the Executive	Modifies the Executive	Includes \$1 million in increased
Physician Loan Forgiveness	Proposal to combine the	Proposal to combine the	funding
Continues Doctors Across	physician loan forgiveness	physician loan forgiveness	Tullullig
NY loan forgiveness funding	and physician practice	and physician practice	Modifies the proposal as follows:
at \$3,705,000.	support programs and	support programs and	Awards shall be made
	provide that future awards	provide that future awards	from the total funding
	can be for either in the	can be for either in the	available for new awards
	amount of \$40,000 per year	amount of \$40,000 per year	under the physician loan
	for three years.	for three years.	repayment program and

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	Also the Senate includes \$4 million in new funding for the program to add 100 new physicians.		the physician practice support program, with neither program limited to a specific funding amount within such total funding available; • An applicant may apply for an award for either physician loan repayment or physician practice support, but not both; • An applicant shall agree to practice for three years in an underserved area and each award shall provide up to forty thousand dollars for each of the three years; andTo the extent practicable, awards shall be timed to be of use for job offers made to applicants.
Physician Practice	Modifies the Executive	Modifies the Executive	Accepts Executive funding level;
Support	Proposal to combine the	Proposal to combine the	Modifies the proposal as follows:
Continues Physician	physician loan forgiveness	physician loan forgiveness	Awards shall be made
Practice Support funding at \$4,360,000.	and physician practice support programs and	and physician practice support programs and	from the total funding available for new awards
φ 4 ,300,000.	provide that future awards	provide that future awards	under the physician loan
	can be for either in the	can be for either in the	repayment program and
	amount of \$40,000 per year	amount of \$40,000 per year	the physician practice
	for three years.	for three years.	and physician practice

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	Also the Senate includes \$4 million in new funding for the program to add 100 new physicians.		support program, with neither program limited to a specific funding amount within such total funding available; • An applicant may apply for an award for either physician loan repayment or physician practice support, but not both; • An applicant shall agree to practice for three years in an underserved area and each award shall provide up to forty thousand dollars for each of the three years; andTo the extent practicable, awards shall be timed to be of use for job offers made to applicants.
AHEC Continues Area Health	Accepts	Accepts	Accepts funding level and includes new language to make
Education Center (AHEC) system funding at 2,077,000.	Includes new language to provide funding to the UB Research Foundation for AHEC to enable a sole source contract.	Includes new language to provide funding to the UB Research Foundation for AHEC to enable a sole source contract.	UB the funding administrator.
N/A	Includes a proposal to amend the mandatory	N/A	Includes a proposal to exempt prescribers who certify that

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	electronic prescribing law to: • Allow non- electronic prescriptions to be made orally in nursing homes which is current practice • Exempt prescribers that certify to NYS DOH that they prescribe 25 or less prescriptions annually • Allow prescribers to maintain information in patient records if they use one of the exceptions to the law and write a paper prescription.		they prescribe less than 25 prescriptions per year from e-prescribing mandate for a period of up to three years with annual re-certification.
Licensure Exemption	Modifies the Executive	Modifies the Executive	Modifies the proposal to extend
Extends through July 1,	Proposal. Does not extend	Proposal. Accepts the	the exemption from licensure for
2021 the exemption from	the exemption in law (so it	Governor's five year	two years through July 1, 2018;
licensure for individuals	would expire June 30,	extension but starting	Governor and Legislature signed
working in certain	2016).	7/1/19 licensed individuals	a letter of agreement to continue
programs regulated/funded		must begin to be hired for	to negotiate post-budget to
by OMH, OPWDD, OASAS,	Clarifies the duties that	the duties where licensure	

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DOH, SOFA, OCFS, DOCCS, OTDA and local governments/social service districts.	would require an individual to be licensed. That is those who "diagnose" and those who "head the treatment team." Also requires that the licensed person on the team has to see the patient at least once and sign off on the care plan. Further, the bill expands/clarifies the list of nonlicensed individual who can provide services under the supervision of a licensed professional and those who are members of a treatment team. Finally, the Senate bill states that an individual with a Master's in social work and two year of postgraduate employment who is otherwise eligible for licensure with the exception of an examination and files an application with SED within one year of the	is required. The Assembly also clarifies the duties that licensure applies to and how and includes the language on licensing those with a Master's in social work. Finally, the Assembly requires a report by relevant agencies by 7/1/17 on tasks/functions, costs to hire licensed individuals and an action plan to achieve compliance.	achieve final resolution by June 2016.

