

**A3007-B** Budget No Same as  
Budget Article VII (Internal # 8 - 2021)  
Budget Bills

TITLE....Enacts into law major components of legislation necessary to implement the state health and mental hygiene budget for the 2021-2022 state fiscal year

- 01/20/21 referred to ways and means
  - 02/24/21 amend (t) and recommit to ways and means
  - 02/24/21 print number 3007a
  - 03/13/21 amend (t) and recommit to ways and means
  - 03/13/21 print number 3007b
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# STATE OF NEW YORK

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3007--B

## IN ASSEMBLY

January 20, 2021

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A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read once and referred to the Committee on Ways and Means -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- again reported from said committee with amendments, ordered reprinted as amended and recommitted to said committee

AN ACT to repeal sections 91 and 92 of part H of chapter 59 of the laws of 2011 relating to the year to year rate of growth of Department of Health state funds and Medicaid funding, relating to the state Medicaid spending cap and related processes (Part A); intentionally omitted (Part B); to amend part FFF of chapter 56 of the laws of 2020, amending the public health law relating to extending and enhancing the Medicaid drug cap and to reduce unnecessary pharmacy benefit manager costs to the Medicaid program, in relation to temporarily exempting covered entities under the federal 340B program and comprehensive HIV special needs plans (Part C); intentionally omitted (Part D); intentionally omitted (Part E); to amend the public health law in relation to the definition of originating sites in regards to telehealth services (Part F); to amend the public health law, in relation to authorizing the implementation of medical respite pilot programs (Part G); to amend the social services law, in relation to eliminating consumer-paid premium payments in the basic health program; and providing for the repeal of certain provisions of such law upon expiration thereof (Part H); intentionally omitted (Part I); intentionally omitted (Part J); to amend chapter 266 of the laws of 1986 amending the civil practice law and rules and other laws relating to malpractice and professional medical conduct, in relation to extending the physicians medical malpractice program; to amend part J of chapter 63 of the laws of 2001 amending chapter 266 of the laws of 1986, amending the civil practice law and rules and other laws relating to malpractice and professional medical conduct, relating to the effectiveness of certain provisions of such chapter, in relation to extending certain provisions concerning the hospital excess liability pool; and to amend part H of chapter 57 of the laws of 2017, amending the New York Health Care Reform Act of 1996 and other laws relating to extending certain provisions relating thereto, in relation to extending provisions relating to excess coverage (Part K); intentionally omitted

EXPLANATION--Matter in *italics* (underscored) is new; matter in brackets [-] is old law to be omitted.

LBD12571-03-1

(Part L); intentionally omitted (Part M); intentionally omitted (Part N); intentionally omitted (Part O); intentionally omitted (Part P); intentionally omitted (Part Q); intentionally omitted (Part R); to amend chapter 884 of the laws of 1990, amending the public health law relating to authorizing bad debt and charity care allowances for certified home health agencies, in relation to extending the provisions thereof; to amend chapter 109 of the laws of 2010, amending the social services law relating to transportation costs, in relation to the effectiveness thereof; to amend chapter 81 of the laws of 1995, amending the public health law and other laws relating to medical reimbursement and welfare reform, in relation to the effectiveness thereof; to amend chapter 56 of the laws of 2013 amending chapter 59 of the laws of 2011 amending the public health law and other laws relating to general hospital reimbursement for annual rates, in relation to extending government rates for behavioral services and adding an alternative payment methodology requirement; to amend chapter 517 of the laws of 2016, amending the public health law relating to payments from the New York state medical indemnity fund, in relation to the effectiveness thereof; to amend the public health law, in relation to improved integration of health care and financing; to amend chapter 56 of the laws of 2014, amending the education law relating to the nurse practitioners modernization act, in relation to extending the provisions thereof; and to amend chapter 66 of the laws of 2016, amending the public health law relating to reporting of opioid overdose data, in relation to the effectiveness thereof (Part S); to amend part A of chapter 111 of the laws of 2010 amending the mental hygiene law relating to the receipt of federal and state benefits received by individuals receiving care in facilities operated by an office of the department of mental hygiene, in relation to the effectiveness thereof (Part T); intentionally omitted (Part U); to amend part NN of chapter 58 of the laws of 2015, amending the mental hygiene law relating to clarifying the authority of the commissioners in the department of mental hygiene to design and implement time-limited demonstration programs in relation to the effectiveness thereof; and to amend the mental hygiene law, in relation to requiring certain evaluations, assessments and recommendations to be included in the commissioners statewide comprehensive plan (Part V); to amend chapter 62 of the laws of 2003, amending the mental hygiene law and the state finance law relating to the community mental health support and workforce reinvestment program, the membership of subcommittees for mental health of community services boards and the duties of such subcommittees and creating the community mental health and workforce reinvestment account, in relation to extending such provisions relating thereto; and to amend the mental hygiene law, in relation to the appropriation of funds for the community mental health support and workforce reinvestment program (Part W); intentionally omitted (Part X); intentionally omitted (Part Y); to amend the mental hygiene law, in relation to imposing sanctions due to a provider's failure to comply with the terms of their operating certificate or applicable law and to charge an application processing fee for the issuance of operating certificates (Part Z); to amend the mental hygiene law and the social services law, in relation to crisis stabilization services (Subpart A); intentionally omitted (Subpart B); intentionally omitted (Subpart C) (Part AA); intentionally omitted (Part BB); intentionally omitted (Part CC); intentionally omitted (Part DD); intentionally omitted (Part EE); intentionally omitted (Part FF); intentionally

omitted (Part GG); intentionally omitted (Part HH); to amend the social services law, in relation to the provision of services to certain persons suffering from traumatic brain injuries or qualifying for nursing home diversion and transition services (Part II); to amend the social services law, in relation to school-based health centers for purposes of managed care programs under medicaid (Part JJ); to amend the social services law, in relation to extending the Medicaid coverage period for pregnancy (Part KK); to amend the public health law, in relation to the adult cystic fibrosis assistance program (Part LL); in relation to requiring the commissioner of health to review rates of reimbursement made through the Medicaid program for ambulette transportation for rate adequacy (Part MM); to amend the public health law, in relation to requiring the commissioner of health to review the rates of reimbursement and adequacy of the early intervention program (Part NN); to amend chapter 495 of the laws of 2004, amending the insurance law and the public health law relating to the New York state health insurance continuation assistance demonstration project, in relation to the effectiveness thereof (Part OO); to amend the public health law, in relation to prohibiting program-wide service limitations (Part PP); to amend the social services law, in relation to eligibility for medical assistance (Part QQ); to amend the mental hygiene law, in relation to suicide prevention for high risk groups (Part RR); to amend the mental hygiene law, in relation to suicide prevention for law enforcement, veterans, first responders, and correction officers (Part SS); to amend the public health law, in relation to funds for the New York state area health education center program for certain programs (Part TT); to amend part C of chapter 57 of the laws of 2006 relating to establishing a cost of living adjustment for designated human services programs, in relation to extending COLA provisions for the purpose of establishing rates of payments and in relation to the effectiveness thereof (Part UU); to amend the public health law, in relation to funding early intervention services; and to repeal certain provisions of the public health law and the insurance law relating thereto (Part VV); to amend part KKK of chapter 56 of the laws of 2020 amending the social services law and other laws relating to managed care encounter data, authorizing electronic notifications, and establishing regional demonstration projects, in relation to the regional demonstration program (Part WW); and to amend the public health law and the insurance law, in relation to enhancing coverage and care for medically fragile children (Part XX)

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. This act enacts into law major components of legislation  
 2 necessary to implement the state health and mental hygiene budget for  
 3 the 2021-2022 state fiscal year. Each component is wholly contained  
 4 within a Part identified as Parts A through XX. The effective date for  
 5 each particular provision contained within such Part is set forth in the  
 6 last section of such Part. Any provision in any section contained within  
 7 a Part, including the effective date of the Part, which makes a refer-  
 8 ence to a section "of this act", when used in connection with that  
 9 particular component, shall be deemed to mean and refer to the corre-  
 10 sponding section of the Part in which it is found. Section three of this  
 11 act sets forth the general effective date of this act.

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## PART A

2 Section 1. Sections 91 and 92 of part H of chapter 59 of the laws of  
3 2011 relating to the year to year rate of growth of Department of Health  
4 state funds and Medicaid funding are REPEALED.

5 § 2. This act shall take effect immediately.

6

## PART B

7

Intentionally Omitted

8

## PART C

9 Section 1. Part FFF of chapter 56 of the laws of 2020, amending the  
10 public health law relating to extending and enhancing the Medicaid drug  
11 cap and to reduce unnecessary pharmacy benefit manager costs to the  
12 Medicaid program, is amended by adding a new section 1-b to read as  
13 follows:

14 § 1-b. Notwithstanding any provision of this part or other law, no  
15 action shall be taken by the commissioner of health or the department of  
16 health to remove the pharmacy benefit from the managed care benefit  
17 package under medical assistance (Medicaid) before April 1, 2024 for the  
18 following entities: (a) an eligible provider under section 340B of the  
19 federal Public Health Service Act and (b) a comprehensive HIV special  
20 needs plan under section 4403-c of the public health law.

21 § 2. This act shall take effect immediately.

22

## PART D

23

Intentionally Omitted

24

## PART E

25

Intentionally Omitted

26

## PART F

27 Section 1. Subdivision 3 of section 2999-cc of the public health law,  
28 as amended by section 2 of subpart C of part S of chapter 57 of the laws  
29 of 2018, is amended to read as follows:

30 3. "Originating site" means a site at which a patient is located at  
31 the time health care services are delivered to him or her by means of  
32 telehealth. [~~Originating sites shall be limited to: (a) facilities~~  
33 ~~licensed under articles twenty-eight and forty of this chapter; (b)~~  
34 ~~facilities as defined in subdivision six of section 1.03 of the mental~~  
35 ~~hygiene law; (c) certified and non-certified day and residential~~  
36 ~~programs funded or operated by the office for people with developmental~~  
37 ~~disabilities; (d) private physician's or dentist's offices located with-~~  
38 ~~in the state of New York; (e) any type of adult care facility licensed~~  
39 ~~under title two of article seven of the social services law; (f) public,~~  
40 ~~private and charter elementary and secondary schools, school age child~~  
41 ~~care programs, and child day care centers within the state of New York;~~  
42 ~~and (g) the patient's place of residence located within the state of New~~  
43 ~~York or other temporary location located within or outside the state of~~  
44 ~~New York.]~~

45 § 2. Intentionally omitted.

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1 § 3. Intentionally omitted.

2 § 4. Intentionally omitted.

3 § 5. Intentionally omitted.

4 § 6. Intentionally omitted.

5 § 7. Intentionally omitted.

6 § 8. Intentionally omitted.

7 § 9. This act shall take effect April 1, 2021; provided, however, if  
8 this act shall have become a law after such date it shall take effect  
9 immediately and shall be deemed to have been in full force and effect on  
10 and after April 1, 2021.

11

## PART G

12 Section 1. The public health law is amended by adding a new article  
13 29-J to read as follows:

14

ARTICLE 29-J

15

MEDICAL RESPITE PROGRAM

16

Section 2999-hh. Medical respite program.

17

§ 2999-hh. Medical respite program. 1. Definitions. As used in this  
18 article, the following terms shall have the following meanings, unless  
19 the context clearly otherwise requires:

20

(a) "Medical respite program" means a not-for-profit corporation  
21 certified pursuant to subdivision two of this section to serve recipi-  
22 ents whose prognosis or diagnosis necessitates the receipt of:

23

(i) Temporary room and board with appropriate kitchen and bathroom  
24 facilities for the recipient, and where applicable, family members  
25 and/or dependents; and

26

(ii) The provision or arrangement of the provision of health care and  
27 support services; provided, however, that the operation of a medical  
28 respite program shall be separate and distinct from any housing programs  
29 offered to individuals who do not qualify as recipients, unless such  
30 individuals are the recipient's family member and/or dependent.

31

(b) "Recipient" means an individual who:

32

(i) Has a qualifying health condition that requires treatment or care;

33

(ii) Does not require hospital inpatient, observation unit, or emer-  
34 gency room level of care, or a medically indicated emergency department  
35 or observation visit; and

36

(iii) Is experiencing homelessness or at imminent risk of homeless-  
37 ness. A person shall be deemed "homeless" if they lack a fixed, regular  
38 and adequate nighttime residence in a location ordinarily used as a  
39 regular sleeping accommodation for people.

40

2. Certification. (a) Notwithstanding any inconsistent provision of  
41 law, the commissioner may certify a not-for-profit corporation as an  
42 operator of a medical respite program.

43

(b) The commissioner may make regulations to establish procedures to  
44 review and approve applications for a certification pursuant to this  
45 article, which shall, at a minimum, specify standards for: recipient  
46 eligibility; medical respite program services that shall be provided;  
47 physical environment; staffing; and policies and procedures governing  
48 health and safety, length of stay, referrals, discharge, and coordi-  
49 nation of care.

50

3. Operating standards; responsibility for standards. (a) Medical  
51 respite programs certified pursuant to this article shall:

52

(i) Provide recipients and where applicable, their family members with  
53 temporary room and board with appropriate kitchen and bathroom facili-  
54 ties; and

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1 (ii) Provide, or arrange for the provision of, health care and support  
2 services to recipients.

3 (b) Nothing in this article shall affect the application, qualifica-  
4 tion, or requirements that may apply to an operator with respect to any  
5 other licenses or operating certificates that such operator may hold,  
6 including, without limitation, under article twenty-eight of this chap-  
7 ter or article seven of the social services law.

8 4. Temporary accommodation. A medical respite program shall be consid-  
9 ered a form of emergency shelter or temporary shelter for purposes of  
10 determining a recipient's eligibility for housing programs or benefits  
11 administered by the state or by a local social services district,  
12 including programs or benefits that support access to accommodations of  
13 a temporary, transitional, or permanent nature. No claim of recovery  
14 shall accrue against a recipient to recover the cost of care and  
15 services provided under this article. Care and services provided under  
16 this article shall not be deemed public benefits that would affect a  
17 recipient's immigration status under federal law.

18 5. Inspections and compliance. The commissioner shall have the author-  
19 ity to inquire into the operation of any medical respite program and to  
20 conduct periodic inspections of facilities with respect to the fitness  
21 and adequacy of the premises, equipment, personnel, rules and by-laws,  
22 standards of medical care and services, system of accounts, records, and  
23 the adequacy of financial resources and sources of future revenues.

24 6. Suspension or revocation of certification. (a) A certification for  
25 a medical respite program may be revoked, suspended, limited, annulled  
26 or denied by the commissioner, in consultation with either the commis-  
27 sioners of the office of mental health, the office of temporary and  
28 disability assistance, or the office of addiction services and supports,  
29 as appropriate based on a determination of the department depending on  
30 the diagnosis or stated needs of the individuals being served or  
31 proposed to be served in the medical respite program, if an operator is  
32 determined to have failed to comply with this article. No action taken  
33 against an operator under this subdivision shall affect an operator's  
34 other licenses or certifications; provided however, that the facts that  
35 gave rise to the revocation, suspension, limitation, annulment or denial  
36 of certification may also form the basis of a limitation, suspension of  
37 revocation of such other licenses or certifications.

38 (b) No medical respite program certification shall be revoked,  
39 suspended, limited, annulled or denied without a hearing; provided that  
40 a certification may be temporarily suspended or limited without a hear-  
41 ing for a period not in excess of thirty days upon written notice that  
42 the continuation of the medical respite program places the health or  
43 safety of the recipients in imminent danger, and that the action is in  
44 the interest of the recipients. However, the department shall not make  
45 a determination until the program has had a reasonable opportunity,  
46 following the initial determination that the program places the health  
47 or safety of the recipients in imminent danger, to correct its deficien-  
48 cies and following this period, has been given written notice and oppor-  
49 tunity for hearing.

50 (c) Nothing in this section shall prevent the commissioner from impos-  
51 ing sanctions or penalties on a medical respite program that are author-  
52 ized under any other law or regulation.

53 7. The commissioner shall promulgate regulations to implement this  
54 article.

55 § 2. This act shall take effect immediately and shall be deemed to  
56 have been in full force and effect on and after April 1, 2021.

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## PART H

2 Section 1. The title heading of title 11-D of article 5 of the social  
3 services law, as added by chapter 1 of the laws of 1999, is amended to  
4 read as follows:

5 ~~[FAMILY]~~ BASIC HEALTH ~~[PLUS]~~ PROGRAM

6 § 2. Paragraph (d) of subdivision 3, subdivision 5 and subdivision 7  
7 of section 369-gg of the social services law, as added by section 51 of  
8 part C of chapter 60 of the laws of 2014 and subdivision 7 as renumbered  
9 by section 28 of part B of chapter 57 of the laws of 2015, are amended  
10 to read as follows:

11 (d) (i) has household income at or below two hundred percent of the  
12 federal poverty line defined and annually revised by the United States  
13 department of health and human services for a household of the same  
14 size; and (ii) has household income that exceeds one hundred thirty-  
15 three percent of the federal poverty line defined and annually revised  
16 by the United States department of health and human services for a  
17 household of the same size; however, MAGI eligible aliens lawfully pres-  
18 ent in the United States with household incomes at or below one hundred  
19 thirty-three percent of the federal poverty line shall be eligible to  
20 receive coverage for health care services pursuant to the provisions of  
21 this title if such alien would be ineligible for medical assistance  
22 under title eleven of this article due to his or her immigration status.

23 An applicant who fails to make an applicable premium payment, if any,  
24 shall lose eligibility to receive coverage for health care services in  
25 accordance with time frames and procedures determined by the commission-  
26 er.

27 5. Premiums and cost sharing. (a) Subject to federal approval, the  
28 commissioner shall establish premium payments enrollees shall pay to  
29 approved organizations for coverage of health care services pursuant to  
30 this title. ~~[Such premium payments shall be established in the following~~  
31 ~~manner:~~

32 ~~(i) up to twenty dollars monthly for an individual with a household~~  
33 ~~income above one hundred and fifty percent of the federal poverty line~~  
34 ~~but at or below two hundred percent of the federal poverty line defined~~  
35 ~~and annually revised by the United States department of health and human~~  
36 ~~services for a household of the same size; and~~

37 ~~(ii) no~~ No payment is required for individuals with a household  
38 income at or below ~~[one hundred and fifty]~~ two hundred percent of the  
39 federal poverty line defined and annually revised by the United States  
40 department of health and human services for a household of the same  
41 size.

42 (b) ~~[The commissioner shall establish cost sharing obligations for~~  
43 ~~enrollees, subject to federal approval]~~ There shall be no cost sharing  
44 obligations for enrollees, including for dental and vision services.

45 7. Any funds transferred by the secretary of health and human services  
46 to the state pursuant to 42 U.S.C. 18051(d) shall be deposited in trust.  
47 Funds from the trust shall be used for providing health benefits through  
48 an approved organization, which, at a minimum, shall include essential  
49 health benefits as defined in 42 U.S.C. 18022(b); to reduce the  
50 premiums, if any, and cost sharing of participants in the basic health  
51 program; or for such other purposes as may be allowed by the secretary  
52 of health and human services. Health benefits available through the  
53 basic health program shall be provided by one or more approved organiza-  
54 tions pursuant to an agreement with the department of health and shall



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1 meet the requirements of applicable federal and state laws and regu-  
2 lations.

3 § 2-a. Section 369-gg of the social services law is amended by adding  
4 a new subdivision 3-a to read as follows:

5 3-a. Novel coronavirus, COVID-19 eligibility. A person shall also be  
6 eligible to receive coverage for health care services under this title,  
7 without regard to federal financial participation, if he or she is a  
8 resident of the state, has or has had a confirmed or suspected case of  
9 novel coronavirus, COVID-19, household income below two hundred percent  
10 of the federal poverty line as defined and annually revised by the  
11 United States department of health and human services for a household of  
12 the same size, and is ineligible for federal financial participation in  
13 the basic health program under 42 U.S.C. section 18051 on the basis of  
14 immigration status, but otherwise meets the eligibility requirements in  
15 paragraphs (b) and (c) of subdivision three of this section. An appli-  
16 cant who fails to make an applicable premium payment shall lose eligi-  
17 bility to receive coverage for health care services in accordance with  
18 the time frames and procedures determined by the commissioner.

19 § 3. This act shall take effect immediately; provided, however, that  
20 sections one and two of this act shall take effect June 1, 2021;  
21 provided further, however, that section two-a of this act shall expire  
22 and be deemed repealed sixty days following the conclusion of the state  
23 disaster emergency declared pursuant to executive order 202, provided  
24 that the commissioner of health shall notify the legislative bill draft-  
25 ing commission upon the occurrence of the conclusion of such executive  
26 order in order that the commission may maintain an accurate and timely  
27 effective data base of the official text of the laws of the state of New  
28 York in furtherance of effectuating the provisions of section 44 of the  
29 legislative law and section 70-b of the public officers law.

30 PART I

31 Intentionally Omitted

32 PART J

33 Intentionally Omitted

34 PART K

35 Section 1. Paragraph (a) of subdivision 1 of section 18 of chapter 266  
36 of the laws of 1986, amending the civil practice law and rules and other  
37 laws relating to malpractice and professional medical conduct, as  
38 amended by section 1 of part AAA of chapter 56 of the laws of 2020, is  
39 amended to read as follows:

40 (a) The superintendent of financial services and the commissioner of  
41 health or their designee shall, from funds available in the hospital  
42 excess liability pool created pursuant to subdivision 5 of this section,  
43 purchase a policy or policies for excess insurance coverage, as author-  
44 ized by paragraph 1 of subsection (e) of section 5502 of the insurance  
45 law; or from an insurer, other than an insurer described in section 5502  
46 of the insurance law, duly authorized to write such coverage and actual-  
47 ly writing medical malpractice insurance in this state; or shall  
48 purchase equivalent excess coverage in a form previously approved by the  
49 superintendent of financial services for purposes of providing equiv-  
50 alent excess coverage in accordance with section 19 of chapter 294 of

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1 the laws of 1985, for medical or dental malpractice occurrences between  
2 July 1, 1986 and June 30, 1987, between July 1, 1987 and June 30, 1988,  
3 between July 1, 1988 and June 30, 1989, between July 1, 1989 and June  
4 30, 1990, between July 1, 1990 and June 30, 1991, between July 1, 1991  
5 and June 30, 1992, between July 1, 1992 and June 30, 1993, between July  
6 1, 1993 and June 30, 1994, between July 1, 1994 and June 30, 1995,  
7 between July 1, 1995 and June 30, 1996, between July 1, 1996 and June  
8 30, 1997, between July 1, 1997 and June 30, 1998, between July 1, 1998  
9 and June 30, 1999, between July 1, 1999 and June 30, 2000, between July  
10 1, 2000 and June 30, 2001, between July 1, 2001 and June 30, 2002,  
11 between July 1, 2002 and June 30, 2003, between July 1, 2003 and June  
12 30, 2004, between July 1, 2004 and June 30, 2005, between July 1, 2005  
13 and June 30, 2006, between July 1, 2006 and June 30, 2007, between July  
14 1, 2007 and June 30, 2008, between July 1, 2008 and June 30, 2009,  
15 between July 1, 2009 and June 30, 2010, between July 1, 2010 and June  
16 30, 2011, between July 1, 2011 and June 30, 2012, between July 1, 2012  
17 and June 30, 2013, between July 1, 2013 and June 30, 2014, between July  
18 1, 2014 and June 30, 2015, between July 1, 2015 and June 30, 2016,  
19 between July 1, 2016 and June 30, 2017, between July 1, 2017 and June  
20 30, 2018, between July 1, 2018 and June 30, 2019, between July 1, 2019  
21 and June 30, 2020, [and] between July 1, 2020 and June 30, 2021, and  
22 between July 1, 2021 and June 30, 2022 or reimburse the hospital where  
23 the hospital purchases equivalent excess coverage as defined in subpara-  
24 graph (i) of paragraph (a) of subdivision 1-a of this section for  
25 medical or dental malpractice occurrences between July 1, 1987 and June  
26 30, 1988, between July 1, 1988 and June 30, 1989, between July 1, 1989  
27 and June 30, 1990, between July 1, 1990 and June 30, 1991, between July  
28 1, 1991 and June 30, 1992, between July 1, 1992 and June 30, 1993,  
29 between July 1, 1993 and June 30, 1994, between July 1, 1994 and June  
30 30, 1995, between July 1, 1995 and June 30, 1996, between July 1, 1996  
31 and June 30, 1997, between July 1, 1997 and June 30, 1998, between July  
32 1, 1998 and June 30, 1999, between July 1, 1999 and June 30, 2000,  
33 between July 1, 2000 and June 30, 2001, between July 1, 2001 and June  
34 30, 2002, between July 1, 2002 and June 30, 2003, between July 1, 2003  
35 and June 30, 2004, between July 1, 2004 and June 30, 2005, between July  
36 1, 2005 and June 30, 2006, between July 1, 2006 and June 30, 2007,  
37 between July 1, 2007 and June 30, 2008, between July 1, 2008 and June  
38 30, 2009, between July 1, 2009 and June 30, 2010, between July 1, 2010  
39 and June 30, 2011, between July 1, 2011 and June 30, 2012, between July  
40 1, 2012 and June 30, 2013, between July 1, 2013 and June 30, 2014,  
41 between July 1, 2014 and June 30, 2015, between July 1, 2015 and June  
42 30, 2016, between July 1, 2016 and June 30, 2017, between July 1, 2017  
43 and June 30, 2018, between July 1, 2018 and June 30, 2019, between July  
44 1, 2019 and June 30, 2020, [and] between July 1, 2020 and June 30, 2021,  
45 and between July 1, 2021 and June 30, 2022 for physicians or dentists  
46 certified as eligible for each such period or periods pursuant to subdivi-  
47 sion 2 of this section by a general hospital licensed pursuant to  
48 article 28 of the public health law; provided that no single insurer  
49 shall write more than fifty percent of the total excess premium for a  
50 given policy year; and provided, however, that such eligible physicians  
51 or dentists must have in force an individual policy, from an insurer  
52 licensed in this state of primary malpractice insurance coverage in  
53 amounts of no less than one million three hundred thousand dollars for  
54 each claimant and three million nine hundred thousand dollars for all  
55 claimants under that policy during the period of such excess coverage  
56 for such occurrences or be endorsed as additional insureds under a

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1 hospital professional liability policy which is offered through a volun-  
2 tary attending physician ("channeling") program previously permitted by  
3 the superintendent of financial services during the period of such  
4 excess coverage for such occurrences. During such period, such policy  
5 for excess coverage or such equivalent excess coverage shall, when  
6 combined with the physician's or dentist's primary malpractice insurance  
7 coverage or coverage provided through a voluntary attending physician  
8 ("channeling") program, total an aggregate level of two million three  
9 hundred thousand dollars for each claimant and six million nine hundred  
10 thousand dollars for all claimants from all such policies with respect  
11 to occurrences in each of such years provided, however, if the cost of  
12 primary malpractice insurance coverage in excess of one million dollars,  
13 but below the excess medical malpractice insurance coverage provided  
14 pursuant to this act, exceeds the rate of nine percent per annum, then  
15 the required level of primary malpractice insurance coverage in excess  
16 of one million dollars for each claimant shall be in an amount of not  
17 less than the dollar amount of such coverage available at nine percent  
18 per annum; the required level of such coverage for all claimants under  
19 that policy shall be in an amount not less than three times the dollar  
20 amount of coverage for each claimant; and excess coverage, when combined  
21 with such primary malpractice insurance coverage, shall increase the  
22 aggregate level for each claimant by one million dollars and three  
23 million dollars for all claimants; and provided further, that, with  
24 respect to policies of primary medical malpractice coverage that include  
25 occurrences between April 1, 2002 and June 30, 2002, such requirement  
26 that coverage be in amounts no less than one million three hundred thou-  
27 sand dollars for each claimant and three million nine hundred thousand  
28 dollars for all claimants for such occurrences shall be effective April  
29 1, 2002.

30 § 2. Subdivision 3 of section 18 of chapter 266 of the laws of 1986,  
31 amending the civil practice law and rules and other laws relating to  
32 malpractice and professional medical conduct, as amended by section 2 of  
33 part AAA of chapter 56 of the laws of 2020, is amended to read as  
34 follows:

35 (3)(a) The superintendent of financial services shall determine and  
36 certify to each general hospital and to the commissioner of health the  
37 cost of excess malpractice insurance for medical or dental malpractice  
38 occurrences between July 1, 1986 and June 30, 1987, between July 1, 1988  
39 and June 30, 1989, between July 1, 1989 and June 30, 1990, between July  
40 1, 1990 and June 30, 1991, between July 1, 1991 and June 30, 1992,  
41 between July 1, 1992 and June 30, 1993, between July 1, 1993 and June  
42 30, 1994, between July 1, 1994 and June 30, 1995, between July 1, 1995  
43 and June 30, 1996, between July 1, 1996 and June 30, 1997, between July  
44 1, 1997 and June 30, 1998, between July 1, 1998 and June 30, 1999,  
45 between July 1, 1999 and June 30, 2000, between July 1, 2000 and June  
46 30, 2001, between July 1, 2001 and June 30, 2002, between July 1, 2002  
47 and June 30, 2003, between July 1, 2003 and June 30, 2004, between July  
48 1, 2004 and June 30, 2005, between July 1, 2005 and June 30, 2006,  
49 between July 1, 2006 and June 30, 2007, between July 1, 2007 and June  
50 30, 2008, between July 1, 2008 and June 30, 2009, between July 1, 2009  
51 and June 30, 2010, between July 1, 2010 and June 30, 2011, between July  
52 1, 2011 and June 30, 2012, between July 1, 2012 and June 30, 2013, [and]  
53 between July 1, 2013 and June 30, 2014, between July 1, 2014 and June  
54 30, 2015, between July 1, 2015 and June 30, 2016, [and] between July 1,  
55 2016 and June 30, 2017, between July 1, 2017 and June 30, 2018, between  
56 July 1, 2018 and June 30, 2019, between July 1, 2019 and June 30, 2020,

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1 ~~and~~ between July 1, 2020 and June 30, 2021, and between July 1, 2021  
2 and June 30, 2022 allocable to each general hospital for physicians or  
3 dentists certified as eligible for purchase of a policy for excess  
4 insurance coverage by such general hospital in accordance with subdivi-  
5 sion 2 of this section, and may amend such determination and certifi-  
6 cation as necessary.

7 (b) The superintendent of financial services shall determine and  
8 certify to each general hospital and to the commissioner of health the  
9 cost of excess malpractice insurance or equivalent excess coverage for  
10 medical or dental malpractice occurrences between July 1, 1987 and June  
11 30, 1988, between July 1, 1988 and June 30, 1989, between July 1, 1989  
12 and June 30, 1990, between July 1, 1990 and June 30, 1991, between July  
13 1, 1991 and June 30, 1992, between July 1, 1992 and June 30, 1993,  
14 between July 1, 1993 and June 30, 1994, between July 1, 1994 and June  
15 30, 1995, between July 1, 1995 and June 30, 1996, between July 1, 1996  
16 and June 30, 1997, between July 1, 1997 and June 30, 1998, between July  
17 1, 1998 and June 30, 1999, between July 1, 1999 and June 30, 2000,  
18 between July 1, 2000 and June 30, 2001, between July 1, 2001 and June  
19 30, 2002, between July 1, 2002 and June 30, 2003, between July 1, 2003  
20 and June 30, 2004, between July 1, 2004 and June 30, 2005, between July  
21 1, 2005 and June 30, 2006, between July 1, 2006 and June 30, 2007,  
22 between July 1, 2007 and June 30, 2008, between July 1, 2008 and June  
23 30, 2009, between July 1, 2009 and June 30, 2010, between July 1, 2010  
24 and June 30, 2011, between July 1, 2011 and June 30, 2012, between July  
25 1, 2012 and June 30, 2013, between July 1, 2013 and June 30, 2014,  
26 between July 1, 2014 and June 30, 2015, between July 1, 2015 and June  
27 30, 2016, between July 1, 2016 and June 30, 2017, between July 1, 2017  
28 and June 30, 2018, between July 1, 2018 and June 30, 2019, between July  
29 1, 2019 and June 30, 2020, ~~and~~ between July 1, 2020 and June 30, 2021,  
30 and between July 1, 2021 and June 30, 2022 allocable to each general  
31 hospital for physicians or dentists certified as eligible for purchase  
32 of a policy for excess insurance coverage or equivalent excess coverage  
33 by such general hospital in accordance with subdivision 2 of this  
34 section, and may amend such determination and certification as neces-  
35 sary. The superintendent of financial services shall determine and  
36 certify to each general hospital and to the commissioner of health the  
37 ratable share of such cost allocable to the period July 1, 1987 to  
38 December 31, 1987, to the period January 1, 1988 to June 30, 1988, to  
39 the period July 1, 1988 to December 31, 1988, to the period January 1,  
40 1989 to June 30, 1989, to the period July 1, 1989 to December 31, 1989,  
41 to the period January 1, 1990 to June 30, 1990, to the period July 1,  
42 1990 to December 31, 1990, to the period January 1, 1991 to June 30,  
43 1991, to the period July 1, 1991 to December 31, 1991, to the period  
44 January 1, 1992 to June 30, 1992, to the period July 1, 1992 to December  
45 31, 1992, to the period January 1, 1993 to June 30, 1993, to the period  
46 July 1, 1993 to December 31, 1993, to the period January 1, 1994 to June  
47 30, 1994, to the period July 1, 1994 to December 31, 1994, to the period  
48 January 1, 1995 to June 30, 1995, to the period July 1, 1995 to December  
49 31, 1995, to the period January 1, 1996 to June 30, 1996, to the period  
50 July 1, 1996 to December 31, 1996, to the period January 1, 1997 to June  
51 30, 1997, to the period July 1, 1997 to December 31, 1997, to the period  
52 January 1, 1998 to June 30, 1998, to the period July 1, 1998 to December  
53 31, 1998, to the period January 1, 1999 to June 30, 1999, to the period  
54 July 1, 1999 to December 31, 1999, to the period January 1, 2000 to June  
55 30, 2000, to the period July 1, 2000 to December 31, 2000, to the period  
56 January 1, 2001 to June 30, 2001, to the period July 1, 2001 to June 30,

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1 2002, to the period July 1, 2002 to June 30, 2003, to the period July 1,  
2 2003 to June 30, 2004, to the period July 1, 2004 to June 30, 2005, to  
3 the period July 1, 2005 and June 30, 2006, to the period July 1, 2006  
4 and June 30, 2007, to the period July 1, 2007 and June 30, 2008, to the  
5 period July 1, 2008 and June 30, 2009, to the period July 1, 2009 and  
6 June 30, 2010, to the period July 1, 2010 and June 30, 2011, to the  
7 period July 1, 2011 and June 30, 2012, to the period July 1, 2012 and  
8 June 30, 2013, to the period July 1, 2013 and June 30, 2014, to the  
9 period July 1, 2014 and June 30, 2015, to the period July 1, 2015 and  
10 June 30, 2016, to the period July 1, 2016 and June 30, 2017, to the  
11 period July 1, 2017 to June 30, 2018, to the period July 1, 2018 to June  
12 30, 2019, to the period July 1, 2019 to June 30, 2020, [and] to the  
13 period July 1, 2020 to June 30, 2021, and to the period July 1, 2021 to  
14 June 30, 2022.

15 § 3. Paragraphs (a), (b), (c), (d) and (e) of subdivision 8 of section  
16 18 of chapter 266 of the laws of 1986, amending the civil practice law  
17 and rules and other laws relating to malpractice and professional  
18 medical conduct, as amended by section 3 of part AAA of chapter 56 of  
19 the laws of 2020, are amended to read as follows:

20 (a) To the extent funds available to the hospital excess liability  
21 pool pursuant to subdivision 5 of this section as amended, and pursuant  
22 to section 6 of part J of chapter 63 of the laws of 2001, as may from  
23 time to time be amended, which amended this subdivision, are insuffi-  
24 cient to meet the costs of excess insurance coverage or equivalent  
25 excess coverage for coverage periods during the period July 1, 1992 to  
26 June 30, 1993, during the period July 1, 1993 to June 30, 1994, during  
27 the period July 1, 1994 to June 30, 1995, during the period July 1, 1995  
28 to June 30, 1996, during the period July 1, 1996 to June 30, 1997,  
29 during the period July 1, 1997 to June 30, 1998, during the period July  
30 1, 1998 to June 30, 1999, during the period July 1, 1999 to June 30,  
31 2000, during the period July 1, 2000 to June 30, 2001, during the period  
32 July 1, 2001 to October 29, 2001, during the period April 1, 2002 to  
33 June 30, 2002, during the period July 1, 2002 to June 30, 2003, during  
34 the period July 1, 2003 to June 30, 2004, during the period July 1, 2004  
35 to June 30, 2005, during the period July 1, 2005 to June 30, 2006,  
36 during the period July 1, 2006 to June 30, 2007, during the period July  
37 1, 2007 to June 30, 2008, during the period July 1, 2008 to June 30,  
38 2009, during the period July 1, 2009 to June 30, 2010, during the period  
39 July 1, 2010 to June 30, 2011, during the period July 1, 2011 to June  
40 30, 2012, during the period July 1, 2012 to June 30, 2013, during the  
41 period July 1, 2013 to June 30, 2014, during the period July 1, 2014 to  
42 June 30, 2015, during the period July 1, 2015 to June 30, 2016, during  
43 the period July 1, 2016 to June 30, 2017, during the period July 1, 2017  
44 to June 30, 2018, during the period July 1, 2018 to June 30, 2019,  
45 during the period July 1, 2019 to June 30, 2020, [and] during the period  
46 July 1, 2020 to June 30, 2021, and during the period July 1, 2021 to  
47 June 30, 2022 allocated or reallocated in accordance with paragraph (a)  
48 of subdivision 4-a of this section to rates of payment applicable to  
49 state governmental agencies, each physician or dentist for whom a policy  
50 for excess insurance coverage or equivalent excess coverage is purchased  
51 for such period shall be responsible for payment to the provider of  
52 excess insurance coverage or equivalent excess coverage of an allocable  
53 share of such insufficiency, based on the ratio of the total cost of  
54 such coverage for such physician to the sum of the total cost of such  
55 coverage for all physicians applied to such insufficiency.

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1 (b) Each provider of excess insurance coverage or equivalent excess  
2 coverage covering the period July 1, 1992 to June 30, 1993, or covering  
3 the period July 1, 1993 to June 30, 1994, or covering the period July 1,  
4 1994 to June 30, 1995, or covering the period July 1, 1995 to June 30,  
5 1996, or covering the period July 1, 1996 to June 30, 1997, or covering  
6 the period July 1, 1997 to June 30, 1998, or covering the period July 1,  
7 1998 to June 30, 1999, or covering the period July 1, 1999 to June 30,  
8 2000, or covering the period July 1, 2000 to June 30, 2001, or covering  
9 the period July 1, 2001 to October 29, 2001, or covering the period  
10 April 1, 2002 to June 30, 2002, or covering the period July 1, 2002 to  
11 June 30, 2003, or covering the period July 1, 2003 to June 30, 2004, or  
12 covering the period July 1, 2004 to June 30, 2005, or covering the peri-  
13 od July 1, 2005 to June 30, 2006, or covering the period July 1, 2006 to  
14 June 30, 2007, or covering the period July 1, 2007 to June 30, 2008, or  
15 covering the period July 1, 2008 to June 30, 2009, or covering the peri-  
16 od July 1, 2009 to June 30, 2010, or covering the period July 1, 2010 to  
17 June 30, 2011, or covering the period July 1, 2011 to June 30, 2012, or  
18 covering the period July 1, 2012 to June 30, 2013, or covering the peri-  
19 od July 1, 2013 to June 30, 2014, or covering the period July 1, 2014 to  
20 June 30, 2015, or covering the period July 1, 2015 to June 30, 2016, or  
21 covering the period July 1, 2016 to June 30, 2017, or covering the peri-  
22 od July 1, 2017 to June 30, 2018, or covering the period July 1, 2018 to  
23 June 30, 2019, or covering the period July 1, 2019 to June 30, 2020, or  
24 covering the period July 1, 2020 to June 30, 2021, or covering the peri-  
25 od July 1, 2021 to June 30, 2022 shall notify a covered physician or  
26 dentist by mail, mailed to the address shown on the last application for  
27 excess insurance coverage or equivalent excess coverage, of the amount  
28 due to such provider from such physician or dentist for such coverage  
29 period determined in accordance with paragraph (a) of this subdivision.  
30 Such amount shall be due from such physician or dentist to such provider  
31 of excess insurance coverage or equivalent excess coverage in a time and  
32 manner determined by the superintendent of financial services.

33 (c) If a physician or dentist liable for payment of a portion of the  
34 costs of excess insurance coverage or equivalent excess coverage cover-  
35 ing the period July 1, 1992 to June 30, 1993, or covering the period  
36 July 1, 1993 to June 30, 1994, or covering the period July 1, 1994 to  
37 June 30, 1995, or covering the period July 1, 1995 to June 30, 1996, or  
38 covering the period July 1, 1996 to June 30, 1997, or covering the peri-  
39 od July 1, 1997 to June 30, 1998, or covering the period July 1, 1998 to  
40 June 30, 1999, or covering the period July 1, 1999 to June 30, 2000, or  
41 covering the period July 1, 2000 to June 30, 2001, or covering the peri-  
42 od July 1, 2001 to October 29, 2001, or covering the period April 1,  
43 2002 to June 30, 2002, or covering the period July 1, 2002 to June 30,  
44 2003, or covering the period July 1, 2003 to June 30, 2004, or covering  
45 the period July 1, 2004 to June 30, 2005, or covering the period July 1,  
46 2005 to June 30, 2006, or covering the period July 1, 2006 to June 30,  
47 2007, or covering the period July 1, 2007 to June 30, 2008, or covering  
48 the period July 1, 2008 to June 30, 2009, or covering the period July 1,  
49 2009 to June 30, 2010, or covering the period July 1, 2010 to June 30,  
50 2011, or covering the period July 1, 2011 to June 30, 2012, or covering  
51 the period July 1, 2012 to June 30, 2013, or covering the period July 1,  
52 2013 to June 30, 2014, or covering the period July 1, 2014 to June 30,  
53 2015, or covering the period July 1, 2015 to June 30, 2016, or covering  
54 the period July 1, 2016 to June 30, 2017, or covering the period July 1,  
55 2017 to June 30, 2018, or covering the period July 1, 2018 to June 30,  
56 2019, or covering the period July 1, 2019 to June 30, 2020, or covering



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1 the period July 1, 2020 to June 30, 2021, or covering the period July 1,  
2 2021 to June 30, 2022 determined in accordance with paragraph (a) of  
3 this subdivision fails, refuses or neglects to make payment to the  
4 provider of excess insurance coverage or equivalent excess coverage in  
5 such time and manner as determined by the superintendent of financial  
6 services pursuant to paragraph (b) of this subdivision, excess insurance  
7 coverage or equivalent excess coverage purchased for such physician or  
8 dentist in accordance with this section for such coverage period shall  
9 be cancelled and shall be null and void as of the first day on or after  
10 the commencement of a policy period where the liability for payment  
11 pursuant to this subdivision has not been met.