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	effective date shall		
	be deemed licensed.		
Pharmacy/			
Pharmaceuticals			
Manufacturer	Rejects	Rejects	Rejects
Reporting/Rebates			
Authorizes the			
Commissioner of Health to			
require drug manufacturer			
reporting of their costs to			
research, develop, and			
market certain high cost			
specialty drugs as well as			
the prices they charge to			
pharmacies and others here			
and outside the country, the			
average rebates/discounts			
paid and average profit			
margin; Using data			
collected, DOH would set a			
ceiling price for the new			
high cost blockbuster drugs			
as determined by the State's			
actuary and the state could			
require drug manufacturers			
to provide additional			
rebates to the NYSDOH for			
such drugs when prices			
exceed the ceilings that are			
set.			

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Pharmacy	Rejects	Rejects	Rejects
Reimbursement Changes			
Proposes to give the			
NYSDOH authority over Fee			
for Service(FFS) pharmacy			
reimbursement in the case			
of drugs designated as			
"specialty drugs" by one or			
more managed care plan;			
For such "specialty drugs"			
the reimbursement to			
pharmacies would not			
exceed the amount			
managed care plans pay for			
the drug as determined by			
NYSDOH using managed			
care encounter data.			
Prior Authorization Pre-	Rejects	Rejects	Rejects
DUR Board Evaluation			
Authorizes the			
Commissioner of Health to			
require prior authorization			
for fee-for service drugs			
meeting the Clinical Drug			
Review Program criteria			
prior to obtaining the Drug			
Utilization Review Board's			
evaluation and			
recommendation			
obtaining the Drug			
Utilization Review Board's			

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evaluation and			
recommendation.			
Prescriber Prevails	Rejects	Rejects	Rejects
Proposes to eliminate			
"prescriber prevails" under			
both FFS and Medicaid			
managed care for all drug			
classes except atypical			
antipsychotics and			
antidepressants; Currently			
prescriber prevails applies			
to all drug classes under			
FFS and nine classes in			
managed care.			
Rebates on Generics Authorizes the Commissioner of Health to apply the Federally established Consumer Price Index (CPI) penalty for generic drugs on manufacturers for generic drugs when prices increase at a rate greater than the rate of inflation.	Rejects	Rejects	Accepts; Requires manufacturers of generic drugs to provide rebates to DOH for any drug that has increased more than 300% of its state maximum acquisition cost (SMAC) on or after 4/1/16 as compared to the SMAC at any time during the prior 12 months under Medicaid. Required rebate shall equal the amount by which the SMAC exceeds 300% of the SMAC.
NYSDOH Rebate	Rejects	Rejects	Accepts
Negotiations		,	F 30
Allows the Commissioner of			
Health to continue to			
negotiate directly with			

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pharmaceutical manufacturers for supplemental rebates outside of the Preferred Drug Program on fee for- service utilization for anti- retrovirals and hepatitis C agents as well.			
Prior Authorization for Opioids Requires managed care organizations to implement prior authorization of opioid analgesic refills exceeding four prescriptions in thirty days, consistent with existing fee for service policy.	Accepts	Accepts	Modifies the proposal by exempting patients in hospice care, those with cancer or sickle cell disease or any other condition or diagnosis for which DOH determines prior authorization is not required, from the limit.
Overdose Prevention As part of his Budget address, the Governor said he seeks to add 1,000 pharmacies to the DOH Opioid Overdose Prevention program in 2016 and plans to introduce legislation permitting any pharmacist to administer naloxone in the event of an overdose.	N/A	N/A	N/A

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Needles/Syringe Program As part of his Budget address, the Governor proposed to remove limitations on the Expanded Syringe Access Program (ESAP) including limiting the 10-syringe purchase limit, allowing for syringe exchange, and lifting limits on pharmacies which prohibit their advertising ESAP.	N/A	Includes this as part of a broader opioid/heroin prevention initiative (see Behavioral Health section of update below).	N/A
MC Plan Penalties Institutes tiered penalties for the submission of late and/or inaccurate encounter data for Managed Care Organizations to ensure the collection of pharmacy rebates and timely rate setting.	Accepts	Accepts	Modifies the proposal to by adding additional qualifications for/limitations on imposing penalties.
N/A	Includes a proposal to maximize drug rebate collection by establishing a pilot program to utilize third party vendors to validate existing Medicaid drug rebate claims.	N/A	Rejects
N/A	Includes a proposal to require commercial	N/A	Rejects