12 (d) Each provider of excess insurance coverage or equivalent excess  
13 coverage shall notify the superintendent of financial services and the  
14 commissioner of health or their designee of each physician and dentist  
15 eligible for purchase of a policy for excess insurance coverage or  
16 equivalent excess coverage covering the period July 1, 1992 to June 30,  
17 1993, or covering the period July 1, 1993 to June 30, 1994, or covering  
18 the period July 1, 1994 to June 30, 1995, or covering the period July 1,  
19 1995 to June 30, 1996, or covering the period July 1, 1996 to June 30,  
20 1997, or covering the period July 1, 1997 to June 30, 1998, or covering  
21 the period July 1, 1998 to June 30, 1999, or covering the period July 1,  
22 1999 to June 30, 2000, or covering the period July 1, 2000 to June 30,  
23 2001, or covering the period July 1, 2001 to October 29, 2001, or cover-  
24 ing the period April 1, 2002 to June 30, 2002, or covering the period  
25 July 1, 2002 to June 30, 2003, or covering the period July 1, 2003 to  
26 June 30, 2004, or covering the period July 1, 2004 to June 30, 2005, or  
27 covering the period July 1, 2005 to June 30, 2006, or covering the peri-  
28 od July 1, 2006 to June 30, 2007, or covering the period July 1, 2007 to  
29 June 30, 2008, or covering the period July 1, 2008 to June 30, 2009, or  
30 covering the period July 1, 2009 to June 30, 2010, or covering the peri-  
31 od July 1, 2010 to June 30, 2011, or covering the period July 1, 2011 to  
32 June 30, 2012, or covering the period July 1, 2012 to June 30, 2013, or  
33 covering the period July 1, 2013 to June 30, 2014, or covering the peri-  
34 od July 1, 2014 to June 30, 2015, or covering the period July 1, 2015 to  
35 June 30, 2016, or covering the period July 1, 2016 to June 30, 2017, or  
36 covering the period July 1, 2017 to June 30, 2018, or covering the peri-  
37 od July 1, 2018 to June 30, 2019, or covering the period July 1, 2019 to  
38 June 30, 2020, or covering the period July 1, 2020 to June 30, 2021, or  
39 covering the period July 1, 2021 to June 30, 2022 that has made payment  
40 to such provider of excess insurance coverage or equivalent excess  
41 coverage in accordance with paragraph (b) of this subdivision and of  
42 each physician and dentist who has failed, refused or neglected to make  
43 such payment.

44 (e) A provider of excess insurance coverage or equivalent excess  
45 coverage shall refund to the hospital excess liability pool any amount  
46 allocable to the period July 1, 1992 to June 30, 1993, and to the period  
47 July 1, 1993 to June 30, 1994, and to the period July 1, 1994 to June  
48 30, 1995, and to the period July 1, 1995 to June 30, 1996, and to the  
49 period July 1, 1996 to June 30, 1997, and to the period July 1, 1997 to  
50 June 30, 1998, and to the period July 1, 1998 to June 30, 1999, and to  
51 the period July 1, 1999 to June 30, 2000, and to the period July 1, 2000  
52 to June 30, 2001, and to the period July 1, 2001 to October 29, 2001,  
53 and to the period April 1, 2002 to June 30, 2002, and to the period July  
54 1, 2002 to June 30, 2003, and to the period July 1, 2003 to June 30,  
55 2004, and to the period July 1, 2004 to June 30, 2005, and to the period  
56 July 1, 2005 to June 30, 2006, and to the period July 1, 2006 to June

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1 30, 2007, and to the period July 1, 2007 to June 30, 2008, and to the  
2 period July 1, 2008 to June 30, 2009, and to the period July 1, 2009 to  
3 June 30, 2010, and to the period July 1, 2010 to June 30, 2011, and to  
4 the period July 1, 2011 to June 30, 2012, and to the period July 1, 2012  
5 to June 30, 2013, and to the period July 1, 2013 to June 30, 2014, and  
6 to the period July 1, 2014 to June 30, 2015, and to the period July 1,  
7 2015 to June 30, 2016, to the period July 1, 2016 to June 30, 2017, and  
8 to the period July 1, 2017 to June 30, 2018, and to the period July 1,  
9 2018 to June 30, 2019, and to the period July 1, 2019 to June 30, 2020,  
10 and to the period July 1, 2020 to June 30, 2021, and to the period July  
11 1, 2021 to June 30, 2022 received from the hospital excess liability  
12 pool for purchase of excess insurance coverage or equivalent excess  
13 coverage covering the period July 1, 1992 to June 30, 1993, and covering  
14 the period July 1, 1993 to June 30, 1994, and covering the period July  
15 1, 1994 to June 30, 1995, and covering the period July 1, 1995 to June  
16 30, 1996, and covering the period July 1, 1996 to June 30, 1997, and  
17 covering the period July 1, 1997 to June 30, 1998, and covering the  
18 period July 1, 1998 to June 30, 1999, and covering the period July 1,  
19 1999 to June 30, 2000, and covering the period July 1, 2000 to June 30,  
20 2001, and covering the period July 1, 2001 to October 29, 2001, and  
21 covering the period April 1, 2002 to June 30, 2002, and covering the  
22 period July 1, 2002 to June 30, 2003, and covering the period July 1,  
23 2003 to June 30, 2004, and covering the period July 1, 2004 to June 30,  
24 2005, and covering the period July 1, 2005 to June 30, 2006, and cover-  
25 ing the period July 1, 2006 to June 30, 2007, and covering the period  
26 July 1, 2007 to June 30, 2008, and covering the period July 1, 2008 to  
27 June 30, 2009, and covering the period July 1, 2009 to June 30, 2010,  
28 and covering the period July 1, 2010 to June 30, 2011, and covering the  
29 period July 1, 2011 to June 30, 2012, and covering the period July 1,  
30 2012 to June 30, 2013, and covering the period July 1, 2013 to June 30,  
31 2014, and covering the period July 1, 2014 to June 30, 2015, and cover-  
32 ing the period July 1, 2015 to June 30, 2016, and covering the period  
33 July 1, 2016 to June 30, 2017, and covering the period July 1, 2017 to  
34 June 30, 2018, and covering the period July 1, 2018 to June 30, 2019,  
35 and covering the period July 1, 2019 to June 30, 2020, and covering the  
36 period July 1, 2020 to June 30, 2021, and covering the period July 1,  
37 2021 to June 30, 2022 for a physician or dentist where such excess  
38 insurance coverage or equivalent excess coverage is cancelled in accord-  
39 ance with paragraph (c) of this subdivision.

40 § 4. Section 40 of chapter 266 of the laws of 1986, amending the civil  
41 practice law and rules and other laws relating to malpractice and  
42 professional medical conduct, as amended by section 5 of part AAA of  
43 chapter 56 of the laws of 2020, is amended to read as follows:

44 § 40. The superintendent of financial services shall establish rates  
45 for policies providing coverage for physicians and surgeons medical  
46 malpractice for the periods commencing July 1, 1985 and ending June 30,  
47 ~~2021~~ 2022; provided, however, that notwithstanding any other provision  
48 of law, the superintendent shall not establish or approve any increase  
49 in rates for the period commencing July 1, 2009 and ending June 30,  
50 2010. The superintendent shall direct insurers to establish segregated  
51 accounts for premiums, payments, reserves and investment income attrib-  
52 utable to such premium periods and shall require periodic reports by the  
53 insurers regarding claims and expenses attributable to such periods to  
54 monitor whether such accounts will be sufficient to meet incurred claims  
55 and expenses. On or after July 1, 1989, the superintendent shall impose  
56 a surcharge on premiums to satisfy a projected deficiency that is



1 attributable to the premium levels established pursuant to this section  
2 for such periods; provided, however, that such annual surcharge shall  
3 not exceed eight percent of the established rate until July 1, [~~2021~~]  
4 2022, at which time and thereafter such surcharge shall not exceed twen-  
5 ty-five percent of the approved adequate rate, and that such annual  
6 surcharges shall continue for such period of time as shall be sufficient  
7 to satisfy such deficiency. The superintendent shall not impose such  
8 surcharge during the period commencing July 1, 2009 and ending June 30,  
9 2010. On and after July 1, 1989, the surcharge prescribed by this  
10 section shall be retained by insurers to the extent that they insured  
11 physicians and surgeons during the July 1, 1985 through June 30, [~~2021~~]  
12 2022 policy periods; in the event and to the extent physicians and  
13 surgeons were insured by another insurer during such periods, all or a  
14 pro rata share of the surcharge, as the case may be, shall be remitted  
15 to such other insurer in accordance with rules and regulations to be  
16 promulgated by the superintendent. Surcharges collected from physicians  
17 and surgeons who were not insured during such policy periods shall be  
18 apportioned among all insurers in proportion to the premium written by  
19 each insurer during such policy periods; if a physician or surgeon was  
20 insured by an insurer subject to rates established by the superintendent  
21 during such policy periods, and at any time thereafter a hospital,  
22 health maintenance organization, employer or institution is responsible  
23 for responding in damages for liability arising out of such physician's  
24 or surgeon's practice of medicine, such responsible entity shall also  
25 remit to such prior insurer the equivalent amount that would then be  
26 collected as a surcharge if the physician or surgeon had continued to  
27 remain insured by such prior insurer. In the event any insurer that  
28 provided coverage during such policy periods is in liquidation, the  
29 property/casualty insurance security fund shall receive the portion of  
30 surcharges to which the insurer in liquidation would have been entitled.  
31 The surcharges authorized herein shall be deemed to be income earned for  
32 the purposes of section 2303 of the insurance law. The superintendent,  
33 in establishing adequate rates and in determining any projected defi-  
34 ciency pursuant to the requirements of this section and the insurance  
35 law, shall give substantial weight, determined in his discretion and  
36 judgment, to the prospective anticipated effect of any regulations  
37 promulgated and laws enacted and the public benefit of stabilizing  
38 malpractice rates and minimizing rate level fluctuation during the peri-  
39 od of time necessary for the development of more reliable statistical  
40 experience as to the efficacy of such laws and regulations affecting  
41 medical, dental or podiatric malpractice enacted or promulgated in 1985,  
42 1986, by this act and at any other time. Notwithstanding any provision  
43 of the insurance law, rates already established and to be established by  
44 the superintendent pursuant to this section are deemed adequate if such  
45 rates would be adequate when taken together with the maximum authorized  
46 annual surcharges to be imposed for a reasonable period of time whether  
47 or not any such annual surcharge has been actually imposed as of the  
48 establishment of such rates.

49 § 5. Section 5 and subdivisions (a) and (e) of section 6 of part J of  
50 chapter 63 of the laws of 2001, amending chapter 266 of the laws of  
51 1986, amending the civil practice law and rules and other laws relating  
52 to malpractice and professional medical conduct, as amended by section 6  
53 of part AAA of chapter 56 of the laws of 2020, are amended to read as  
54 follows:

55 § 5. The superintendent of financial services and the commissioner of  
56 health shall determine, no later than June 15, 2002, June 15, 2003, June

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1 15, 2004, June 15, 2005, June 15, 2006, June 15, 2007, June 15, 2008,  
2 June 15, 2009, June 15, 2010, June 15, 2011, June 15, 2012, June 15,  
3 2013, June 15, 2014, June 15, 2015, June 15, 2016, June 15, 2017, June  
4 15, 2018, June 15, 2019, June 15, 2020, [and] June 15, 2021, and June  
5 15, 2022 the amount of funds available in the hospital excess liability  
6 pool, created pursuant to section 18 of chapter 266 of the laws of 1986,  
7 and whether such funds are sufficient for purposes of purchasing excess  
8 insurance coverage for eligible participating physicians and dentists  
9 during the period July 1, 2001 to June 30, 2002, or July 1, 2002 to June  
10 30, 2003, or July 1, 2003 to June 30, 2004, or July 1, 2004 to June 30,  
11 2005, or July 1, 2005 to June 30, 2006, or July 1, 2006 to June 30,  
12 2007, or July 1, 2007 to June 30, 2008, or July 1, 2008 to June 30,  
13 2009, or July 1, 2009 to June 30, 2010, or July 1, 2010 to June 30,  
14 2011, or July 1, 2011 to June 30, 2012, or July 1, 2012 to June 30,  
15 2013, or July 1, 2013 to June 30, 2014, or July 1, 2014 to June 30,  
16 2015, or July 1, 2015 to June 30, 2016, or July 1, 2016 to June 30,  
17 2017, or July 1, 2017 to June 30, 2018, or July 1, 2018 to June 30,  
18 2019, or July 1, 2019 to June 30, 2020, or July 1, 2020 to June 30,  
19 2021, or July 1, 2021 to June 30, 2022 as applicable.

20 (a) This section shall be effective only upon a determination, pursu-  
21 ant to section five of this act, by the superintendent of financial  
22 services and the commissioner of health, and a certification of such  
23 determination to the state director of the budget, the chair of the  
24 senate committee on finance and the chair of the assembly committee on  
25 ways and means, that the amount of funds in the hospital excess liabil-  
26 ity pool, created pursuant to section 18 of chapter 266 of the laws of  
27 1986, is insufficient for purposes of purchasing excess insurance cover-  
28 age for eligible participating physicians and dentists during the period  
29 July 1, 2001 to June 30, 2002, or July 1, 2002 to June 30, 2003, or July  
30 1, 2003 to June 30, 2004, or July 1, 2004 to June 30, 2005, or July 1,  
31 2005 to June 30, 2006, or July 1, 2006 to June 30, 2007, or July 1, 2007  
32 to June 30, 2008, or July 1, 2008 to June 30, 2009, or July 1, 2009 to  
33 June 30, 2010, or July 1, 2010 to June 30, 2011, or July 1, 2011 to June  
34 30, 2012, or July 1, 2012 to June 30, 2013, or July 1, 2013 to June 30,  
35 2014, or July 1, 2014 to June 30, 2015, or July 1, 2015 to June 30,  
36 2016, or July 1, 2016 to June 30, 2017, or July 1, 2017 to June 30,  
37 2018, or July 1, 2018 to June 30, 2019, or July 1, 2019 to June 30,  
38 2020, or July 1, 2020 to June 30, 2021, or July 1, 2021 to June 30, 2022  
39 as applicable.

40 (e) The commissioner of health shall transfer for deposit to the  
41 hospital excess liability pool created pursuant to section 18 of chapter  
42 266 of the laws of 1986 such amounts as directed by the superintendent  
43 of financial services for the purchase of excess liability insurance  
44 coverage for eligible participating physicians and dentists for the  
45 policy year July 1, 2001 to June 30, 2002, or July 1, 2002 to June 30,  
46 2003, or July 1, 2003 to June 30, 2004, or July 1, 2004 to June 30,  
47 2005, or July 1, 2005 to June 30, 2006, or July 1, 2006 to June 30,  
48 2007, as applicable, and the cost of administering the hospital excess  
49 liability pool for such applicable policy year, pursuant to the program  
50 established in chapter 266 of the laws of 1986, as amended, no later  
51 than June 15, 2002, June 15, 2003, June 15, 2004, June 15, 2005, June  
52 15, 2006, June 15, 2007, June 15, 2008, June 15, 2009, June 15, 2010,  
53 June 15, 2011, June 15, 2012, June 15, 2013, June 15, 2014, June 15,  
54 2015, June 15, 2016, June 15, 2017, June 15, 2018, June 15, 2019, June  
55 15, 2020, [and] June 15, 2021, and June 15, 2022 as applicable.

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1 § 6. Section 20 of part H of chapter 57 of the laws of 2017, amending  
2 the New York Health Care Reform Act of 1996 and other laws relating to  
3 extending certain provisions thereto, as amended by section 7 of part  
4 AAA of chapter 56 of the laws of 2020, is amended to read as follows:

5 § 20. Notwithstanding any law, rule or regulation to the contrary,  
6 only physicians or dentists who were eligible, and for whom the super-  
7 intendent of financial services and the commissioner of health, or their  
8 designee, purchased, with funds available in the hospital excess liabil-  
9 ity pool, a full or partial policy for excess coverage or equivalent  
10 excess coverage for the coverage period ending the thirtieth of June,  
11 two thousand [twenty] twenty-one, shall be eligible to apply for such  
12 coverage for the coverage period beginning the first of July, two thou-  
13 sand [twenty] twenty-one; provided, however, if the total number of  
14 physicians or dentists for whom such excess coverage or equivalent  
15 excess coverage was purchased for the policy year ending the thirtieth  
16 of June, two thousand [twenty] twenty-one exceeds the total number of  
17 physicians or dentists certified as eligible for the coverage period  
18 beginning the first of July, two thousand [twenty] twenty-one, then the  
19 general hospitals may certify additional eligible physicians or dentists  
20 in a number equal to such general hospital's proportional share of the  
21 total number of physicians or dentists for whom excess coverage or  
22 equivalent excess coverage was purchased with funds available in the  
23 hospital excess liability pool as of the thirtieth of June, two thousand  
24 [twenty] twenty-one, as applied to the difference between the number of  
25 eligible physicians or dentists for whom a policy for excess coverage or  
26 equivalent excess coverage was purchased for the coverage period ending  
27 the thirtieth of June, two thousand [twenty] twenty-one and the number  
28 of such eligible physicians or dentists who have applied for excess  
29 coverage or equivalent excess coverage for the coverage period beginning  
30 the first of July, two thousand [twenty] twenty-one.

31 § 7. This act shall take effect immediately and shall be deemed to  
32 have been in full force and effect on and after April 1, 2021.

33 PART L  
34 Intentionally Omitted

35 PART M  
36 Intentionally Omitted

37 PART N  
38 Intentionally Omitted

39 PART O  
40 Intentionally Omitted

41 PART P  
42 Intentionally Omitted

43 PART Q  
44 Intentionally Omitted

A. 3007--B

19

1

PART R

2

Intentionally Omitted

3

PART S

4 Section 1. Section 11 of chapter 884 of the laws of 1990, amending the  
5 public health law relating to authorizing bad debt and charity care  
6 allowances for certified home health agencies, as amended by section 3  
7 of part E of chapter 57 of the laws of 2019, is amended to read as  
8 follows:

9 § 11. This act shall take effect immediately and:

10 (a) sections one and three shall expire on December 31, 1996,

11 (b) sections four through ten shall expire on June 30, [~~2021~~] 2023,  
12 and

13 (c) provided that the amendment to section 2807-b of the public health  
14 law by section two of this act shall not affect the expiration of such  
15 section 2807-b as otherwise provided by law and shall be deemed to  
16 expire therewith.

17 § 2. Subdivision (a) of section 40 of part B of chapter 109 of the  
18 laws of 2010, amending the social services law relating to transporta-  
19 tion costs, as amended by section 5 of part E of chapter 57 of the laws  
20 of 2019, is amended to read as follows:

21 (a) sections two, three, three-a, three-b, three-c, three-d, three-e  
22 and twenty-one of this act shall take effect July 1, 2010; sections  
23 fifteen, sixteen, seventeen, eighteen and nineteen of this act shall  
24 take effect January 1, 2011; and provided further that section twenty of  
25 this act shall be deemed repealed [~~ten~~] twelve years after the date the  
26 contract entered into pursuant to section 365-h of the social services  
27 law, as amended by section twenty of this act, is executed; provided  
28 that the commissioner of health shall notify the legislative bill draft-  
29 ing commission upon the execution of the contract entered into pursuant  
30 to section 367-h of the social services law in order that the commission  
31 may maintain an accurate and timely effective data base of the official  
32 text of the laws of the state of New York in furtherance of effectuating  
33 the provisions of section 44 of the legislative law and section 70-b of  
34 the public officers law;

35 § 3. Subdivision 5-a of section 246 of chapter 81 of the laws of 1995,  
36 amending the public health law and other laws relating to medical  
37 reimbursement and welfare reform, as amended by section 12 of part E of  
38 chapter 57 of the laws of 2019, is amended to read as follows:

39 5-a. Section sixty-four-a of this act shall be deemed to have been in  
40 full force and effect on and after April 1, 1995 through March 31, 1999  
41 and on and after July 1, 1999 through March 31, 2000 and on and after  
42 April 1, 2000 through March 31, 2003 and on and after April 1, 2003  
43 through March 31, 2007, and on and after April 1, 2007 through March 31,  
44 2009, and on and after April 1, 2009 through March 31, 2011, and on and  
45 after April 1, 2011 through March 31, 2013, and on and after April 1,  
46 2013 through March 31, 2015, and on and after April 1, 2015 through  
47 March 31, 2017 and on and after April 1, 2017 through March 31, 2019,  
48 and on and after April 1, 2019 through March 31, 2021, and on and after  
49 April 1, 2021 through March 31, 2022;

50 § 4. Section 64-b of chapter 81 of the laws of 1995, amending the  
51 public health law and other laws relating to medical reimbursement and  
52 welfare reform, as amended by section 13 of part E of chapter 57 of the  
53 laws of 2019, is amended to read as follows:

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20

1 § 64-b. Notwithstanding any inconsistent provision of law, the  
2 provisions of subdivision 7 of section 3614 of the public health law, as  
3 amended, shall remain and be in full force and effect on April 1, 1995  
4 through March 31, 1999 and on July 1, 1999 through March 31, 2000 and on  
5 and after April 1, 2000 through March 31, 2003 and on and after April 1,  
6 2003 through March 31, 2007, and on and after April 1, 2007 through  
7 March 31, 2009, and on and after April 1, 2009 through March 31, 2011,  
8 and on and after April 1, 2011 through March 31, 2013, and on and after  
9 April 1, 2013 through March 31, 2015, and on and after April 1, 2015  
10 through March 31, 2017 and on and after April 1, 2017 through March 31,  
11 2019, and on and after April 1, 2019 through March 31, 2021, and on and  
12 after April 1, 2021 through March 31, 2022.

13 § 5. Section 4-a of part A of chapter 56 of the laws of 2013, amending  
14 chapter 59 of the laws of 2011 amending the public health law and other  
15 laws relating to general hospital reimbursement for annual rates, as  
16 amended by section 14 of part E of chapter 57 of the laws of 2019, is  
17 amended to read as follows:

18 § 4-a. Notwithstanding paragraph (c) of subdivision 10 of section  
19 2807-c of the public health law, section 21 of chapter 1 of the laws of  
20 1999, or any other contrary provision of law, in determining rates of  
21 payments by state governmental agencies effective for services provided  
22 on and after January 1, 2017 through March 31, [~~2021~~] 2022, for inpa-  
23 tient and outpatient services provided by general hospitals, for inpa-  
24 tient services and adult day health care outpatient services provided by  
25 residential health care facilities pursuant to article 28 of the public  
26 health law, except for residential health care facilities or units of  
27 such facilities providing services primarily to children under twenty-  
28 one years of age, for home health care services provided pursuant to  
29 article 36 of the public health law by certified home health agencies,  
30 long term home health care programs and AIDS home care programs, and for  
31 personal care services provided pursuant to section 365-a of the social  
32 services law, the commissioner of health shall apply no greater than  
33 zero trend factors attributable to the 2017, 2018, 2019, 2020, [~~and~~]  
34 2021 and 2022 calendar years in accordance with paragraph (c) of subdivi-  
35 sion 10 of section 2807-c of the public health law, provided, however,  
36 that such no greater than zero trend factors attributable to such 2017,  
37 2018, 2019, 2020, [~~and~~] 2021 and 2022 calendar years shall also be  
38 applied to rates of payment provided on and after January 1, 2017  
39 through March 31, [~~2021~~] 2022 for personal care services provided in  
40 those local social services districts, including New York city, whose  
41 rates of payment for such services are established by such local social  
42 services districts pursuant to a rate-setting exemption issued by the  
43 commissioner of health to such local social services districts in  
44 accordance with applicable regulations; and provided further, however,  
45 that for rates of payment for assisted living program services provided  
46 on and after January 1, 2017 through March 31, [~~2021~~] 2022, such trend  
47 factors attributable to the 2017, 2018, 2019, 2020, [~~and~~] 2021 and 2022  
48 calendar years shall be established at no greater than zero percent.

49 § 6. Subdivision 2 of section 246 of chapter 81 of the laws of 1995,  
50 amending the public health law and other laws relating to medical  
51 reimbursement and welfare reform, as amended by section 17 of part E of  
52 chapter 57 of the laws of 2019, is amended to read as follows:

53 2. Sections five, seven through nine, twelve through fourteen, and  
54 eighteen of this act shall be deemed to have been in full force and  
55 effect on and after April 1, 1995 through March 31, 1999 and on and  
56 after July 1, 1999 through March 31, 2000 and on and after April 1, 2000

1 through March 31, 2003 and on and after April 1, 2003 through March 31,  
2 2006 and on and after April 1, 2006 through March 31, 2007 and on and  
3 after April 1, 2007 through March 31, 2009 and on and after April 1,  
4 2009 through March 31, 2011 and sections twelve, thirteen and fourteen  
5 of this act shall be deemed to be in full force and effect on and after  
6 April 1, 2011 through March 31, 2015 and on and after April 1, 2015  
7 through March 31, 2017 and on and after April 1, 2017 through March 31,  
8 2019, and on and after April 1, 2019 through March 31, 2021, and on and  
9 after April 1, 2021 through March 31, 2022;

10 § 7. Intentionally omitted.

11 § 8. Section 5 of chapter 517 of the laws of 2016, amending the public  
12 health law relating to payments from the New York state medical indem-  
13 nity fund, as amended by section 18 of part Y of chapter 56 of the laws  
14 of 2020, is amended to read as follows:

15 § 5. This act shall take effect on the forty-fifth day after it shall  
16 have become a law, provided that the amendments to subdivision 4 of  
17 section 2999-j of the public health law made by section two of this act  
18 shall take effect on June 30, 2017 and shall expire and be deemed  
19 repealed December 31, [~~2021~~] 2022.

20 § 9. Subdivision 1 of section 2999-aa of the public health law, as  
21 amended by chapter 80 of the laws of 2017, is amended to read as  
22 follows:

23 1. In order to promote improved quality and efficiency of, and access  
24 to, health care services and to promote improved clinical outcomes to  
25 the residents of New York, it shall be the policy of the state to  
26 encourage, where appropriate, cooperative, collaborative and integrative  
27 arrangements including but not limited to, mergers and acquisitions  
28 among health care providers or among others who might otherwise be  
29 competitors, under the active supervision of the commissioner. To the  
30 extent such arrangements, or the planning and negotiations that precede  
31 them, might be anti-competitive within the meaning and intent of the  
32 state and federal antitrust laws, the intent of the state is to supplant  
33 competition with such arrangements under the active supervision and  
34 related administrative actions of the commissioner as necessary to  
35 accomplish the purposes of this article, and to provide state action  
36 immunity under the state and federal antitrust laws with respect to  
37 activities undertaken by health care providers and others pursuant to  
38 this article, where the benefits of such active supervision, arrange-  
39 ments and actions of the commissioner outweigh any disadvantages likely  
40 to result from a reduction of competition. The commissioner shall not  
41 approve an arrangement for which state action immunity is sought under  
42 this article without first consulting with, and receiving a recommenda-  
43 tion from, the public health and health planning council. No arrangement  
44 under this article shall be approved after December thirty-first, two  
45 thousand [~~twenty~~] twenty-four.

46 § 10. Section 3 of part D of chapter 56 of the laws of 2014, amending  
47 the education law relating to the nurse practitioners modernization act,  
48 is amended to read as follows:

49 § 3. This act shall take effect on the first of January after it shall  
50 have become a law and shall expire June 30 of the [~~sixth~~] twelfth year  
51 after it shall have become a law, when upon such date the provisions of  
52 this act shall be deemed repealed; provided, however, that effective  
53 immediately, the addition, amendment and/or repeal of any rule or regu-  
54 lation necessary for the implementation of this act on its effective  
55 date is authorized and directed to be made and completed on or before  
56 such effective date.



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22

1 § 11. Subparagraph (vi) of paragraph (b) of subdivision 2 of section  
2 2807-d of the public health law, as amended by section 9 of part E of  
3 chapter 57 of the laws of 2019, is amended to read as follows:

4 (vi) Notwithstanding any contrary provision of this paragraph or any  
5 other provision of law or regulation to the contrary, for residential  
6 health care facilities the assessment shall be six percent of each resi-  
7 dential health care facility's gross receipts received from all patient  
8 care services and other operating income on a cash basis for the period  
9 April first, two thousand two through March thirty-first, two thousand  
10 three for hospital or health-related services, including adult day  
11 services; provided, however, that residential health care facilities'  
12 gross receipts attributable to payments received pursuant to title XVIII  
13 of the federal social security act (medicare) shall be excluded from the  
14 assessment; provided, however, that for all such gross receipts received  
15 on or after April first, two thousand three through March thirty-first,  
16 two thousand five, such assessment shall be five percent, and further  
17 provided that for all such gross receipts received on or after April  
18 first, two thousand five through March thirty-first, two thousand nine,  
19 and on or after April first, two thousand nine through March thirty-  
20 first, two thousand eleven such assessment shall be six percent, and  
21 further provided that for all such gross receipts received on or after  
22 April first, two thousand eleven through March thirty-first, two thou-  
23 sand thirteen such assessment shall be six percent, and further provided  
24 that for all such gross receipts received on or after April first, two  
25 thousand thirteen through March thirty-first, two thousand fifteen such  
26 assessment shall be six percent, and further provided that for all such  
27 gross receipts received on or after April first, two thousand fifteen  
28 through March thirty-first, two thousand seventeen such assessment shall  
29 be six percent, and further provided that for all such gross receipts  
30 received on or after April first, two thousand seventeen through March  
31 thirty-first, two thousand nineteen such assessment shall be six  
32 percent, and further provided that for all such gross receipts received  
33 on or after April first, two thousand nineteen through March thirty-  
34 first, two thousand twenty-one such assessment shall be six percent, and  
35 further provided that for all such gross receipts received on or after  
36 April first, two thousand twenty-one through March thirty-first, two  
37 thousand twenty-two such assessment shall be six percent.

38 § 11-a. Section 2 of chapter 66 of the laws of 2016, amending the  
39 public health law, relating to reporting of opioid overdose data, is  
40 amended to read as follows:

41 § 2. This act shall take effect immediately, provided that subdivision  
42 6 of section 3309 of the public health law, as added by section one of  
43 this act, shall expire and be deemed repealed March 31, [~~2021~~] 2026.

44 § 12. This act shall take effect immediately and shall be deemed to  
45 have been in full force and effect on and after April 1, 2021.

46

## PART T

47 Section 1. Section 3 of part A of chapter 111 of the laws of 2010  
48 amending the mental hygiene law relating to the receipt of federal and  
49 state benefits received by individuals receiving care in facilities  
50 operated by an office of the department of mental hygiene, as amended by  
51 section 1 of part X of chapter 57 of the laws of 2018, is amended to  
52 read as follows:

53 § 3. This act shall take effect immediately; and shall expire and be  
54 deemed repealed June 30, [~~2021~~] 2024.

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23

1 § 2. This act shall take effect immediately.

2 PART U

3 Intentionally Omitted

4 PART V

5 Section 1. Section 2 of part NN of chapter 58 of the laws of 2015,  
6 amending the mental hygiene law relating to clarifying the authority of  
7 the commissioners in the department of mental hygiene to design and  
8 implement time-limited demonstration programs, as amended by section 1  
9 of part U of chapter 57 of the laws of 2018, is amended to read as  
10 follows:

11 § 2. This act shall take effect immediately and shall expire and be  
12 deemed repealed March 31, [~~2021~~] 2024.

13 § 1-a. Subdivision (d) of section 41.35 of the mental hygiene law, as  
14 amended by chapter 658 of the laws of 1977, is amended to read as  
15 follows:

16 (d) Quarterly reviews and evaluations of the program shall be under-  
17 taken and a final report shall be developed by representatives of the  
18 commissioner or commissioners having jurisdiction over the services and  
19 the local governmental unit assessing the program, indicating its poten-  
20 tial for continuation or use elsewhere, and making any further recommen-  
21 dations related to the program. Copies of such quarterly evaluations and  
22 final reports shall be sent no later than November fifteenth to the  
23 director of the division of the budget, and the chairmen of the senate  
24 finance committee and the assembly committee on ways and means and shall  
25 be included in the relevant commissioner or commissioners statewide  
26 comprehensive plan pursuant to section 5.07 of this chapter.

27 § 1-b. Subparagraphs f and g of paragraph 1 of subdivision (b) of  
28 section 5.07 of the mental hygiene law, as amended by section 3 of part  
29 N of chapter 56 of the laws of 2012, are amended and a new subparagraph  
30 h is added to read as follows:

31 f. encourage and promote person-centered, culturally and linguis-  
32 tically competent community-based programs, services, and supports that  
33 reflect the partnership between state and local governmental units;  
34 [~~and~~]

35 g. include progress reports on the implementation of both short-term  
36 and long-term recommendations of the children's plan required pursuant  
37 to section four hundred eighty-three-f of the social services law[~~-~~];  
38 and

39 h. include quarterly evaluations, assessments, and recommendations for  
40 time limited demonstration programs pursuant to subdivision (d) of  
41 section 41.53 of this chapter.

42 § 2. This act shall take effect immediately.

43 PART W

44 Section 1. Section 7 of part R2 of chapter 62 of the laws of 2003,  
45 amending the mental hygiene law and the state finance law relating to  
46 the community mental health support and workforce reinvestment program,  
47 the membership of subcommittees for mental health of community services  
48 boards and the duties of such subcommittees and creating the community  
49 mental health and workforce reinvestment account, as amended by section



A. 3007--B

1 1 of part V of chapter 57 of the laws of 2018, is amended to read as  
2 follows:

3 § 7. This act shall take effect immediately and shall expire March 31,  
4 ~~2021~~ 2024 when upon such date the provisions of this act shall be  
5 deemed repealed.

6 § 1-a. Subdivision (h) of section 41.55 of the mental hygiene law, as  
7 added by section 2 of part R2 of chapter 62 of the laws of 2003 and as  
8 relettered by section 4 of part C of chapter 111 of the laws of 2010, is  
9 amended to read as follows:

10 (h) Amounts made available to the community mental health support and  
11 workforce reinvestment program of the office of mental health shall be  
12 subject to annual appropriations therefor~~[-Up]~~:

13 (1) up to fifteen percent of the amounts so appropriated shall be made  
14 available for staffing at state mental health facilities;

15 (2) no less than twenty percent of the amounts so appropriated shall  
16 be made available for workforce recruitment and retention at mental  
17 health programs certified under article thirty-one of this chapter; and

18 (3) at least seven percent of the remaining funds may be allocated for  
19 state operated community services pursuant to this section.

20 § 2. This act shall take effect immediately, provided, however, that  
21 the amendments to subdivision (h) of section 41.55 of the mental hygiene  
22 law made by section one-a of this act shall not affect the repeal of  
23 such section and shall be deemed to be repealed therewith.

24 PART X

25 Intentionally Omitted

26 PART Y

27 Intentionally Omitted

28 PART Z

29 Section 1. Intentionally omitted.

30 § 2. Subdivision (a) of section 31.04 of the mental hygiene law is  
31 amended by adding a new paragraph 8 to read as follows:

32 8. establishing a schedule of fees for the purpose of processing  
33 applications for the issuance of operating certificates. All fees pursu-  
34 ant to this section shall be payable to the mental illness anti-stigma  
35 fund under section 95-h of the state finance law.

36 § 3. This act shall take effect on the one hundred eightieth day  
37 after it shall have become a law. Effective immediately, the commis-  
38 sioner of mental health is authorized to promulgate any and all rules  
39 and regulations and take any other measures necessary to implement this  
40 act on its effective date or before such date.

41 PART AA

42 Section 1. This Part enacts into law legislation relating to crisis  
43 stabilization services, Kendra's law and assisted outpatient treatment  
44 and involuntary commitment. Each component is wholly contained within a  
45 Subpart identified as Subparts A through C. The effective date for each  
46 particular provision contained within each Subpart is set forth in the  
47 last section of such Subpart. Any provision in any section contained  
48 within a Subpart, including the effective date of the Subpart, which

A. 3007--B

25

1 makes a reference to a section "of this act", when used in connection  
2 with that particular component, shall be deemed to mean and refer to the  
3 corresponding section of the Subpart in which it is found. Section three  
4 of this Part sets forth the general effective date of this Part.

5

## SUBPART A

6 Section 1. The mental hygiene law is amended by adding a new section  
7 31.36 to read as follows:

8 § 31.36 Crisis stabilization services.

9 The commissioner is authorized, in conjunction with the commissioner  
10 of the office of addiction services and supports, to create crisis  
11 stabilization centers within New York state in accordance with article  
12 thirty-six of this title, including the promulgation of joint regu-  
13 lations and implementation of a financing mechanism to allow for the  
14 sustainable operation of such programs.

15 § 2. The mental hygiene law is amended by adding a new section 32.36  
16 to read as follows:

17 § 32.36 Crisis stabilization services.

18 The commissioner is authorized, in conjunction with the commissioner  
19 of the office of mental health, to create crisis stabilization centers  
20 within New York state in accordance with article thirty-six of this  
21 title, including the promulgation of joint regulations and implementa-  
22 tion of a financing mechanism to allow for the sustainable operation of  
23 such programs.

24 § 3. The mental hygiene law is amended by adding a new article 36 to  
25 read as follows:

26 ARTICLE XXXVI

27 ADDICTION AND MENTAL HEALTH SERVICES AND SUPPORTS

28 Section 36.01 Crisis stabilization centers.

29 36.02 Referral to crisis stabilization centers.

30 § 36.01 Crisis stabilization centers.

31 (a)(1) The commissioners are authorized to jointly license crisis  
32 stabilization centers subject to the availability of state and federal  
33 funding.

34 (2) A crisis stabilization center shall serve as a voluntary and  
35 urgent care service provider for persons at risk of a mental health or  
36 substance use crisis or are experiencing a crisis related to a psychiat-  
37 ric and/or substance use disorder that are in need of crisis stabiliza-  
38 tion services. Each crisis stabilization center shall provide or  
39 contract to provide person centered and patient driven crisis stabiliza-  
40 tion services for mental health or substance use twenty-four hours per  
41 day, seven days per week, including but not limited to:

42 (i) Engagement, triage and assessment;

43 (ii) Continuous observation;

44 (iii) Mild to moderate detoxification;

45 (iv) Sobering services;

46 (v) Therapeutic interventions;

47 (vi) Discharge and after care planning;

48 (vii) Telemedicine;

49 (viii) Peer support services; and

50 (ix) Medication assisted treatment.

51 (3) The commissioners shall require each crisis stabilization center  
52 to submit a plan. The plan shall be approved by the commissioners prior  
53 to the issuance of an operating certificate pursuant to this article.  
54 Each plan shall include:

- 1 (i) a description of the center's catchment area,  
2 (ii) a description of the center's crisis stabilization services,  
3 (iii) agreements or affiliations with hospitals as defined in section  
4 1.03 of this chapter,  
5 (iv) agreements or affiliations with general hospitals or law enforce-  
6 ment to receive persons,  
7 (v) a description of local resources available to the center to  
8 prevent unnecessary hospitalizations of persons,  
9 (vi) a description of the center's linkages with local police agen-  
10 cies, emergency medical services, ambulance services and other transpor-  
11 tation agencies,  
12 (vii) a description of local resources available to the center to  
13 provide appropriate community mental health and substance use disorder  
14 services upon release,  
15 (viii) written criteria and guidelines for the development of appro-  
16 priate planning for persons in need of post community treatment or  
17 services,  
18 (ix) a statement indicating that the center has been included in an  
19 approved local services plan developed pursuant to article forty-one of  
20 this chapter for each local government located within the center's  
21 catchment area; and  
22 (x) any other information or agreements required by the commissioners.  
23 (4) Crisis stabilization centers shall participate in county and  
24 community planning activities annually, and as additionally needed, in  
25 order to participate in local community service planning processes to  
26 ensure, maintain, improve or develop community services that demonstrate  
27 recovery outcomes. These outcomes include, but are not limited to, qual-  
28 ity of life, socio-economic status, entitlement status, social network-  
29 ing, coping skills and reduction in use of crisis services.  
30 (b) Each crisis stabilization center shall be staffed with a multidis-  
31 ciplinary team capable of meeting the needs of individuals experiencing  
32 all levels of crisis in the community which shall include, but not be  
33 limited to, at least one psychiatrist or psychiatric nurse practitioner,  
34 a credentialed alcoholism and substance abuse counselor and one peer  
35 support specialist on duty and available at all times, provided, howev-  
36 er, the commissioners may promulgate regulations to permit the issuance  
37 of a waiver of this requirement when the volume of service of a center  
38 does not require such level of staff coverage. A waiver may be issued  
39 to a crisis stabilization center, which has been established prior to  
40 the effective date of this article, that has demonstrated the ability to  
41 effectively operate crisis stabilization centers under an effective  
42 model of care which may be replicated throughout the state.  
43 (c) The commissioners shall promulgate regulations necessary to the  
44 operation of such crisis stabilization centers.  
45 (d) For the purpose of addressing unique rural service delivery needs  
46 and conditions, the commissioners shall provide technical assistance for  
47 the establishment of crisis stabilization centers otherwise approved  
48 under the provisions of this section, including technical assistance to  
49 promote and facilitate the establishment of such centers in rural areas  
50 in the state or combinations of rural counties.  
51 (e) The commissioners shall develop or use existing educational mate-  
52 rials and provide the materials to crisis stabilization centers who  
53 shall disseminate them to local practitioners, community mental health  
54 and substance use programs, hospitals, law enforcement, the local judi-  
55 cial system, and peers. The materials shall include appropriate educa-  
56 tion relating to de-escalation techniques, cultural competency, the

1 recovery process, mental health, substance use, and avoidance of aggres-  
2 sive confrontation.