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	insurance coverage of tamper resistant opioids and to prohibit substitution of tamper resistant opioids with non-tamper resistant opioids when prescriber notes "DAW."		
N/A	Includes a proposal to require manufacturers to give notice to the DUR Board within 30 days prior to price increases (Wholesaler Acquisition Cost increases equal to or greater than 100% at any point during a 12-month period). This applies to both brand and generic drugs. The DUR Board would conduct a review and decide on further prior authorization and may refer to the AG. The proposal also amends the price gouging law to add a new section on prescription drugs and to give the AG greater authority and ability to	N/A	Rejects

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	impose a penalty up to \$25,000.		
N/A	N/A	Includes a proposal to move the entire Medicaid drug (fee for service and managed care) through to the Preferred Drug Program.	Rejects
Medical Marijuana Provides \$6.74M to support the State Medical Marijuana program.	Accepts	Accepts Includes a proposal expanding the Medical Marijuana law to double the number of dispensaries from four to eight that a registered organization may operate. Also the proposal would require DOH to double from five to 10 the number of registered organizations by Jan. 1, 2017.	Accepts funding at Executive level.
Behavioral Health			
VAP Funding for BH Includes \$50 million in VAP funding for behavioral health providers.	Modifies the Executive Proposal moving to one-year budgeting and therefore provides \$25M.	Modifies the Executive Proposal moving to one- year budgeting and therefore provides \$25M.	Accepts and provides \$50 million.
Community Reinvestment	Accepts	Accepts	Accepts

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Includes \$16 million for			
community reinvestment			
based on regional needs;			
Funding is from state			
hospital bed reductions.			
Children's Mental Health	Accepts	Accepts	Accepts
Services			
Commits \$7.5 million in			
new funding for expanded			
children's mental health			
services (with growth in			
funding to \$30 million in			
SFY 2017-18) - funding			
provided within the			
Medicaid global cap.			
Raise the Age	Accepts	Accepts	Accepts
Commits \$1 million in			
funding for OMH to			
implement Raise the Age to			
hire 9 FTE.			
Opioid/Heroin Funding	Modifies Executive	Modifies Executive	Modifies the proposal and
Commits \$6 million in new	Proposal to add \$26M to	Proposal to add \$32M to	provides:
funding to address the	OASAS budget for	OASAS budget for	
opioid/heroin epidemic by	community treatment	community treatment	• An additional \$25M for
re-directing existing OASAS	services program	services program including:	heroin/opioid
funding.	including:		prevention, treatment,
		• \$2M in new funding	recovery and housing
	 \$2M in new funding 	for NYC to hire	services
	for	additional substance	• An additional \$2M for
	services/expenses	abuse prevention	Substance Abuse

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	for heroin and opioid prevention, treatment and recovery services including school prevention efforts. • \$10M in new funding for transitional housing for individuals in recovery, with 25% to be used for individuals in recovery ages 15-24. New funding including: • \$3.85M for Recovery Community Centers; \$6.5M for Recovery Services; \$2M for Recovery Coaches; \$1M for Family Support Navigators, \$200K for Family Support Navigator Training and \$450K for service/expenses for an opioid drug addiction,	and intervention specialists. • \$30M in new funding for heroin/opioid prevention (\$15M for opiate abuse prevention/ treatment services including expansion in underserved areas; \$9M for services for expansion of recovery support services; and \$6M for patient engagement programs for those existing detox including expansion of on-call peer program) Also directs \$2.2M of existing OASAS funding to services and expenses of the combat heroin public service campaign including a public awareness	Prevention/Intervention Specialists • \$1M for the DEC to operate unused drug take back programs

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	prevention and treatment program.	campaign concerning drug takeback programs.	
OASAS Provider Rate	Accepts	Accepts	Accepts
Enhancement			
Commits \$7 million as a			
Medicaid rate enhancement			
for OASAS providers			
transitioning into managed			
care- funded within the			
Medicaid global cap.			
SNPs	Modifies the Executive	Modifies the Executive	Modifies the proposal to extend
Authorizes continued	Proposal to extend through	Proposal to extend through	through March 31, 2020.
operation of Special Needs	March 31, 2020.	March 31, 2020.	
Plans (SNPs) serving those			
with mental illnesses or HIV			
(was set to expire March 31,			
2016).			
Medicaid Exempt Income	Modifies the Executive	Modifies the Executive	Accepts Executive proposal.
Extends OMH authority to	Proposal to extend through	Proposal to extend through	
recover Medicaid exempt	June 30, 2017 for programs	June 30, 2017 for programs	
income from providers	located within NYC and	located within NYC and	
through June 30, 2019.	through December 31,	through December 31,	
	2017 for those located	2017 for those located	
	outside NYC.	outside NYC.	
Comprehensive	Accepts	Accepts	Accepts
Psychiatric Emergency			
Program (CPEP)			
Extends the Comprehensive			
Program (CDED) through			
Program (CPEP) through			
July 1, 2020.			