3 (f) Within the amounts appropriated, the commissioners shall ensure  
4 that the appropriate training is provided to each law enforcement enti-  
5 ty, first responders, and any other entities deemed appropriate by the  
6 commissioners, located within the catchment area of a crisis stabiliza-  
7 tion center. The training shall include but not be limited to: (1)  
8 crisis intervention team training; (2) mental health first aid; and (3)  
9 implicit bias training. Such training may be provided in an electronic  
10 format or other format as deemed appropriate by the commissioners. The  
11 commissioners shall contract with an organization with the knowledge and  
12 expertise in providing the training required under this subdivision.

13 § 36.02 Referral to crisis stabilization centers.

14 (a) An authorized referral to crisis stabilization center may include  
15 but not be limited to: (1) walk-ins or self-referrals; (2) family  
16 members; (3) schools; (4) hospitals; (5) community-based providers; (6)  
17 mobile mental health crisis teams; (7) crisis call centers; (8) primary  
18 care doctors; (9) law enforcement; and (10) private practitioners.

19 (b) All services provided in crisis stabilization centers shall be  
20 voluntary. No crisis stabilization center shall accept involuntary  
21 referrals, and no person shall be forced or coerced to participate in  
22 services or treatment. A crisis stabilization center may at any time  
23 refer a person in their care to a higher level of treatment if deemed  
24 appropriate.

25 (c) For a person who is need of emergency observation under section  
26 9.41, 9.43, 9.45, or 9.58 of this chapter, the appropriate police offi-  
27 cer, peace officer, court, community services director or mobile crisis  
28 team must inform the person of the availability of crisis stabilization  
29 center services. A crisis stabilization center may conduct an assess-  
30 ment prior to accepting a referral. A crisis stabilization center may  
31 direct or make a referral to a hospital or comprehensive psychiatric  
32 emergency program if an assessment determines that they are unable to  
33 meet the service needs of a person and such person voluntarily consents  
34 to go.

35 § 4. Section 9.41 of the mental hygiene law, as amended by chapter 723  
36 of the laws of 1989, is amended to read as follows:

37 § 9.41 Emergency [admissions] assessment for immediate observation,  
38 care, and treatment; powers of certain peace officers and  
39 police officers.

40 (a) Any peace officer, when acting pursuant to his or her special  
41 duties, or police officer who is a member of the state police or of an  
42 authorized police department or force or of a sheriff's department may  
43 take into custody any person who appears to be mentally ill and is  
44 conducting himself or herself in a manner which is likely to result in  
45 serious harm to the person or others. Such officer may direct the  
46 removal of such person or remove him or her to: (a) any hospital speci-  
47 fied in subdivision (a) of section 9.39 of this article, or (b) any  
48 comprehensive psychiatric emergency program specified in subdivision (a)  
49 of section 9.40 of this article, or, (c) pending his or her examination  
50 or admission to any such hospital or, program, temporarily detain any  
51 such person in another safe and comfortable place, in which event, such  
52 officer shall immediately notify the director of community services or,  
53 if there be none, the health officer of the city or county of such  
54 action.

55 (b) As an alternative to an emergency admission, a person otherwise  
56 determined to meet the criteria for an emergency admission pursuant to

1 this section, may voluntarily agree to be transported to a crisis  
2 stabilization center under section 36.01 of this chapter for care and  
3 treatment, and in accordance with this article, an assessment by the  
4 crisis stabilization center determines that they are able to meet the  
5 service needs of the person in need of treatment.

6 § 5. Section 9.43 of the mental hygiene law, as amended by chapter 723  
7 of the laws of 1989, is amended to read as follows:

8 § 9.43 Emergency [~~admissions~~] assessment for immediate observation,  
9 care, and treatment; powers of courts.

10 (a) Whenever any court of inferior or general jurisdiction is informed  
11 by verified statement that a person is apparently mentally ill and is  
12 conducting himself or herself in a manner which in a person who is not  
13 mentally ill would be deemed disorderly conduct or which is likely to  
14 result in serious harm to himself or herself, such court shall issue a  
15 warrant directing that such person be brought before it. If, when said  
16 person is brought before the court, it appears to the court, on the  
17 basis of evidence presented to it, that such person has or may have a  
18 mental illness which is likely to result in serious harm to himself or  
19 herself or others, the court shall issue a civil order directing his or  
20 her removal to any hospital specified in subdivision (a) of section 9.39  
21 of this article or any comprehensive psychiatric emergency program spec-  
22 ified in subdivision (a) of section 9.40 of this article, that is will-  
23 ing to receive such person for a determination by the director of such  
24 hospital or program whether such person should be [~~retained~~] received  
25 therein pursuant to such section.

26 (b) Whenever a person before a court in a criminal action appears to  
27 have a mental illness which is likely to result in serious harm to  
28 himself or herself or others and the court determines either that the  
29 crime has not been committed or that there is not sufficient cause to  
30 believe that such person is guilty thereof, the court may issue a civil  
31 order as above provided, and in such cases the criminal action shall  
32 terminate.

33 (c) As an alternative to an emergency admission, a person otherwise  
34 determined to meet the criteria for an emergency admission pursuant to  
35 this section, may voluntarily agree to be transported to a crisis  
36 stabilization center under section 36.01 of this chapter for care and  
37 treatment, and in accordance with this article, an assessment by the  
38 crisis stabilization center determines that they are able to meet the  
39 service needs of the person in need of treatment.

40 § 6. Section 9.45 of the mental hygiene law, as amended by chapter 723  
41 of the laws of 1989 and the opening paragraph as amended by chapter 192  
42 of the laws of 2005, is amended to read as follows:

43 § 9.45 Emergency [~~admissions~~] assessment for immediate observation,  
44 care, and treatment; powers of directors of community  
45 services.

46 (a) The director of community services or the director's designee  
47 shall have the power to direct the removal of any person, within his or  
48 her jurisdiction, to a hospital approved by the commissioner pursuant to  
49 subdivision (a) of section 9.39 of this article, or to a comprehensive  
50 psychiatric emergency program pursuant to subdivision (a) of section  
51 9.40 of this article, if the parent, adult sibling, spouse or child of  
52 the person, the committee or legal guardian of the person, a licensed  
53 psychologist, registered professional nurse or certified social worker  
54 currently responsible for providing treatment services to the person, a  
55 supportive or intensive case manager currently assigned to the person by  
56 a case management program which program is approved by the office of

1 mental health for the purpose of reporting under this section, a  
2 licensed physician, health officer, peace officer or police officer  
3 reports to him or her that such person has a mental illness for which  
4 immediate care and treatment [~~in a hospital~~] is appropriate and which is  
5 likely to result in serious harm to himself or herself or others. It  
6 shall be the duty of peace officers, when acting pursuant to their  
7 special duties, or police officers, who are members of an authorized  
8 police department or force or of a sheriff's department to assist repre-  
9 sentatives of such director to take into custody and transport any such  
10 person. Upon the request of a director of community services or the  
11 director's designee an ambulance service, as defined in subdivision two  
12 of section three thousand one of the public health law, is authorized to  
13 transport any such person. Such person may then be retained in a hospi-  
14 tal pursuant to the provisions of section 9.39 of this article or in a  
15 comprehensive psychiatric emergency program pursuant to the provisions  
16 of section 9.40 of this article.

17 (b) As an alternative to an emergency admission, a person otherwise  
18 determined to meet the criteria for an emergency admission pursuant to  
19 this section, may voluntarily agree to be transported to a crisis  
20 stabilization center under section 36.01 of this chapter for care and  
21 treatment, and in accordance with this article, an assessment by the  
22 crisis stabilization center determines that they are able to meet the  
23 service needs of the person in need of treatment.

24 § 7. Subdivision (a) of section 9.58 of the mental hygiene law, as  
25 added by chapter 678 of the laws of 1994, is amended to read as follows:

26 (a) A physician or qualified mental health professional who is a  
27 member of an approved mobile crisis outreach team shall have the power  
28 to remove, or pursuant to subdivision (b) of this section, to direct the  
29 removal of any person who appears to be mentally ill and is conducting  
30 themselves in a manner which is likely to result in serious harm to  
31 themselves or others, to a hospital approved by the commissioner pursu-  
32 ant to subdivision (a) of section 9.39 or section 31.27 of this chapter  
33 [~~for the purpose of evaluation for admission if such person appears to~~  
34 ~~be mentally ill and is conducting himself or herself in a manner which~~  
35 ~~is likely to result in serious harm to the person or others~~].

36 (b) As an alternative to an emergency admission, a person otherwise  
37 determined to meet the criteria for an emergency assessment pursuant to  
38 this section, may voluntarily agree to be transported to a crisis  
39 stabilization center under section 36.01 of this chapter for care and  
40 treatment, and in accordance with this article, an assessment by the  
41 crisis stabilization center determines that they are able to meet the  
42 service needs of the person in need of treatment.

43 § 8. Subdivision 2 of section 365-a of the social services law is  
44 amended by adding a new paragraph (gg) to read as follows:

45 (gg) addiction and mental health services and supports provided by  
46 facilities licensed pursuant to article thirty-six of the mental hygiene  
47 law.

48 § 9. Paragraph 5 of subdivision (a) of section 22.09 of the mental  
49 hygiene law, as amended by section 1 of part D of chapter 69 of the laws  
50 of 2016, is amended to read as follows:

51 5. "Treatment facility" means a facility designated by the commis-  
52 sioner which may only include a general hospital as defined in article twen-  
53 ty-eight of the public health law, or a medically managed or medically  
54 supervised withdrawal, inpatient rehabilitation, or residential stabili-  
55 zation treatment program that has been certified by the commissioner to  
56 have appropriate medical staff available on-site at all times to provide



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1 emergency services and continued evaluation of capacity of individuals  
2 retained under this section or a crisis stabilization center licensed  
3 pursuant to article 36.01 of this chapter.

4 § 10. The commissioner of health, in consultation with the office of  
5 mental health and the office of addiction services and supports, shall  
6 seek Medicaid federal financial participation from the federal centers  
7 for Medicare and Medicaid services for the federal share of payments for  
8 the services authorized pursuant to this Subpart.

9 § 11. This act shall take effect October 1, 2021; provided, however,  
10 that the amendments to sections 9.41, 9.43 and 9.45 of the mental  
11 hygiene law made by sections four, five and six of this act shall not  
12 affect the expiration of such sections and shall expire therewith.  
13 Effective immediately, the addition, amendment and/or repeal of any rule  
14 or regulation necessary for the implementation of this act on its effec-  
15 tive date are authorized to be made and completed on or before such  
16 effective date.

17 SUBPART B

18 Intentionally Omitted

19 SUBPART C

20 Intentionally Omitted

21 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
22 sion, section or part of this act shall be adjudged by any court of  
23 competent jurisdiction to be invalid, such judgment shall not affect,  
24 impair, or invalidate the remainder thereof, but shall be confined in  
25 its operation to the clause, sentence, paragraph, subdivision, section  
26 or part thereof directly involved in the controversy in which such judg-  
27 ment shall have been rendered. It is hereby declared to be the intent of  
28 the legislature that this act would have been enacted even if such  
29 invalid provisions had not been included herein.

30 § 3. This act shall take effect immediately; provided, however, that  
31 the applicable effective date of Subparts A through C of this act shall  
32 be as specifically set forth in the last section of such Subparts.

33 PART BB

34 Intentionally Omitted

35 PART CC

36 Intentionally Omitted

37 PART DD

38 Intentionally Omitted

39 PART EE

40 Intentionally Omitted

41 PART FF

42 Intentionally Omitted

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1 PART GG

2 Intentionally Omitted

3 PART HH

4 Intentionally Omitted

5 PART II

6 Section 1. Paragraph (d-2) of subdivision 3 of section 364-j of the  
7 social services law, as amended by section 10 of part B of chapter 57 of  
8 the laws of 2018, is amended to read as follows:

9 (d-2) Services provided pursuant to waivers, granted pursuant to  
10 subsection (c) of section 1915 of the federal social security act, to  
11 persons suffering from traumatic brain injuries or qualifying for nurs-  
12 ing home diversion and transition services, shall not be provided to  
13 medical assistance recipients through managed care programs [~~until at  
14 least January first, two thousand twenty-two~~] established pursuant to  
15 this section; provided, further that the commissioner of health is here-  
16 by directed to take any action required, including but not limited to  
17 filing waivers and waiver extensions as necessary with the federal  
18 government, to continue the provision of such services.

19 § 2. This act shall take effect immediately, provided that the amend-  
20 ments to section 364-j of the social services law, made by section one  
21 of this act, shall not affect the expiration and repeal of such section,  
22 and shall expire and be deemed repealed therewith.

23 PART JJ

24 Section 1. Subdivision 1 of section 364-j of the social services law  
25 is amended by adding two new paragraphs (w) and (w-1) to read as  
26 follows:

27 (w) "School-based health center". A clinic licensed under article  
28 twenty-eight of the public health law or sponsored either fully or  
29 partially by a facility licensed under article twenty-eight of the  
30 public health law or where such sponsorship is dually shared with a  
31 facility licensed under article thirty-one of the mental hygiene law  
32 which provides primary and preventive care which may include but is not  
33 limited to health maintenance, well-child care, diagnosis and treatment  
34 of injury and acute illness, diagnosis and management of chronic  
35 disease, behavioral services, vision care, dental care, and nutritional  
36 or other enhanced services to children and adolescents, any of which may  
37 be provided by referral, within an elementary, secondary or prekinderg-  
38 garten public school setting.

39 (w-1) "Sponsoring organization". A facility licensed under article  
40 twenty-eight of the public health law which acts as the sponsor for a  
41 school-based health center, which such sponsorship may be dually shared  
42 with a facility licensed under article thirty-one of the mental hygiene  
43 law.

44 § 2. Section 364-j of the social services law is amended by adding a  
45 new subdivision 4-a to read as follows:

46 4-a. (a) Medical assistance services and supplies provided by a  
47 school-based health center may be provided and paid for other than by a  
48 managed care provider. In such case, the services and supplies shall be



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1 paid in accordance with applicable reimbursement methodologies, which  
 2 shall mean:

3 (i) for a school-based health center that is sponsored by a federally  
 4 qualified health center, rates of reimbursement and requirements in  
 5 accordance with those mandated by 42 U.S.C. Secs. 1396a(bb),  
 6 1396b(m)(2)(A)(ix) and 1396a(a)(13)(C); and

7 (ii) for a school-based health center that is sponsored by an entity  
 8 licensed pursuant to article twenty-eight of the public health law that  
 9 is not a federally qualified health center or is a federally qualified  
 10 health center that chooses not to receive reimbursement pursuant to  
 11 subparagraph (i) of this paragraph, rates of reimbursement at the fee  
 12 for service rate for such services and supplies in effect on the effec-  
 13 tive date of this subparagraph for the ambulatory patient group rate for  
 14 the applicable service and supply and in accordance with any future  
 15 adjustments made to such rates by the department of health.

16 (b) This subdivision shall not preclude a school-based health center  
 17 or sponsoring organization from choosing to provide medical assistance  
 18 services and supplies through managed care providers.

19 (c) This paragraph applies where a managed care provider includes as  
 20 an enrollee a student who is eligible to be served by a school-based  
 21 health center, regardless of whether the school-based health center or  
 22 sponsoring organization chooses to provide medical assistance services  
 23 and supplies through the managed care provider. The school-based health  
 24 center or sponsoring organization and the managed care provider shall  
 25 enter into a standard memorandum of understanding, which shall be devel-  
 26 oped by the commissioner for the purpose of promoting the delivery of  
 27 coordinated health care and participation in quality improvement initi-  
 28 atives. The commissioner shall periodically share enrollment, encounter,  
 29 and any other data the commissioner determines necessary with each  
 30 enrolled participant's medicaid managed care provider to allow the  
 31 exchange of such data between medicaid managed care providers and facil-  
 32 itating enrollee access to services and improving coordination and qual-  
 33 ity of care.

34  
 35 § 3. This act shall take effect on the one hundred eightieth day after  
 36 it shall have become a law; provided that the amendments to section  
 37 364-j of the social services law made by sections one and two of this  
 38 act shall not affect the repeal of such section and shall expire and be  
 39 deemed repealed therewith. Effective immediately, the commissioner of  
 40 health shall make regulations and take other actions reasonably neces-  
 41 sary to implement this act on its effective date.

42

## PART KK

43 Section 1. Subparagraph 3 of paragraph (d) of subdivision 1 of section  
 44 366 of the social services law, as added by section 1 of part D of chap-  
 45 ter 56 of the laws of 2013, is amended to read as follows:

46 (3) cooperates with the appropriate social services official or the  
 47 department in establishing paternity or in establishing, modifying, or  
 48 enforcing a support order with respect to his or her child; provided,  
 49 however, that nothing herein contained shall be construed to require a  
 50 payment under this title for care or services, the cost of which may be  
 51 met in whole or in part by a third party; notwithstanding the foregoing,  
 52 a social services official shall not require such cooperation if the  
 53 social services official or the department determines that such actions  
 54 would be detrimental to the best interest of the child, applicant, or

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1 recipient, or with respect to pregnant women during pregnancy and during  
 2 the [~~sixty-day~~] one year period beginning on the last day of pregnancy,  
 3 in accordance with procedures and criteria established by regulations of  
 4 the department consistent with federal law; and

5 § 2. Subparagraph 1 of paragraph (b) of subdivision 4 of section 366  
 6 of the social services law, as added by section 2 of part D of chapter  
 7 56 of the laws of 2013, is amended to read as follows:

8 (1) A pregnant woman eligible for medical assistance under subpara-  
 9 graph two or four of paragraph (b) of subdivision one of this section on  
 10 any day of her pregnancy will continue to be eligible for such care and  
 11 services [~~through the end of the month in which the sixtieth day follow-~~  
 12 ~~ing the end of the pregnancy occurs~~] for a period of one year following  
 13 the end of the pregnancy, without regard to any change in the income of  
 14 the family that includes the pregnant woman, even if such change other-  
 15 wise would have rendered her ineligible for medical assistance.

16 § 3. This act shall take effect on the one hundred eightieth day after  
 17 it shall have become a law. The commissioner of health shall immediately  
 18 take all steps necessary and shall use best efforts to secure federal  
 19 financial participation for eligible beneficiaries under title XIX of  
 20 the social security act, for the purposes of this act, including the  
 21 prompt submission of appropriate amendments to the title XIX state plan.

22

## PART LL

23 Section 1. The public health law is amended by adding a new article  
 24 27-g to read as follows:

ARTICLE 27-GADULT CYSTIC FIBROSIS ASSISTANCE PROGRAMSection 2795. Adult cystic fibrosis assistance program.

28 § 2795. Adult cystic fibrosis assistance program. 1. The commissioner  
 29 shall establish a program to reimburse the cost of providing health care  
 30 or health insurance to eligible individuals who have cystic fibrosis.

31 2. To be a fully eligible individual for whom health care will be  
 32 provided under this section, such individual:

33 (a) shall be at least twenty-one years old;

34 (b) shall have been diagnosed as having cystic fibrosis;

35 (c) shall have resided in the state for a minimum of twelve continuous  
 36 months immediately prior to application for services under this section;

37 (d) shall not be eligible for medical benefits under any group or  
 38 individual health insurance policy; and

39 (e) shall not be eligible for medical assistance pursuant to title  
 40 eleven of article five of the social services law solely due to earned  
 41 income.

42 3. To be a partially eligible individual for whom health care will be  
 43 provided under this section, such individual shall meet all the criteria  
 44 of a fully eligible individual except that a partially eligible individ-  
 45 ual shall be an individual who is eligible for medical benefits under  
 46 any group or individual health insurance policy but which does not cover  
 47 all services necessary for the care and treatment of cystic fibrosis.

48 4. The commissioner shall require each fully eligible individual, upon  
 49 determination of eligibility, to make application to a private health  
 50 insurance provider as prescribed by the commissioner for an individual  
 51 health insurance policy. If and when such policy is granted, the commis-  
 52 sioner shall approve payment for the associated premium.

53 5. The commissioner shall authorize payment for services related to  
 54 the care and treatment of cystic fibrosis not otherwise covered by a

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1 health insurance policy. Providers of such services shall be reimbursed  
2 at the same rate and claims for payment shall be made as if such indi-  
3 vidual was eligible for benefits pursuant to title eleven of article  
4 five of the social services law.

5 6. All eligible individuals shall be required to contribute seven  
6 percent of their net annual income toward the cost of care and/or the  
7 cost of the annual health insurance premium.

8 7. The commissioner shall, in consultation with the commissioner of  
9 social services, promulgate rules and regulations necessary to implement  
10 the provisions of this article.

11 § 2. This act shall take effect immediately.