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Restoration to	Rejects	Rejects	Rejects
Competency			
Authorizes OMH to permit			
"Restoration to			
Competency" within jail-			
based residential settings;			
Such Restorations are			
currently only authorized at			
OMH psychiatric centers,			
OPWDD developmental			
centers, psychiatric units at			
Article 28 hospitals and on			
an outpatient basis in the			
community setting.			
OMH/OPWDD Temporary	Accepts	Accepts	Accepts
Operators			
Provides OMH and OPWDD			
authority to appoint			
temporary operators for the			
continued operation of			
programs and services			
where the established			
operator is			
unable/unwilling to			
continue operating it.			
OMH Facility Clinical	Accepts	Modifies Executive	Modifies the proposal to require
Record Sharing		Proposal to require patient	patient consent and
Permits OMH		consent and confidentiality.	confidentiality.
operated/licensed facilities			
to share clinical records			
with managed care			

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organizations, behavioral health organizations, health homes and other NYSDOH authorized entities providing or coordinating health services for Medicaid patients.			
N/A	Adds \$7.5M in new funding for the OMH Adult Services Program.	Adds \$1M in new funding under OMH for services and expenses for expansion of crisis intervention services and diversion programs, including training of police crisis intervention teams, regional Mental Health First Aid Training for police, evaluation of local diversion centers and to determine any needed programmatic changes.	Includes \$1M in new funding under OMH for services and expenses for expansion of crisis intervention services and diversion programs as proposed by the Assembly, including training of police crisis intervention teams, regional Mental Health First Aid Training for police, evaluation of local diversion centers and to determine any needed programmatic changes.
OASAS-Integrated Health Facilities Authorizes OASAS-licensed treatment facilities (DSRIP-like integrated health facilities) to also operate traditional health care clinics and remain eligible for DASNY financing.	Accepts	Accepts	Accepts

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N/A	N/A	Includes a proposal related to combatting opioid/heroin abuse which includes requirements for: • OASAS and DOH to create educational materials on the dangers of prescription drug addiction and misuse to be shared with pharmacies • OASAS and DOH to create training/ screening materials for health care providers and hospitals • Hospitals to establish training programs for employees and to arrange/coordinate service • Removal of the 10 needle sales limit and prohibition on advertising participation in the	Rejects

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		needle/syringe program by pharmacies Making the heroin and opioid addiction wraparound services program permanent Creating a sober living task force Changing penal code in this regard The development by OASAS of best practices regarding law enforcement assisted diversion Adding cannabimimetic agents to Schedule I, among other provisions.	
N/A	N/A	N/A	Includes \$2.75 million in new funding to support statewide community hubs and services for adults and children.
N/A	N/A	N/A	Includes a proposal to extend APG payment under the Child Health Plus program for

Executive Budget	Senate-One House	Assembly One-House	Final Budget
			behavioral health providers through June 30, 2018.
N/A	Includes a proposal to extend APG payments under Medicaid MC to behavioral health providers through 9/30/21 in NYC and through 12/31/21 outside NYC and for those under age 21. Also includes changes in reporting requirement on DOH, OASAS, OMH related to the behavioral health transition to have report provided on or before October 1st of each year beginning 10/1/16.	N/A	Modifies proposal to extend APG payment under Medicaid MC to behavioral health providers through March 31, 2018 in NYC, through June 30, 2018 outside NYC and through June 30, 2018 for those under age 21. Authorizes eligible providers to work with managed care plans to achieve quality and efficiency objectives and engage in shared savings.
Developmental Disabilities/ Early			
Intervention Early Intervention	Rejects	Rejects	Rejects
Contains significant changes to the Early Intervention (EI) program. It would establish a new eligibility process, require	- ,	Modifies by repealing the statutory authority of DOH to contract with entities to act as the fiscal agent for DOH and municipalities for	
EI providers to submit all claims within 90 days or they will not be reimbursed,		the payment of EI claims. Provides that the State Comptroller can act as the	

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and impose requirements		state fiscal agent for this	
on health care insurers to		purpose.	
pay EI claims promptly and			
to provide greater coverage			
of EI services. Two new and			
separate eligibility			
processes are set forth: one			
for children who are			
referred to the program			
who have a diagnosed			
physical or mental			
condition with a high			
probability of resulting in a			
developmental delay, and			
another for children who			
have no diagnosis. Children			
who have no diagnosis will			
be screened utilizing a			
standardized instrument			
approved by DOH and will			
not receive a full evaluation			
unless requested by the			
parent. Eligibility for			
children who have a			
diagnosis will be conducted			
by a medical records			
review. It also allows for			
one individual to evaluate			
all five developmental			
domains.			