12

## PART MM

13 Section 1. Ambulette transportation rate adequacy review. The commis-  
14 sioner of health shall review the rates of reimbursement made through  
15 the Medicaid program for ambulette transportation for rate adequacy. By  
16 December 31, 2021, the commissioner of health shall report such findings  
17 of the rate adequacy review to the temporary president of the senate and  
18 the speaker of the assembly.

19 § 2. This act shall take effect immediately.

20

## PART NN

21 Section 1. The public health law is amended by adding a new section  
22 2559-c to read as follows:

23 § 2559-c. Early intervention rate adequacy review. 1. The commissioner  
24 shall review the rates of reimbursement made through the early inter-  
25 vention program for rate adequacy. The review shall include:

26 (a) comprehensive assessment of the existing methodology used to  
27 determine payment for early intervention screenings, evaluations,  
28 services and service coordination, including but not limited to:

29 (i) Analysis of early intervention rules, regulations, and policies,  
30 including policies, processes, and revenue sources;

31 (ii) Analysis of costs to providers of participating in the early  
32 intervention program, including time and cost of travel, service  
33 provision, and administrative activities;

34 (iii) Analysis by discipline and labor region of salary levels for  
35 individuals providing early intervention services compared to the salary  
36 levels for individuals in the same disciplines and labor regions provid-  
37 ing services other than in the early intervention program.

38 (b) recommendations for maintaining or changing reimbursement method-  
39 ologies. Recommendations under this paragraph shall be consistent with  
40 federal law and shall include recommendations for appropriate changes in  
41 state law and regulations. The recommendations shall consider appropri-  
42 ate payment methodologies and rates for in-person and telehealth early  
43 intervention evaluations and services to address barriers in timely  
44 service provision, as well as racial and socioeconomic disparities in  
45 access, with consideration of factors including, but not limited to,  
46 payment for bilingual services, travel time, geographic variability,  
47 access to and cost of technology, cost of living, and other barriers to  
48 timely service provision.

49 (c) the projected number of children who will need early intervention  
50 services in the next five years disaggregated by county.

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1 (d) the workforce needed to provide services in the next five years to  
2 all children eligible for early intervention services, disaggregated by  
3 county.

4 (e) opportunities for stakeholder input on current rate methodologies.

5 2. Within one year after the effective date of this section, the  
6 commissioner shall submit a report of the findings and recommendations  
7 under this section to the governor, the temporary president of the  
8 senate, the speaker of the assembly, and the chairs of the senate and  
9 assembly committees on health, and shall post the report on the depart-  
10 ment's website.

11 § 2. This act shall take effect immediately.

12 PART OO

13 Section 1. Section 4 of chapter 495 of the laws of 2004, amending the  
14 insurance law and the public health law relating to the New York state  
15 health insurance continuation assistance demonstration project, as  
16 amended by section 17 of part BB of chapter 56 of the laws of 2020, is  
17 amended to read as follows:

18 § 4. This act shall take effect on the sixtieth day after it shall  
19 have become a law; provided, however, that this act shall remain in  
20 effect until July 1, [~~2021~~] 2022 when upon such date the provisions of  
21 this act shall expire and be deemed repealed; provided, further, that a  
22 displaced worker shall be eligible for continuation assistance retroac-  
23 tive to July 1, 2004.

24 § 2. This act shall take effect immediately; provided, however, that  
25 the amendments to part BB of chapter 56 of the laws of 2020 made by  
26 section one of this act shall not affect the expiration and repeal of  
27 such part and shall be deemed to expire and repeal therewith.

28 PART PP

29 Section 1. The public health law is amended by adding a new section  
30 2559-c to read as follows:

31 § 2559-c. Blanket service limits prohibited. The commissioner shall  
32 not impose predetermined limitations, including limitations on the  
33 length, duration, frequency, intensity, method of delivery, group size,  
34 or staff ratios, on authorized services under this title. The commis-  
35 sioner shall not impose program-wide service limitations that restrict  
36 the ability of an IFSP team to create an individualized plan of early  
37 intervention services most appropriate to accommodate the needs of each  
38 child and family.

39 § 2. This act shall take effect immediately.

40 PART QQ

41 Section 1. Subdivision 14 of section 366 of the social services law,  
42 as amended by section 71 of part A of chapter 56 of the laws of 2013, is  
43 amended to read as follows:

44 14. The commissioner of health may make any available amendments to  
45 the state plan for medical assistance submitted pursuant to section  
46 three hundred sixty-three-a of this title, or, if an amendment is not  
47 possible, develop and submit an application for any waiver or approval  
48 under the federal social security act that may be necessary to disregard  
49 or exempt an amount of income, for the purpose of assisting with housing  
50 costs, for individuals receiving coverage of nursing facility services

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1 under this title, other than short-term rehabilitation services, and for  
2 individuals in receipt of medical assistance while in an adult home, as  
3 defined in subdivision twenty-five of section two of this chapter,  
4 who[+] are either (i) discharged to the community[+] and [~~(ii)~~] if  
5 eligible, enrolled in a plan certified pursuant to section forty-four  
6 hundred three-f of the public health law or (ii) discharged to the  
7 community and upon discharge will receive personal care or consumer-di-  
8 rected personal assistance services based on a determination that they  
9 are in immediate need of such services under subdivision twelve of  
10 section three hundred sixty-six-a of this title; and (iii) do not meet  
11 the criteria to be considered an "institutionalized spouse" for purposes  
12 of section three hundred sixty-six-c of this title.  
13 § 2. This act shall take effect immediately.

14

## PART RR

15 Section 1. Subdivision (g) of section 7.07 of the mental hygiene law,  
16 as amended by chapter 626 of the laws of 2019, is amended to read as  
17 follows:

18 (g) 1. The office of mental health shall have the responsibility for  
19 assuring the development of plans, programs, and services in the areas  
20 of research and prevention of suicide, to reduce suicidal behavior and  
21 suicide through consultation, training, implementation of evidence-based  
22 practices, and use of suicide surveillance data. Such plans, programs,  
23 and services shall consider the unique needs of differing demographic  
24 groups and the impact of gender, race and ethnicity, and cultural and  
25 language needs. Such plans, programs, and services shall be developed in  
26 cooperation with other agencies and departments of the state, local  
27 governments, community organizations and entities, or other organiza-  
28 tions and individuals. The office shall prepare and submit a written  
29 report to the governor, the speaker of the assembly, and temporary pres-  
30 ident of the senate that sets forth the progress of the office in the  
31 development of such plans, programs, and services by December first, two  
32 thousand nineteen, and biennially thereafter. In addition to delineating  
33 the progress the office has made, such report shall also include infor-  
34 mation on specific suicide prevention services and program initiatives  
35 developed and implemented to address the needs of high risk minority  
36 groups or special populations, including but not limited to latina and  
37 latino adolescents, black youth, individuals residing in rural communi-  
38 ties, veterans, members of the lesbian, gay, bisexual and transgender  
39 community, and any other group deemed high risk or underserved by the  
40 office.

41 2. (a) Within amounts appropriated, the office shall establish a  
42 suicide prevention program which shall provide grants to organizations  
43 engaged in activities which provide culturally competent suicide  
44 prevention services to high-risk populations. The program shall be  
45 administered by the office in cooperation with other state agencies  
46 necessary for the operation of the program as determined by the commis-  
47 sioner.

48 (b) For the purposes of this subdivision "high-risk population" shall  
49 include Latina adolescents, black youth, members of the lesbian, gay.  
50 bi-sexual, transgender, and queer community, and rural communities.

51 3. (a) The commissioner shall issue a request for proposals and estab-  
52 lish criteria to determine the eligibility of applicants for the grants  
53 authorized herein. The commissioner shall receive on appropriate forms,  
54 information necessary and relevant in establishing eligibility, as

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1 determined by the commissioner. The application, shall at a minimum  
2 include: (i) projected goals and outcomes of the program; (ii) range  
3 and type of services offered; (iii) community partnerships with local  
4 organizations or public institutions, for the purpose of facilitating  
5 prevention and treatment referral services in community based settings;  
6 (iv) methods and strategies to develop culturally and linguistically  
7 competent programs, reduce barriers and promote access to prevention and  
8 treatment services for high-risk populations; and (v) the overall oper-  
9 ating costs of the program.

10 (b) Grants shall be awarded no later than September first, two thou-  
11 sand twenty-one. Upon approval of each grant, the commissioner shall  
12 contract with each grantee for a period of time not to exceed one year,  
13 but may extend the contract for additional one year periods when appro-  
14 priate.

15 4. The commissioner may consider applicants that have established  
16 effective suicide prevention programs for high-risk populations and that  
17 may be expanded in other geographic areas of the state which are in need  
18 of suicide prevention services for high-risk populations, provided  
19 however, preference may be given to requests for proposals which identi-  
20 fy local communities with a high prevalence of death by suicide or  
21 suicide attempts for one or more high-risk populations and have a demon-  
22 strated need for suicide prevention services.

23 5. The commissioner shall provide a summary of each grantee's suicide  
24 prevention program and its fulfillment of the criteria under subpara-  
25 graph (a) of paragraph three of this subdivision and include this summa-  
26 ry in the report required under this paragraph.

27 § 2. This act shall take effect take effect on April 1, 2021.

28

## PART SS

29 Section 1. Subdivision (g) of section 7.07 of the mental hygiene law,  
30 as amended by chapter 626 of the laws of 2019, is amended to read as  
31 follows:

32 (g) (1) (A) (i) Within amounts appropriated, the office of mental  
33 health shall establish a suicide prevention program which shall provide  
34 grants to organizations engaged in activities which provide culturally  
35 competent suicide prevention services to high-risk populations. Such  
36 program shall be administered by the office in cooperation with other  
37 state agencies necessary for the operation of the program as determined  
38 by the commissioner.

39 (ii) For the purposes of this paragraph "high-risk population" shall  
40 include law enforcement, veterans, first responders, and correction  
41 officers.

42 (B) (i) The commissioner shall issue a request for proposals and  
43 establish criteria to determine the eligibility of applicants for the  
44 grants authorized herein. The commissioner shall receive on appropriate  
45 forms, information necessary and relevant in establishing eligibility,  
46 as determined by the commissioner. The application shall include, but  
47 not be limited to: (a) projected goals and outcomes of the program; (b)  
48 range and type of services offered; (c) community partnerships with  
49 local organizations or public institutions, for the purpose of facili-  
50 tating prevention and treatment referral services in community based  
51 settings; (d) methods and strategies to develop culturally and linguis-  
52 tically competent programs, reduce barriers and promote access to  
53 prevention and treatment services for high-risk populations; and (e)  
54 the overall operating costs of the program.



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1 (ii) Grants shall be awarded no later than September first, two thou-  
2 sand twenty-one. Upon approval of each grant, the commissioner shall  
3 contract with each grantee for a period of time not to exceed one year,  
4 but may extend the contract for one year periods when appropriate.

5 (C) The commissioner may consider applicants that have established  
6 effective suicide prevention programs for high-risk populations and that  
7 may be expanded in other geographic areas of the state which are in need  
8 of suicide prevention services for high-risk populations, provided  
9 however, preference may be given to requests for proposals which identi-  
10 fy local communities with a high prevalence of death by suicide or  
11 suicide attempts for one or more high-risk populations and have a demon-  
12 strated need for suicide prevention services.

13 (D) The commissioner shall provide a summary of each grantee's suicide  
14 prevention program and its fulfillment of the criteria under clause (i)  
15 of subparagraph (B) of this paragraph and include this summary in the  
16 report required under paragraph two of this subdivision.

17 (2) The office of mental health shall have the responsibility for  
18 assuring the development of plans, programs, and services in the areas  
19 of research and prevention of suicide, to reduce suicidal behavior and  
20 suicide through consultation, training, implementation of evidence-based  
21 practices, and use of suicide surveillance data. Such plans, programs,  
22 and services shall consider the unique needs of differing demographic  
23 groups and the impact of gender, race and ethnicity, and cultural and  
24 language needs. Such plans, programs, and services shall be developed in  
25 cooperation with other agencies and departments of the state, local  
26 governments, community organizations and entities, or other organiza-  
27 tions and individuals. The office shall prepare and submit a written  
28 report to the governor, the speaker of the assembly, and temporary pres-  
29 ident of the senate that sets forth the progress of the office in the  
30 development of such plans, programs, and services by December first, two  
31 thousand nineteen, and biennially thereafter. In addition to delineating  
32 the progress the office has made, such report shall also include infor-  
33 mation on specific suicide prevention services and program initiatives  
34 developed and implemented to address the needs of high risk minority  
35 groups or special populations, including but not limited to latina and  
36 latino adolescents, black youth, individuals residing in rural communi-  
37 ties, veterans, members of the lesbian, gay, bisexual and transgender  
38 community, and any other group deemed high risk or underserved by the  
39 office.

40 § 2. This act shall take effect April 1, 2021.

41

## PART TT

42 Section 1. Section 2807-m of the public health law is amended by  
43 adding a new subdivision 7 to read as follows:

44 7. Notwithstanding any inconsistent provisions of section one hundred  
45 twelve or one hundred sixty-three of the state finance law or any other  
46 law to the contrary, for the period beginning on April first, two thou-  
47 sand twenty-one and annually thereafter an amount of one million one  
48 hundred thousand dollars shall be set aside and reserved by the commis-  
49 sioner from the regional pools established under subdivision two of this  
50 section and shall be available for distributions to the New York state  
51 area health education center program for the purpose of expanding commu-  
52 nity-based training of medical students. In addition, for the period  
53 beginning on April first, two thousand twenty-one and annually thereaft-  
54 er, an amount of one million one hundred thousand dollars shall be set

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1 aside and reserved by the commissioner from the regional pools estab-  
2 lished under subdivision two of this section and shall be available for  
3 distributions to the New York state area health education center program  
4 for the purpose of post-secondary training of health care professionals  
5 who will achieve specific program outcomes within the New York state  
6 area health education center program. The New York state area health  
7 education center program shall report to the commissioner on an annual  
8 basis regarding the use of funds for each purpose in any form and manner  
9 as specified by the commissioner.

10 § 2. This act shall take effect April 1, 2021.

11

## PART UU

12 Section 1. Subdivisions 3-b and 3-c of section 1 of part C of chapter  
13 57 of the laws of 2006, relating to establishing a cost of living  
14 adjustment for designated human services programs, as amended by section  
15 1 of part Y of chapter 57 of the laws of 2019, are amended to read as  
16 follows:

17 3-b. Notwithstanding any inconsistent provision of law, beginning  
18 April 1, 2009 and ending March 31, 2016 and beginning April 1, 2017 and  
19 ending March 31, ~~2020~~ 2021, the commissioners shall not include a COLA  
20 for the purpose of establishing rates of payments, contracts or any  
21 other form of reimbursement, provided that the commissioners of the  
22 office for people with developmental disabilities, the office of mental  
23 health, and the office of ~~[alcoholism and substance abuse services]~~  
24 addiction services and supports shall not include a COLA beginning April  
25 1, 2017 and ending March 31, 2021.

26 3-c. Notwithstanding any inconsistent provision of law, beginning  
27 April 1, ~~2020~~ 2021 and ~~[ending March 31, 2023]~~ every year thereafter,  
28 the commissioners shall develop the COLA under this section using the  
29 actual U.S. consumer price index for all urban consumers (CPI-U)  
30 published by the United States department of labor, bureau of labor  
31 statistics for the twelve month period ending in July of the budget year  
32 prior to such state fiscal year, for the purpose of establishing rates  
33 of payments, contracts or any other form of reimbursement.

34 § 2. Section 1 of part C of chapter 57 of the laws of 2006, relating  
35 to establishing a cost of living adjustment for designated human  
36 services programs, is amended by adding a new subdivision 3-g to read as  
37 follows:

38 3-g. Notwithstanding any other provision of law to the contrary, and  
39 subject to available appropriations therefore, for all eligible programs  
40 as determined pursuant to subdivision four of this section, the commis-  
41 sioners shall provide funding to support a one percent (1.0%) cost of  
42 living adjustment, as determined pursuant to subdivision three-c of this  
43 section, beginning April 1, 2021. Such cost of living adjustment shall  
44 continue to be provided every year thereafter in an amount determined  
45 pursuant to subdivision three-c of this section.

46 § 3. Section 4 of part C of chapter 57 of the laws of 2006, relating  
47 to establishing a cost of living adjustment for designated human  
48 services programs, as amended by section 1 of part I of chapter 60 of  
49 the laws of 2014, is amended to read as follows:

50 § 4. This act shall take effect immediately and shall be deemed to  
51 have been in full force and effect on and after April 1, 2006; provided  
52 section one of this act shall expire and be deemed repealed April 1,  
53 ~~2019~~ 2024; provided, further, that sections two and three of this act  
54 shall expire and be deemed repealed December 31, 2009.



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1 § 4. This act shall take effect immediately and shall be deemed to  
2 have been in full force and effect on and after April 1, 2019; provided,  
3 however, that the amendments to section 1 of part C of chapter 57 of the  
4 laws of 2006, relating to establishing a cost of living adjustment for  
5 designated human services programs made by sections one and two of this  
6 act shall not affect the repeal of such section and shall be deemed  
7 repealed therewith.

8

## PART VV

9 Section 1. The public health law is amended by adding a new section  
10 2807-o to read as follows:

11 § 2807-o. Early intervention services pool. 1. Definitions. The  
12 following words or phrases as used in this section shall have the  
13 following meanings:

14 (a) "Early intervention services" shall mean services delivered to an  
15 eligible child, pursuant to an individualized family service plan under  
16 the early intervention program.

17 (b) "Early intervention program" shall mean the early intervention  
18 program for toddlers with disabilities and their families as created by  
19 title two-A of article twenty-five of this chapter.

20 (c) "Municipality" shall mean any county outside of the city of New  
21 York or the city of New York.

22 2. Payments for early intervention services. (a) The commissioner  
23 shall, from funds allocated for such purpose under paragraph (g) of  
24 subdivision six of section twenty-eight hundred seven-s of this article,  
25 make payments to municipalities and the state for the delivery of early  
26 intervention services.

27 (b) Payments under this subdivision shall be made to municipalities  
28 and the state by the commissioner. Each municipality and the state of  
29 New York shall receive a share of such payments equal to its propor-  
30 tionate share of the total approved statewide dollars not reimbursable  
31 by the medical assistance program paid to providers of early inter-  
32 vention services by the state and municipalities on account of early  
33 intervention services in the last complete state fiscal year for which  
34 such data is available.

35 § 2. Subdivision 6 of section 2807-s of the public health law is  
36 amended by adding two new paragraphs (g) and (h) to read as follows:

37 (g) A further gross statewide amount for the state fiscal year two  
38 thousand twenty-two and each state fiscal year thereafter shall be forty  
39 million dollars.

40 (h) The amount specified in paragraph (g) of this subdivision shall be  
41 allocated under section twenty-eight hundred seven-o of this article  
42 among the municipalities and the state of New York based on each muni-  
43 cipality's share and the state's share of early intervention program  
44 expenditures not reimbursable by the medical assistance program for the  
45 latest twelve month period for which such data is available.

46 § 3. Subdivision 7 of section 2807-s of the public health law is  
47 amended by adding a new paragraph (d) to read as follows:

48 (d) funds shall be added to the funds collected by the commissioner  
49 for distribution in accordance with section twenty-eight hundred seven-o  
50 of this article, in the following amount: forty million dollars for the  
51 period beginning April first, two thousand twenty-two, and continuing  
52 each state fiscal year thereafter.

1 § 4. Subdivision 1 of section 2557 of the public health law, as  
2 amended by section 4 of part C of chapter 1 of the laws of 2002, is  
3 amended to read as follows:

4 1. The approved costs for an eligible child who receives an evaluation  
5 and early intervention services pursuant to this title shall be a charge  
6 upon the municipality wherein the eligible child resides or, where the  
7 services are covered by the medical assistance program, upon the social  
8 services district of fiscal responsibility with respect to those eligi-  
9 ble children who are also eligible for medical assistance. All approved  
10 costs shall be paid in the first instance and at least quarterly by the  
11 appropriate governing body or officer of the municipality upon vouchers  
12 presented and audited in the same manner as the case of other claims  
13 against the municipality. Notwithstanding the insurance law or regu-  
14 lations thereunder relating to the permissible exclusion of payments for  
15 services under governmental programs, no such exclusion shall apply with  
16 respect to payments made pursuant to this title. Notwithstanding the  
17 insurance law or any other law or agreement to the contrary, benefits  
18 under this title shall be considered secondary to [~~any plan of insurance  
19 or state government benefit~~] the medical assistance program under which  
20 an eligible child may have coverage. [~~Nothing in this section shall  
21 increase or enhance coverages provided for within an insurance contract  
22 subject to the provisions of this title.~~]

23 § 5. Subdivision 2 of section 2557 of the public health law, as  
24 amended by section 9-a of part A of chapter 56 of the laws of 2012, is  
25 amended to read as follows:

26 2. The department shall reimburse the approved costs paid by a munici-  
27 pality for the purposes of this title, other than those reimbursable by  
28 the medical assistance program [~~or by third party payors~~], in an amount  
29 of fifty percent of the amount expended in accordance with the rules and  
30 regulations of the commissioner; provided, however, that in the  
31 discretion of the department and with the approval of the director of  
32 the division of the budget, the department may reimburse municipalities  
33 in an amount greater than fifty percent of the amount expended. Such  
34 state reimbursement to the municipality shall not be paid prior to April  
35 first of the year in which the approved costs are paid by the munici-  
36 pality, provided, however that, subject to the approval of the director  
37 of the budget, the department may pay such state aid reimbursement to  
38 the municipality prior to such date.

39 § 6. The section heading of section 2559 of the public health law, as  
40 added by chapter 428 of the laws of 1992, is amended to read as follows:  
41 [~~Third party insurance and medical~~] Medical assistance program  
42 payments.

43 § 7. Subdivision 3 of section 2559 of the public health law, as added  
44 by chapter 428 of the laws of 1992, paragraphs (a), (c) and (d) as  
45 amended by section 11 of part A of chapter 56 of the laws of 2012 and  
46 paragraph (b) as further amended by section 104 of part A of chapter 62  
47 of the laws of 2011, is amended to read as follows:

48 3. (a) [~~Providers of evaluations and early intervention services,  
49 hereinafter collectively referred to in this subdivision as "provider"  
50 or "providers", shall in the first instance and where applicable, seek  
51 payment from all third party payors including governmental agencies  
52 prior to claiming payment from a given municipality for evaluations  
53 conducted under the program and for services rendered to eligible chil-  
54 dren, provided that, the obligation to seek payment shall not apply to a  
55 payment from a third party payor who is not prohibited from applying~~

1 ~~such payment, and will apply such payment, to an annual or lifetime~~  
2 ~~limit specified in the insured's policy.~~

3 ~~(i) Parents shall provide the municipality and service coordinator~~  
4 ~~information on any insurance policy, plan or contract under which an~~  
5 ~~eligible child has coverage.~~

6 ~~(ii)]~~ Parents shall provide the municipality and the service coordina-  
7 tor with a written referral from a primary care provider as documenta-  
8 tion, for eligible children, of the medical necessity of early inter-  
9 vention services.

10 ~~[(iii) providers]~~ **(b) Providers** shall utilize the department's fiscal  
11 agent and data system for claiming payment for evaluations and services  
12 rendered under the early intervention program.

13 ~~[(b) The commissioner, in consultation with the director of budget and~~  
14 ~~the superintendent of financial services, shall promulgate regulations~~  
15 ~~providing public reimbursement for deductibles and copayments which are~~  
16 ~~imposed under an insurance policy or health benefit plan to the extent~~  
17 ~~that such deductibles and copayments are applicable to early inter-~~  
18 ~~vention services.~~

19 ~~(c) Payments made for early intervention services under an insurance~~  
20 ~~policy or health benefit plan, including payments made by the medical~~  
21 ~~assistance program or other governmental third party payor, which are~~  
22 ~~provided as part of an IFSP pursuant to section twenty-five hundred~~  
23 ~~forty-five of this title shall not be applied by the insurer or plan~~  
24 ~~administrator against any maximum lifetime or annual limits specified in~~  
25 ~~the policy or health benefits plan, pursuant to section eleven of the~~  
26 ~~chapter of the laws of nineteen hundred ninety-two which added this~~  
27 ~~title.~~

28 ~~(d)]~~ **(c)** A municipality, or its designee, and a provider shall be  
29 subrogated, to the extent of the expenditures by such municipality or  
30 for early intervention services furnished to persons eligible for bene-  
31 fits under this title, to any rights such person may have or be entitled  
32 to from ~~[third party reimbursement]~~ **the medical assistance program**. The  
33 provider shall submit notice to the insurer or plan administrator of his  
34 or her exercise of such right of subrogation upon the provider's assign-  
35 ment as the early intervention service provider for the child. The right  
36 of subrogation does not attach to benefits paid or provided ~~[under any~~  
37 ~~health insurance policy or health benefits plan]~~ prior to receipt of  
38 written notice of the exercise of subrogation rights ~~[by the insurer or~~  
39 ~~plan administrator providing such benefits]~~. **Notwithstanding any incon-**  
40 **sistent provision of this title, except as provided for herein, no third**  
41 **party payor other than the medical assistance program shall be required**  
42 **to reimburse for early intervention services provided under this title.**

43 § 8. Subdivision 3 of section 2543 of the public health law is  
44 REPEALED.

45 § 9. Section 3235-a of the insurance law is REPEALED.

46 § 10. Subparagraph (F) of paragraph 25 of subsection (i) of section  
47 3216 of the insurance law is REPEALED.

48 § 11. Subparagraph (F) of paragraph 17 of subsection (1) of section  
49 3221 of the insurance law is REPEALED.

50 § 12. Paragraph 6 of subsection (ee) of section 4303 of the insurance  
51 law is REPEALED.

52 § 13. This act shall take effect January 1, 2022; provided, however,  
53 that the amendments to section 2807-s of the public health law made by  
54 sections two and three of this act shall not affect the expiration of  
55 such section and shall be deemed to expire therewith. Effective imme-  
56 diately, the addition, amendment and/or repeal of any rule or regulation

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1 necessary for the implementation of this act on its effective date are  
2 authorized to be made and completed by the commissioner of health, on or  
3 before such effective date.

4

## PART WW

5 Section 1. Section 10 of part KKK of chapter 56 of the laws of 2020  
6 amending the social services law and other laws relating to managed care  
7 encounter data, authorizing electronic notifications, and establishing  
8 regional demonstration projects, is amended to read as follows:

9 § 10. Contingent upon the availability of federal financial partic-  
10 ipation or other federal authorization from the centers of medicare and  
11 medicaid services, the commissioner of health, in consultation with the  
12 superintendent of the department of financial services, is authorized to  
13 implement one or more five-year regional demonstration programs that  
14 would be designed to improve health outcomes and reduce costs, using a  
15 value based model that pays providers an actuarially sound global, pre-  
16 paid and fully capitated amount for individuals in the designated region  
17 who are enrolled in the state's plan for medical assistance established  
18 pursuant to title XIX, or any successor title, of the federal social  
19 security act; the Medicare program established pursuant to title XVIII,  
20 or any successor title, of the federal social security act; and insur-  
21 ers, corporations, and health care plans authorized pursuant to the  
22 insurance law or public health law. The demonstration program may offer  
23 funding and incentives designed to improve health outcomes for attri-  
24 buted individual beneficiaries designed to improve health outcomes,  
25 develop necessary infrastructure and systems; and connect individuals to  
26 community based organizations that address the social determinants of  
27 health. At least one regional demonstration program shall be in the  
28 western, central, southern tier, or capital regions of the state.

29 Notwithstanding any provision of law to the contrary, the commissioner  
30 or the superintendent of the department of financial services may waive  
31 any regulatory requirements as are necessary to implement the demon-  
32 stration program; provided however, that regulations pertaining to  
33 patient safety, patient autonomy, patient privacy, patient rights, due  
34 process, scope of practice, professional licensure, environmental  
35 protections, provider reimbursement methodologies, or occupational stan-  
36 dards and employee rights may not be waived, nor shall any regulations  
37 be waived if such waiver would risk patient safety. Participation in  
38 such program shall be voluntary. One year after this section shall take  
39 effect and annually thereafter the commissioner of health shall provide  
40 a report detailing the activities and outcomes of such program, includ-  
41 ing any regulatory requirements that are waived, to the speaker of the  
42 assembly and the temporary president of the senate.

43 § 2. This act shall take effect immediately.

44

## PART XX

45 Section 1. Subparagraph (iv) of paragraph (a) of subdivision 2 of  
46 section 4900 of the public health law, as added by section 42 of subpart  
47 A of part BB of chapter 57 of the laws of 2019, is amended and a new  
48 subparagraph (v) is added to read as follows:

49 (iv) for purposes of a determination involving treatment for a mental  
50 health condition:

51 (A) a physician who possesses a current and valid non-restricted  
52 license to practice medicine and who specializes in behavioral health

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1 and has experience in the delivery of mental health courses of treat-  
2 ment; or

3 (B) a health care professional other than a licensed physician who  
4 specializes in behavioral health and has experience in the delivery of a  
5 mental health courses of treatment and, where applicable, possesses a  
6 current and valid non-restricted license, certificate, or registration  
7 or, where no provision for a license, certificate or registration  
8 exists, is credentialed by the national accrediting body appropriate to  
9 the profession; ~~[and] or~~

10 (v) for purposes of a determination involving treatment of a medically  
11 fragile child:

12 (A) a physician who possesses a current and valid non-restricted  
13 license to practice medicine and who is board certified or board eligi-  
14 ble in pediatric rehabilitation, pediatric critical care, or neonatolo-  
15 gy; or

16 (B) a physician who possesses a current and valid non-restricted  
17 license to practice medicine and is board certified in a pediatric  
18 subspecialty directly relevant to the patient's medical condition; and

19 § 2. Paragraph (b) of subdivision 2 of section 4900 of the public  
20 health law, as amended by chapter 586 of the laws of 1998, is amended to  
21 read as follows:

22 (b) for purposes of title two of this article:

23 (i) a physician who:

24 (A) possesses a current and valid non-restricted license to practice  
25 medicine;

26 (B) where applicable, is board certified or board eligible in the same  
27 or similar specialty as the health care provider who typically manages  
28 the medical condition or disease or provides the health care service or  
29 treatment under appeal;

30 (C) has been practicing in such area of specialty for a period of at  
31 least five years; and

32 (D) is knowledgeable about the health care service or treatment under  
33 appeal; or

34 (ii) a health care professional other than a licensed physician who:

35 (A) where applicable, possesses a current and valid non-restricted  
36 license, certificate or registration;

37 (B) where applicable, is credentialed by the national accrediting body  
38 appropriate to the profession in the same profession and same or similar  
39 specialty as the health care provider who typically manages the medical  
40 condition or disease or provides the health care service or treatment  
41 under appeal;

42 (C) has been practicing in such area of specialty for a period of at  
43 least five years;

44 (D) is knowledgeable about the health care service or treatment under  
45 appeal; and

46 (E) where applicable to such health care professional's scope of prac-  
47 tice, is clinically supported by a physician who possesses a current and  
48 valid non-restricted license to practice medicine; ~~or~~

49 (iii) for purposes of a determination involving treatment of a  
50 medically fragile child:

51 (A) a physician who possesses a current and valid non-restricted  
52 license to practice medicine and who is board certified or board eligi-  
53 ble in pediatric rehabilitation, pediatric critical care, or neonatolo-  
54 gy, or

1 (B) a physician who possesses a current and valid non-restricted  
2 license to practice medicine and is board certified in a pediatric  
3 subspecialty directly relevant to the patient's medical condition.

4 § 3. Subdivision 2-a of section 4900 of the public health law, as  
5 added by chapter 586 of the laws of 1998, is amended to read as follows:

6 2-a. "Clinical standards" means those guidelines and standards set  
7 forth in the utilization review plan by the utilization review agent  
8 whose adverse determination is under appeal or, in the case of medically  
9 fragile children, those guidelines and standards as required by section  
10 forty-nine hundred three-a of this article.

11 § 4. Paragraph (c) of subdivision 10 of section 4900 of the public  
12 health law, as added by chapter 705 of the laws of 1996, is amended to  
13 read as follows:

14 (c) a description of practice guidelines and standards used by a  
15 utilization review agent in carrying out a determination of medical  
16 necessity, which in the case of medically fragile children shall incor-  
17 porate the standards required by section forty-nine hundred three-a of  
18 this article;

19 § 5. Section 4900 of the public health law is amended by adding a new  
20 subdivision 11 to read as follows:

21 11. "Medically fragile child" means an individual who is under twen-  
22 ty-one years of age and has a chronic debilitating condition or condi-  
23 tions, who may or may not be hospitalized or institutionalized, and  
24 meets one or more of the following criteria (a) is technologically  
25 dependent for life or health sustaining functions, (b) requires a  
26 complex medication regimen or medical interventions to maintain or to  
27 improve their health status, or (c) is in need of ongoing assessment or  
28 intervention to prevent serious deterioration of their health status or  
29 medical complications that place their life, health or development at  
30 risk. Chronic debilitating conditions include, but are not limited to,  
31 bronchopulmonary dysplasia, cerebral palsy, congenital heart disease,  
32 microcephaly, pulmonary hypertension, and muscular dystrophy. The term  
33 "medically fragile child" shall also include severe conditions, includ-  
34 ing but not limited to traumatic brain injury, which typically require  
35 care in a specialty care center for medically fragile children, even  
36 though the child does not have a chronic debilitating condition or also  
37 meet one of the three conditions of this subdivision. In order to facil-  
38 itate the prompt and convenient identification of particular patient  
39 care situations meeting the definitions of this subdivision, the commis-  
40 sioner may issue written guidance listing (by diagnosis codes, utiliza-  
41 tion thresholds, or other available coding or commonly used medical  
42 classifications) the types of patient care needs which are deemed to  
43 meet this definition. Notwithstanding the definitions set forth in this  
44 subdivision, any patient which has received prior approval from a utili-  
45 zation review agent for admission to a specialty care facility for  
46 medically fragile children shall be considered a medically fragile child  
47 at least until discharge from that facility occurs.

48 § 6. The public health law is amended by adding a new section 4903-a  
49 to read as follows:

50 § 4903-a. Utilization review determinations for medically fragile  
51 children. 1. Notwithstanding any inconsistent provision of the utiliza-  
52 tion review agent's clinical standards, the utilization review agent  
53 shall administer and apply the clinical standards (and make determi-  
54 nations of medical necessity) regarding medically fragile children in  
55 accordance with the requirements of this section. If the utilization  
56 review agent is a separate entity from the health maintenance organiza-



1 tion certified under article forty-four of this chapter, the health  
2 maintenance organization shall make contractual or other arrangements in  
3 order to facilitate the utilization review agent's compliance with this  
4 section.

5 2. In the case of a medically fragile child, the term "medically  
6 necessary" shall mean health care and services that are necessary to  
7 promote normal growth and development and prevent, diagnose, treat,  
8 ameliorate or palliate the effects of a physical, mental, behavioral,  
9 genetic, or congenital condition, injury or disability. When applied to  
10 the circumstances of any particular medically fragile child, the term  
11 "medically necessary" shall include (a) the care or services that are  
12 essential to prevent, diagnose, prevent the worsening of, alleviate or  
13 ameliorate the effects of an illness, injury, disability, disorder or  
14 condition, (b) the care or services that are essential to the overall  
15 physical, cognitive and mental growth and developmental needs of the  
16 child, and (c) the care or services that will assist the child to  
17 achieve or maintain maximum functional capacity in performing daily  
18 activities, taking into account both the functional capacity of the  
19 child and those functional capacities that are appropriate for individ-  
20 uals of the same age as the child. The utilization review agent shall  
21 base its determination on medical and other relevant information  
22 provided by the child's primary care provider, other health care provid-  
23 ers, school, local social services, and/or local public health officials  
24 that have evaluated the child, and the utilization review agent will  
25 ensure the care and services are provided in sufficient amount, duration  
26 and scope to reasonably be expected to produce the intended results and  
27 to have the expected benefits that outweigh the potential harmful  
28 effects.

29 3. Utilization review agents shall undertake the following with  
30 respect to medically fragile children:

31 (a) Consider as medically necessary all covered services that assist  
32 medically fragile children in reaching their maximum functional capaci-  
33 ty, taking into account the appropriate functional capacities of chil-  
34 dren of the same age. Health maintenance organizations must continue to  
35 cover services until that child achieves age-appropriate functional  
36 capacity. A managed care provider, authorized by section three hundred  
37 sixty-four-j of the social services law, shall also be required to make  
38 payment for covered services required to comply with federal Early Peri-  
39 odic Screening, Diagnosis, and Treatment ("EPSDT") standards, as speci-  
40 fied by the commissioner of health.

41 (b) Shall not base determinations solely upon review standards appli-  
42 cable to (or designed for) adults to medically fragile children. Adult  
43 standards include, but are not limited to, Medicare rehabilitation stan-  
44 dards and the "Medicare 3 hour rule." Determinations have to take into  
45 consideration the specific needs of the child and the circumstances  
46 pertaining to their growth and development.

47 (c) Accommodate unusual stabilization and prolonged discharge plans  
48 for medically fragile children, as appropriate. Issues utilization  
49 review agents must consider when developing and approving discharge  
50 plans include, but are not limited to: sudden reversals of condition or  
51 progress, which may make discharge decisions uncertain or more prolonged  
52 than for other children or adults; necessary training of parents or  
53 other adults to care for medically fragile children at home; unusual  
54 discharge delays encountered if parents or other responsible adults  
55 decline or are slow to assume full responsibility for caring for  
56 medically fragile children; the need to await an appropriate home or

1 home-like environment rather than discharge to a housing shelter or  
2 other inappropriate setting for medically fragile children, the need to  
3 await construction adaptations to the home (such as the installation of  
4 generators or other equipment); and lack of available suitable special-  
5 ized care (such as unavailability of pediatric nursing home beds, pedia-  
6 triac ventilator units, pediatric private duty nursing in the home, or  
7 specialized pediatric home care services). Utilization review agents  
8 must develop a person centered discharge plan for the child taking the  
9 above situations into consideration.

10 (d) It is the utilization review agent's network management responsi-  
11 bility to identify an available provider of needed covered services, as  
12 determined through a person centered care plan, to effect safe discharge  
13 from a hospital or other facility; payments shall not be denied to a  
14 discharging hospital or other facility due to lack of an available post-  
15 discharge provider as long as they have worked with the utilization  
16 review agent to identify an appropriate provider. Utilization review  
17 agents are required to approve the use of out-of-network providers if  
18 the health maintenance organization does not have a participating  
19 provider to address the needs of the child.

20 (e) This section does not limit any other rights the medically fragile  
21 child may have, including the right to appeal the denial of out of  
22 network coverage at in-network cost sharing levels where an appropriate  
23 in-network provider is not available pursuant to subdivision one-b of  
24 section forty-nine hundred four of this title.

25 (f) Utilization review agents must ensure that medically fragile chil-  
26 dren receive services from appropriate providers that have the expertise  
27 to effectively treat the child and must contract with providers with  
28 demonstrated expertise in caring for the medically fragile children.  
29 Network providers shall refer to appropriate network community and  
30 facility providers to meet the needs of the child or seek authorization  
31 from the utilization review agent for out-of-network providers when  
32 participating providers cannot meet the child's needs. The utilization  
33 review agent must authorize services as fast as the enrollee's condition  
34 requires and in accordance with established timeframes in the contracts  
35 or policy forms.

36 4. A health maintenance organization shall have a procedure by which  
37 an enrollee who is a medically fragile child who requires specialized  
38 medical care over a prolonged period of time, may receive a referral to  
39 a specialty care center for medically fragile children. If the health  
40 maintenance organization, or the primary care provider or the specialist  
41 treating the patient, in consultation with a medical director of the  
42 utilization review agent, determines that the enrollee's care would most  
43 appropriately be provided by such a specialty care center, the organiza-  
44 tion shall refer the enrollee to such center. In no event shall a health  
45 maintenance organization be required to permit an enrollee to elect to  
46 have a non-participating specialty care center, unless the organization  
47 does not have an appropriate specialty care center to treat the  
48 enrollee's disease or condition within its network. Such referral shall  
49 be pursuant to a treatment plan developed by the specialty care center  
50 and approved by the health maintenance organization, in consultation  
51 with the primary care provider, if any, or a specialist treating the  
52 patient, and the enrollee or the enrollee's designee. If an organization  
53 refers an enrollee to a specialty care center that does not participate  
54 in the organization's network, services provided pursuant to the  
55 approved treatment plan shall be provided at no additional cost to the  
56 enrollee beyond what the enrollee would otherwise pay for services

1 received within the network. For purposes of this section, a specialty  
2 care center for medically fragile children shall mean a children's  
3 hospital as defined pursuant to subparagraph (iv) of paragraph (e-2) of  
4 subdivision four of section twenty-eight hundred seven-c of this chap-  
5 ter, a residential health care facility affiliated with such a chil-  
6 dren's hospital, any residential health care facility with a specialty  
7 pediatric bed average daily census during two thousand seventeen of  
8 fifty or more patients, or a facility which satisfies such other crite-  
9 ria as the commissioner may designate.

10 5. When rendering or arranging for care or payment, both the provider  
11 and the health maintenance organization shall inquire of, and shall  
12 consider the desires of the family of a medically fragile child includ-  
13 ing, but not limited to, the availability and capacity of the family,  
14 the need for the family to simultaneously care for the family's other  
15 children, and the need for parents to continue employment.

16 6. The health maintenance organization must pay at least eighty-five  
17 percent (unless a different percentage or method has been mutually  
18 agreed to) of the facility's negotiated acute care rate for all days of  
19 inpatient hospital care at a specialty care center for medically fragile  
20 children when the health maintenance organization and the specialty care  
21 facility mutually agree the patient is ready for discharge from the  
22 specialty care center to the patient's home but requires specialized  
23 home services that are not available or in place, or the patient is  
24 awaiting discharge to a residential health care facility when no resi-  
25 dential health care facility bed is available given the specialized  
26 needs of the medically fragile child. The health maintenance organiza-  
27 tion must pay at least the facility's Medicaid skilled nursing facility  
28 rate, unless a different rate has been mutually negotiated, for all days  
29 of residential health care facility care at a specialty care center for  
30 medically fragile children when the health maintenance organization and  
31 the specialty care facility mutually agree the patient is ready for  
32 discharge from the specialty care center to the patient's home but  
33 requires specialized home services that are not available or in place.  
34 Such requirements shall apply until the health plan can identify and  
35 secure admission to an alternate provider rendering the necessary level  
36 of services. The specialty care center must cooperate with the health  
37 maintenance organization's placement efforts.