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Person-Center Services	Accepts	Accepts	Accepts
Provides \$30 million in			
state funding (\$120 million	Earmarks \$14.5M for		
total with federal funding)	additional state operated		
for new Person-Centered	respite services.		
DD Services for up to 6,000			
individuals including			
certified and non-certified			
residential services, day			
supports, employment and			
respite services.			
Transition to Community	Accepts	Accepts	Accepts
Provides \$24 million for			
Transition Funding for			
individuals moving from			
institutions to integrated			
community-based support			
systems.			
DD Crisis Services	Accepts	Accepts	Accepts
Provides \$15 million for DD			
Crisis Services by			
expanding the START			
model to other OPWDD			
regions (currently it is used			
in Region 1 and Region 3.			
Affordable Housing for	Accepts	Accepts and Includes	Accepts funding at Executive
DD population		\$100M over five years in	level.
Provides \$15 million (\$10		new funding through HCR	
million capital) in new		for additional housing	
funding through OPWDD		opportunities for	
for Affordable Housing.			

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		individuals with developmental disabilities.	
OMH/OPWDD Temporary Operators Provides OMH and OPWDD authority to appoint temporary operators for the continued operation of programs and services where the established operator is unable/unwilling to continue operating.	Accepts	Accepts	Accepts
N/A	Includes a proposal to allocate \$12M for continued support of "care" pilot programs.	N/A	Rejects
N/A	Includes a proposal to eliminate the FY 2012 2% reduction to Article 16 clinics.	N/A	Rejects
N/A	Includes a proposal to repeal the mental hygiene stabilization fund in 2021.	N/A	Rejects
N/A	Includes a proposal to require NYSDOH to establish a Disability Clinical Advisory Group to look at the impact of prescriber prevails, current	N/A	Rejects

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N/A	rates and other issues related to DD services and to provide DOH with data/ information on the effects of these policies on the delivery of supports and services. Includes a proposal to	N/A	Includes a proposal to require
	require that by 12/31/17 and annually thereafter, OPWDD shall report on the overall transition of sheltered workshops to integrated employment settings, its impact on providers, individuals, families and the impact integrated employment will have on government benefits for individuals with developmental disabilities.		OPWDD to afford individuals who were employed in sheltered workshops on or after 7/1/13 who are no interested in working in a provider owned or private business other person-centered options to other services. Such services shall include pathway to employment, community prevocational, day habilitation, community habilitation and self-directed services. For those interested in retirement, OPWDD services shall focus on connected individuals with retirement-related activities, including senior, community center and other local activities for retirees.
N/A	Includes a proposal to require OPWDD to create a direct support professional credential pilot program to assist,	N/A	Rejects

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	attract and retain individuals in the DD field. Such pilot would be for three years including state-operated facilities and not for profit providers. The bill requires a report on the pilot due to the Governor and Legislature by 12/31/19.		
N/A	N/A	N/A	Includes a proposal to delay the transition of the TBI and NHTD Waiver programs into Medicaid Managed Care until January 1, 2018.
N/A	N/A	Includes a proposal related to OPWDD's report to the Legislature on their comprehensive plan for the succeeding to require it by December 15 th of each year.	Includes a proposal to require OPWDD to issue report to the Legislature by 10/1/16 on the following: • Progress in meeting the housing needs of those with developmental disabilities including its ongoing review of the residential registration list, increasing access to housing, building awareness on housing options for independent living and assisting with the creation of a

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Special Education/			sustainable living environment through funding for home modifications, down payment assistance and home repairs • An update on implementation of the report and recommendations of the transformation panel including increasing and supporting access to self- directed models of care, expanding access to community integrated housing, increasing integrated employment opportunities and examining the program design and fiscal model for managed care to appropriately address the needs of those with DD.
Preschool School District Waivers	Accents	Poincts	Paiasts
School District Waivers Authorizes the Commissioner of SED to grant a waiver for any requirement imposed on a	Accepts	Rejects	Rejects