38 7. In the event a health maintenance organization enters into a  
39 participation agreement with a specialty care center for medically frag-  
40 ile children in this state, the requirements of this section shall apply  
41 to such participation agreement and to all claims submitted to, or  
42 payments made by, any other health maintenance organizations, insurers  
43 or payors making payment to the specialty care center pursuant to the  
44 provisions of that participation agreement.

45 8. (a) The commissioner shall designate a single set of clinical stan-  
46 dards applicable to all utilization review agents regarding pediatric  
47 extended acute care stays (defined for the purposes of this section as  
48 discharge from one acute care hospital followed by immediate admission  
49 to a second acute care hospital; not including transfers of case payment  
50 cases as defined in section twenty-eight hundred seven-c of this chap-  
51 ter). The standards shall be adapted from national long term acute care  
52 hospital standards for adults and shall be approved by the commissioner,  
53 after consultation with one or more specialty care centers for medically  
54 fragile children. The standards shall include, but not be limited to,  
55 specifications of the level of care supports in the patient's home, at a  
56 skilled nursing facility or other setting, that must be in place in

1 order to safely and adequately care for a medically fragile child before  
2 medically complex acute care can be deemed no longer medically neces-  
3 sary. The standards designated by the commissioner shall pre-empt the  
4 clinical standards, if any, for pediatric extended acute care set forth  
5 in the utilization review plan by the utilization review agent.

6 (b) The commissioner shall designate a single set of supplemental  
7 clinical standards (in addition to the clinical standards selected by  
8 the utilization review agent) applicable to all utilization review  
9 agents regarding acute and sub-acute inpatient rehabilitation for  
10 medically fragile children. The supplemental standards shall specify the  
11 level of care supports in the patient's home, at a skilled nursing  
12 facility or other setting, that must be in place in order to safely and  
13 adequately care for a medically fragile child before acute or sub-acute  
14 inpatient rehabilitation can be deemed no longer medically necessary.  
15 The supplemental standards designated by the commissioner shall pre-empt  
16 the clinical standards, if any, regarding readiness for discharge of  
17 medically fragile children from acute or sub-acute inpatient rehabili-  
18 tation, as set forth in the utilization review plan by the utilization  
19 review agent.

20 9. In all instances the utilization review agent shall defer to the  
21 recommendations of the referring physician to refer a medically fragile  
22 child for care at a particular specialty provider of care to medically  
23 fragile children, or the recommended treatment plan by the treating  
24 physician at a specialty care center for medically fragile children,  
25 except where the utilization review agent has determined, by clear and  
26 convincing evidence, that: (a) the recommended provider or proposed  
27 treatment plan is not in the best interest of the medically fragile  
28 child, or (b) an alternative provider offering substantially the same  
29 level of care in accordance with substantially the same treatment plan  
30 is available from a lower cost provider.

31 § 7. Subparagraph (D) of paragraph 1 of subsection (b) of section 4900  
32 of the insurance law, as added by section 36 of subpart A of part BB of  
33 chapter 57 of the laws of 2019, is amended and a new subparagraph (E) is  
34 added to read as follows:

35 (D) for purposes of a determination involving treatment for a mental  
36 health condition:

37 (i) a physician who possesses a current and valid non-restricted  
38 license to practice medicine and who specializes in behavioral health  
39 and has experience in the delivery of mental health courses of treat-  
40 ment; or

41 (ii) a health care professional other than a licensed physician who  
42 specializes in behavioral health and has experience in the delivery of  
43 mental health courses of treatment and, where applicable, possesses a  
44 current and valid non-restricted license, certificate, or registration  
45 or, where no provision for a license, certificate or registration  
46 exists, is credentialed by the national accrediting body appropriate to  
47 the profession; ~~and~~ or

48 (E) for purposes of a determination involving treatment of a medically  
49 fragile child:

50 (i) a physician who possesses a current and valid non-restricted  
51 license to practice medicine and who is board certified or board eligi-  
52 ble in pediatric rehabilitation, pediatric critical care, or neonatolo-  
53 gy; or

54 (ii) a physician who possesses a current and valid non-restricted  
55 license to practice medicine and is board certified in a pediatric  
56 subspecialty directly relevant to the patient's medical condition; and

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50

1 § 8. Paragraph 2 of subsection (b) of section 4900 of the insurance  
2 law, as amended by chapter 586 of the laws of 1998, is amended to read  
3 as follows:

4 (2) for purposes of title two of this article:

5 (A) a physician who:

6 (i) possesses a current and valid non-restricted license to practice  
7 medicine;

8 (ii) where applicable, is board certified or board eligible in the  
9 same or similar specialty as the health care provider who typically  
10 manages the medical condition or disease or provides the health care  
11 service or treatment under appeal;

12 (iii) has been practicing in such area of specialty for a period of at  
13 least five years; and

14 (iv) is knowledgeable about the health care service or treatment under  
15 appeal; or

16 (B) a health care professional other than a licensed physician who:

17 (i) where applicable, possesses a current and valid non-restricted  
18 license, certificate or registration;

19 (ii) where applicable, is credentialed by the national accrediting  
20 body appropriate to the profession in the same profession and same or  
21 similar specialty as the health care provider who typically manages the  
22 medical condition or disease or provides the health care service or  
23 treatment under appeal;

24 (iii) has been practicing in such area of specialty for a period of at  
25 least five years;

26 (iv) is knowledgeable about the health care service or treatment under  
27 appeal; and

28 (v) where applicable to such health care professional's scope of prac-  
29 tice, is clinically supported by a physician who possesses a current and  
30 valid non-restricted license to practice medicine; or

31 (C) for purposes of a determination involving treatment of a medically  
32 fragile child:

33 (i) a physician who possesses a current and valid non-restricted  
34 license to practice medicine and who is board certified or board eligi-  
35 ble in pediatric rehabilitation, pediatric critical care, or neonatolo-  
36 gy; or

37 (ii) a physician who possesses a current and valid non-restricted  
38 license to practice medicine and is board certified in a pediatric  
39 subspecialty directly relevant to the patient's medical condition.

40 § 9. Subsection (b-1) of section 4900 of the insurance law, as added  
41 by chapter 586 of the laws of 1998, is amended to read as follows:

42 (b-1) "Clinical standards" means those guidelines and standards set  
43 forth in the utilization review plan by the utilization review agent  
44 whose adverse determination is under appeal or, in the case of medically  
45 fragile children those guidelines and standards as required by section  
46 forty-nine hundred three-a of this article.

47 § 10. Subsection (j) of section 4900 of the insurance law, as added by  
48 chapter 705 of the laws of 1996, is amended to read as follows:

49 (j) "Utilization review plan" means: (1) a description of the process  
50 for developing the written clinical review criteria; (2) a description  
51 of the types of written clinical information which the plan might  
52 consider in its clinical review, including but not limited to, a set of  
53 specific written clinical review criteria; (3) a description of practice  
54 guidelines and standards used by a utilization review agent in carrying  
55 out a determination of medical necessity, which, in the case of  
56 medically fragile children, shall incorporate the standards required by



1 section forty-nine hundred three-a of this article; (4) the procedures  
2 for scheduled review and evaluation of the written clinical review  
3 criteria; and (5) a description of the qualifications and experience of  
4 the health care professionals who developed the criteria, who are  
5 responsible for periodic evaluation of the criteria and of the health  
6 care professionals or others who use the written clinical review crite-  
7 ria in the process of utilization review.

8 § 11. Section 4900 of the insurance law is amended by adding a new  
9 subsection (k) to read as follows:

10 (k) "Medically fragile child" means an individual who is under twen-  
11 ty-one years of age and has a chronic debilitating condition or condi-  
12 tions, who may or may not be hospitalized or institutionalized, and  
13 meets one or more of the following criteria: (1) is technologically  
14 dependent for life or health sustaining functions; (2) requires a  
15 complex medication regimen or medical interventions to maintain or to  
16 improve their health status; or (3) is in need of ongoing assessment or  
17 intervention to prevent serious deterioration of their health status or  
18 medical complications that place their life, health or development at  
19 risk. Chronic debilitating conditions include, but are not limited to,  
20 bronchopulmonary dysplasia, cerebral palsy, congenital heart disease,  
21 microcephaly, pulmonary hypertension, and muscular dystrophy. The term  
22 "medically fragile child" shall also include severe conditions, includ-  
23 ing but not limited to traumatic brain injury, which typically require  
24 care in a specialty care center for medically fragile children, even  
25 though the child does not have a chronic debilitating condition or also  
26 meet one of the three conditions of this subsection. In order to facili-  
27 tate the prompt and convenient identification of particular patient care  
28 situations meeting the definitions of this subsection, the superinten-  
29 dent, after consulting with the commissioner of health, may issue writ-  
30 ten guidance listing (by diagnosis codes, utilization thresholds, or  
31 other available coding or commonly used medical classifications) the  
32 types of patient care needs which are deemed to meet this definition.  
33 Notwithstanding the definitions set forth in this subsection, any  
34 patient which has received prior approval from a utilization review  
35 agent for admission to a specialty care facility for medically fragile  
36 children shall be considered a medically fragile child at least until  
37 discharge from that facility occurs.

38 § 12. The insurance law is amended by adding a new section 4903-a to  
39 read as follows:

40 § 4903-a. Utilization review determinations for medically fragile  
41 children. (a) Notwithstanding any inconsistent provision of the utiliza-  
42 tion review agent's clinical standards, the utilization review agent  
43 shall administer and apply the clinical standards (and make determi-  
44 nations of medical necessity) regarding medically fragile children in  
45 accordance with the requirements of this section. If the utilization  
46 review agent is a separate entity from the health care plan, the health  
47 care plan shall make contractual or other arrangements in order to  
48 facilitate the utilization review agent's compliance with this section.

49 (b) In the case of a medically fragile child, the term "medically  
50 necessary" shall mean health care and services that are necessary to  
51 promote normal growth and development and prevent, diagnose, treat,  
52 ameliorate or palliate the effects of a physical, mental, behavioral,  
53 genetic, or congenital condition, injury or disability. When applied to  
54 the circumstances of any particular medically fragile child, the term  
55 "medically necessary" shall include: (1) the care or services that are  
56 essential to prevent, diagnose, prevent the worsening of, alleviate or



1 ameliorate the effects of an illness, injury, disability, disorder or  
2 condition; (2) the care or services that are essential to the overall  
3 physical, cognitive and mental growth and developmental needs of the  
4 child; and (3) the care or services that will assist the child to  
5 achieve or maintain maximum functional capacity in performing daily  
6 activities, taking into account both the functional capacity of the  
7 child and those functional capacities that are appropriate for individ-  
8 uals of the same age as the child. The utilization review agent shall  
9 base its determination on medical and other relevant information  
10 provided by the child's primary care provider, other health care provid-  
11 ers, school, local social services, and/or local public health officials  
12 that have evaluated the child, and the utilization review agent will  
13 ensure the care and services are provided in sufficient amount, duration  
14 and scope to reasonably be expected to produce the intended results and  
15 to have the expected benefits that outweigh the potential harmful  
16 effects.

17 (c) Utilization review agents shall undertake the following with  
18 respect to medically fragile children:

19 (1) Consider as medically necessary all covered services that assist  
20 medically fragile children in reaching their maximum functional capaci-  
21 ty, taking into account the appropriate functional capacities of chil-  
22 dren of the same age. Utilization review agents must continue to cover  
23 services until that child achieves age-appropriate functional capacity.

24 (2) Shall not base determinations solely upon review standards appli-  
25 cable to (or designed for) adults to medically fragile children. Adult  
26 standards include, but are not limited to, Medicare rehabilitation stan-  
27 dards and the "Medicare 3 hour rule." Determinations have to take into  
28 consideration the specific needs of the child and the circumstances  
29 pertaining to their growth and development.

30 (3) Accommodate unusual stabilization and prolonged discharge plans  
31 for medically fragile children, as appropriate. Issues utilization  
32 review agents must consider when developing and approving discharge  
33 plans include, but are not limited to: sudden reversals of condition or  
34 progress, which may make discharge decisions uncertain or more prolonged  
35 than for other children or adults; necessary training of parents or  
36 other adults to care for medically fragile children at home; unusual  
37 discharge delays encountered if parents or other responsible adults  
38 decline or are slow to assume full responsibility for caring for  
39 medically fragile children; the need to await an appropriate home or  
40 home-like environment rather than discharge to a housing shelter or  
41 other inappropriate setting for medically fragile children, the need to  
42 await construction adaptations to the home (such as the installation of  
43 generators or other equipment); and lack of available suitable special-  
44 ized care (such as unavailability of pediatric nursing home beds, pedia-  
45 triac ventilator units, pediatric private duty nursing in the home, or  
46 specialized pediatric home care services). Utilization review agents  
47 must develop a person centered discharge plan for the child taking the  
48 above situations into consideration.

49 (4) It is the utilization review agents network management responsi-  
50 bility to identify an available provider of needed covered services, as  
51 determined through a person centered care plan, to effect safe discharge  
52 from a hospital or other facility; payments shall not be denied to a  
53 discharging hospital or other facility due to lack of an available post-  
54 discharge provider as long as they have worked with the utilization  
55 review agent to identify an appropriate provider. Utilization review  
56 agents are required to approve the use of out-of-network providers if

1 they do not have a participating provider to address the needs of the  
2 child.

3 (5) This section does not limit any other rights a medically fragile  
4 child may have, including the right to appeal the denial of out of  
5 network coverage at in-network cost sharing levels where an appropriate  
6 in-network provider is not available pursuant to subsection a-two of  
7 section four thousand nine hundred four of this title.

8 (6) Utilization review agents must ensure that medically fragile chil-  
9 dren receive services from appropriate providers that have the expertise  
10 to effectively treat the child and must contract with providers with  
11 demonstrated expertise in caring for the medically fragile children.  
12 Network providers shall refer to appropriate network community and  
13 facility providers to meet the needs of the child or seek authorization  
14 from the utilization review agent for out-of-network providers when  
15 participating providers cannot meet the child's needs. The utilization  
16 review agent must authorize services as fast as the insured's condition  
17 requires and in accordance with established timeframes in the contracts  
18 or policy forms.

19 (d) A utilization review agent shall have a procedure by which an  
20 insured who is a medically fragile child who requires specialized  
21 medical care over a prolonged period of time, may receive a referral to  
22 a specialty care center for medically fragile children. If the utiliza-  
23 tion review agent, or the primary care provider or the specialist treat-  
24 ing the patient, in consultation with a medical director of the utiliza-  
25 tion review agent, determines that the insured's care would most  
26 appropriately be provided by such a specialty care center, the utiliza-  
27 tion review agent shall refer the insured to such center. In no event  
28 shall a utilization review agent be required to permit an insured to  
29 elect to have a non-participating specialty care center, unless the  
30 health care plan does not have an appropriate specialty care center to  
31 treat the insured's disease or condition within its network. Such refer-  
32 ral shall be pursuant to a treatment plan developed by the specialty  
33 care center and approved by the utilization review agent, in consulta-  
34 tion with the primary care provider, if any, or a specialist treating  
35 the patient, and the insured or the insured's designee. If a utilization  
36 review agent refers an insured to a specialty care center that does not  
37 participate in the health care plan's network, services provided pursu-  
38 ant to the approved treatment plan shall be provided at no additional  
39 cost to the insured beyond what the insured would otherwise pay for  
40 services received within the network. For purposes of this section, a  
41 specialty care center for medically fragile children shall mean a chil-  
42 dren's hospital as defined pursuant to subparagraph (iv) of paragraph  
43 (e-2) of subdivision four of section two thousand eight hundred seven-c  
44 of the public health law, a residential health care facility affiliated  
45 with such a children's hospital, any residential health care facility  
46 with a specialty pediatric bed average daily census during two thousand  
47 seventeen of fifty or more patients, or a facility which satisfies such  
48 other criteria as the commissioner of health may designate.

49 (e) When rendering or arranging for care or payment, both the provider  
50 and the health care plan shall inquire of, and shall consider the  
51 desires of, the family of a medically fragile child including, but not  
52 limited to, the availability and capacity of the family, the need for  
53 the family to simultaneously care for the family's other children, and  
54 the need for parents to continue employment.

55 (f) The health care plan must pay at least eighty-five percent (unless  
56 a different percentage or method has been mutually agreed to) of the

1 facility's negotiated acute care rate for all days of inpatient hospital  
2 care at a specialty care center for medically fragile children when the  
3 insurer and the specialty care facility mutually agree the patient is  
4 ready for discharge from the specialty care center to the patient's home  
5 but requires specialized home services that are not available or in  
6 place, or the patient is awaiting discharge to a residential health care  
7 facility when no residential health care facility bed is available given  
8 the specialized needs of the medically fragile child. The health care  
9 plan must pay at least the facility's skilled nursing Medicaid facility  
10 rate, unless a different rate has been mutually negotiated, for all days  
11 of residential health care facility care at a specialty care center for  
12 medically fragile children when the insurer and the specialty care  
13 facility mutually agree the patient is ready for discharge from the  
14 specialty care center to the patient's home but requires specialized  
15 home services that are not available or in place. Such requirements  
16 shall apply until the health care plan can identify and secure admission  
17 to an alternate provider rendering the necessary level of services. The  
18 specialty care center must cooperate with the health care plan's place-  
19 ment efforts.

20 (g) In the event a health care plan enters into a participation agree-  
21 ment with a specialty care center for medically fragile children in this  
22 state, the requirements of this section shall apply to that partic-  
23 ipation agreement and to all claims submitted to, or payments made by,  
24 any other insurers, health maintenance organizations or payors making  
25 payment to the specialty care center pursuant to the provisions of that  
26 participation agreement.

27 (h) (1) The superintendent, after consulting with the commissioner of  
28 health, shall designate a single set of clinical standards applicable to  
29 all utilization review agents regarding pediatric extended acute care  
30 stays (defined for the purposes of this section as discharge from one  
31 acute care hospital followed by immediate admission to a second acute  
32 care hospital; not including transfers of case payment cases as defined  
33 in section two thousand eight hundred seven-c of the public health law).  
34 The standards shall be adapted from national long term acute care hospi-  
35 tal standards for adults and shall be approved by the superintendent,  
36 after consultation with one or more specialty care centers for medically  
37 fragile children. The standards shall include, but not be limited to,  
38 specifications of the level of care supports in the patient's home, at a  
39 skilled nursing facility or other setting, that must be in place in  
40 order to safely and adequately care for a medically fragile child before  
41 medically complex acute care can be deemed no longer medically neces-  
42 sary. The standards designated by the commissioner shall pre-empt the  
43 clinical standards, if any, for pediatric extended acute care set forth  
44 in the utilization review plan by the utilization review agent.

45 (2) The superintendent, after consulting with the commissioner of  
46 health, shall designate a single set of supplemental clinical standards  
47 (in addition to the clinical standards selected by the utilization  
48 review agent) applicable to all utilization review agents regarding  
49 acute and sub-acute inpatient rehabilitation for medically fragile chil-  
50 dren. The standards shall specify the level of care supports in the  
51 patient's home, at a skilled nursing facility or other setting, that  
52 must be in place in order to safely and adequately care for a medically  
53 fragile child before acute or sub-acute inpatient rehabilitation can be  
54 deemed no longer medically necessary. The supplemental standards desig-  
55 nated by the superintendent shall pre-empt the clinical standards, if  
56 any, regarding readiness for discharge of medically fragile children

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1 from acute or sub-acute inpatient rehabilitation, as set forth in the  
2 utilization review plan by the utilization review agent.

3 (i) In all instances the utilization review agent shall defer to the  
4 recommendations of the referring physician to refer a medically fragile  
5 child for care at a particular specialty provider of care to medically  
6 fragile children, or the recommended treatment plan by the treating  
7 physician at a specialty care center for medically fragile children,  
8 except where the utilization review agent has determined, by clear and  
9 convincing evidence, that: (1) the recommended provider or proposed  
10 treatment plan is not in the best interest of the medically fragile  
11 child; or (2) an alternative provider offering substantially the same  
12 level of care in accordance with substantially the same treatment plan  
13 is available from a lower cost provider.

14 § 13. This act shall take effect January 1, 2022.

15 § 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
16 sion, section or part of this act shall be adjudged by any court of  
17 competent jurisdiction to be invalid, such judgment shall not affect,  
18 impair, or invalidate the remainder thereof, but shall be confined in  
19 its operation to the clause, sentence, paragraph, subdivision, section  
20 or part thereof directly involved in the controversy in which such judg-  
21 ment shall have been rendered. It is hereby declared to be the intent of  
22 the legislature that this act would have been enacted even if such  
23 invalid provisions had not been included herein.

24 § 3. This act shall take effect immediately provided, however, that  
25 the applicable effective date of Parts A through XX of this act shall be  
26 as specifically set forth in the last section of such Parts.