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local school district,			
approved private school, or			
BOCES upon a finding that			
the waiver will result in			
implementation of an			
innovative special			
education program that is			
consistent with applicable			
federal requirements, and			
will enhance student			
achievement and/or			
opportunities for placement			
in regular classes and			
programs.			
Teacher Recruitment and	Accepts	Accepts	Accepts
Retention			
Continues \$2 million for			
Teacher recruitment and			
retention in 4410 preschool			
and in 853 school age			
programs			
Public Health			
Cancer Funding	Modifies the Executive	Accepts	Accepts funding at Executive
Commits \$91 million in	Proposal by lining out some	_	level.
funding for a six-point plan	of the funding as separate		
on breast cancer screening,	appropriations:		
services and research	• \$10.7M for up to ten		
including mobile	mammography		
mammography vans,	equipped vehicles		
patient navigators, venture			

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capital funding for breast cancer research, a public awareness campaign and other initiatives; Continues funding for the Cancer Services program at a level of approx. \$25.3 million.	 \$1M for a breast cancer screening and awareness media campaign \$2.625M for up to 35 breast cancer patient navigators to provide post diagnosis assistance \$2M for 10 peer education grants related to breast and prostate cancer screening and awareness in high needs regions of NY 		
N/A	Includes a proposal to extend provisions related to the NYS Environmental Facilities and Cancer Mapping project for five years and require DOH to update its maps and data at least once every five years.	N/A	Accepts
Hypertension Funding Continues funding for the Hypertension program at a level of \$864,000.	Accepts	Accepts	Accepts

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Obesity/Diabetes Funding Continues funding for the Obesity/Diabetes prevention program at a level of \$7,463,000.	Accepts	Accepts	Accepts
Tobacco Control Funding Continues funding for the Tobacco Prevention & Control program at a level of \$39.3 million.	Accepts	Accepts	Accepts
Cystic Fibrosis Funding Continues funding for the Cystic Fibrosis program at a level of \$800,000.	Accepts	Accepts	Accepts
Access to Healthy Foods \$7 million in federal funding announced by the Governor for four organizations in Upstate regions to prevent and control obesity, diabetes, heart disease and stroke including increasing availability of healthy foods in small retail stores; \$100 million provided for a DOS Downtown Initiative which may include funding for healthy food financing in identified regions.	Accepts Includes in Senate Resolution "support for identifying resources to continue the Healthy Food and Healthy Communities initiatives which provide grants and low interest loans for the development of new grocery stores. Funds would be matched 2:1 by Goldman Sachs to maximize State resources."	Accepts	Accepts; Includes \$500,000 as part of the Downtown Revitalization program for the Healthy Food and Health Communities initiative.

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Insurance			
Medicare Part C Makes changes so that Medicaid will not pay any portion of costs associated with Medicare Part C (Medicare Advantage) claims when the total payment to the provider would be greater than the Medicaid rate.	Rejects	Rejects	Accepts
N/A	Includes a proposal to require DFS to study the issue of whether there is a need to make adjustments to the provisional physician credentialing requirements.	N/A	Rejects
N/A	Includes a proposal to change definition of "small group" to one to fifty employees or members of such group.	N/A	Rejects
Workers Compensation Includes a proposal to make changes to the Workers' Compensation Program: • Defines acupuncturists,	Rejects	Rejects	Rejects

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chiropractors, nurse			
practitioners,			
occupational			
therapists, physical			
therapists,			
physicians,			
physicians'			
assistants,			
podiatrists and			
social workers as			
providers.			
 Authorizes 			
treatment by			
physical therapists,			
occupational			
therapists and			
acupuncturists when			
such treatment is			
prescribed by a			
physician.			
 Prohibits physical 			
therapists,			
occupational			
therapists and			
acupuncturists from			
serving as			
independent medical			
examiners or			
witnesses for			
purpose of			
establishing			

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disability/ causal			
relationship of a			
condition to an			
accident or			
occupational disease.			
 Removes role of 			
local medical			
societies in			
recommending and			
removing authorized			
providers			
 Requires providers 			
to adhere to			
authorization			
agreements with the			
WCB Chair, in order			
to participate in the			
system			
 Authorizes chair to 			
remove providers			
that violate			
authorization			
agreements/ fail to			
provide competent			
care			
 Increases time 			
injured workers			
must remain in their			
employers' PPO			
network to 120 days.			